

# Form 604

Corporations Law  
Section 671B

## Notice of change of interests of substantial holder

To: Company Name/Scheme GUILDFORD COAL LIMITED

ACN/ARSN 143 533 537

### 1. Details of substantial holder

Name UBS AG and its related bodies corporate

ACN/ARSN (if applicable): \_\_\_\_\_

There was a change in the interests of the substantial holder on **1 March 2013**

The previous notice was given to the company on **24 September 2012**

### 2. Previous and present voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in when last required, and when now required, to give a substantial holding notice to the company or scheme, are as follows:

Class of securities (4)	Previous Notice		Present Notice	
	Person's Votes	Voting Power (5)	Person's Votes	Voting Power (5)
Ordinary	36,831,934	7.07%	33,636,680	5.47%

### 3. Changes in relevant interests

Particulars of each change in, or change in the nature of, a relevant interest of the substantial holder or an associate in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of Change (6)	Consideration given in relation to change (7)	Class and Number of securities affected	Person's votes affected
Change in relevant interests was due to the change in issued capital of the company as per Appendix 3B announced on 4 March 2013. Please see Appendix A for the particulars of each change in voting securities since the last substantial holding notice.					

#### 4. Present relevant interests

Particulars of each relevant interest of the substantial holder in voting securities after the change are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered holder (8)	Nature of relevant interest (6)	Class and number of securities	Person's votes
UBS Securities Australia Ltd	Brispot Nominees Pty Ltd	UBS Securities Australia Ltd	Beneficial Owner	33,636,680 Ordinary	33,636,680

#### 5. Changes in association

The persons who have become associates (2) of, ceased to be associates of, or have changed the nature of their association (9) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
N/A	N/A

#### 6. Addresses

The addresses of persons named in this form are as follows:

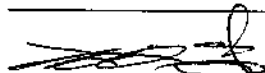
Name	Address
Details of all UBS offices can be found through the following link: <a href="http://apps.ubs.com/locationfinder">http://apps.ubs.com/locationfinder</a>	

#### SIGNATURE

Print Name: So Young Kim

Capacity: Authorised signatory

Sign Here:



Date: 5 March 2013

Print Name: Trish Lee

Capacity: Authorised signatory

Sign Here:



Date: 5 March 2013

Contact details for this notice:

Trish Lee  
Legal & Compliance  
(T) +852 3712 4387  
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GUF - Appendix A						
Date of change	Person whose relevant interest changed	Nature of Change	Consideration given in relation to change	Number of securities	Class	
21-Sep-12	UBS Securities Australia Ltd	Sell	2,200	(5,000)	Ordinary	
24-Sep-12	UBS Securities Australia Ltd	Sell	5,684	(15,191)	Ordinary	
01-Oct-12	UBS Securities Australia Ltd	Buy	4,607	11,100	Ordinary	
02-Oct-12	UBS Securities Australia Ltd	Buy	4,150	10,000	Ordinary	
03-Oct-12	UBS Securities Australia Ltd	Buy	15,480	33,000	Ordinary	
03-Oct-12	UBS Securities Australia Ltd	Sell	1,820	(4,000)	Ordinary	
04-Oct-12	UBS Securities Australia Ltd	Buy	31,825	67,000	Ordinary	
04-Oct-12	UBS Securities Australia Ltd	Buy	2,880	6,000	Ordinary	
05-Oct-12	UBS Securities Australia Ltd	Buy	8,000	17,204	Ordinary	
05-Oct-12	UBS Securities Australia Ltd	Buy	8,370	18,000	Ordinary	
08-Oct-12	UBS Securities Australia Ltd	Sell	5,580	(12,000)	Ordinary	
09-Oct-12	UBS Securities Australia Ltd	Sell	2,700	(6,000)	Ordinary	
09-Oct-12	UBS Securities Australia Ltd	Sell	2,459	(5,678)	Ordinary	
10-Oct-12	UBS Securities Australia Ltd	Sell	1,345	(3,022)	Ordinary	
11-Oct-12	UBS Securities Australia Ltd	Buy	9,687	21,100	Ordinary	
11-Oct-12	UBS Securities Australia Ltd	Sell	21,300	(50,000)	Ordinary	
11-Oct-12	UBS Securities Australia Ltd	Sell	10,230	(23,790)	Ordinary	
12-Oct-12	UBS Securities Australia Ltd	Sell	176,688	(411,956)	Ordinary	
12-Oct-12	UBS Securities Australia Ltd	Sell	2,644	(6,120)	Ordinary	
12-Oct-12	UBS Securities Australia Ltd	Sell	117,447	(271,742)	Ordinary	
15-Oct-12	UBS Securities Australia Ltd	Buy	12,804	30,000	Ordinary	
15-Oct-12	UBS Securities Australia Ltd	Sell	103,085	(240,629)	Ordinary	
16-Oct-12	UBS Securities Australia Ltd	Buy	23,443	(52,200)	Ordinary	
16-Oct-12	UBS Securities Australia Ltd	Buy	98,239	205,177	Ordinary	
16-Oct-12	UBS Securities Australia Ltd	Buy	28,841	63,000	Ordinary	
16-Oct-12	UBS Securities Australia Ltd	Sell	39,135	(82,406)	Ordinary	
16-Oct-12	UBS Securities Australia Ltd	Sell	150,825	(317,594)	Ordinary	
17-Oct-12	UBS Securities Australia Ltd	Buy	21,120	40,000	Ordinary	
17-Oct-12	UBS Securities Australia Ltd	Sell	10,500	(20,000)	Ordinary	
17-Oct-12	UBS Securities Australia Ltd	Buy	36,050	70,000	Ordinary	
18-Oct-12	UBS Securities Australia Ltd	Sell	47,951	(93,000)	Ordinary	
18-Oct-12	UBS Securities Australia Ltd	Buy	167,737	295,000	Ordinary	
18-Oct-12	UBS Securities Australia Ltd	Sell	51,929	(98,000)	Ordinary	
18-Oct-12	UBS Securities Australia Ltd	Sell	49,276	(88,626)	Ordinary	
19-Oct-12	UBS Securities Australia Ltd	Sell	10,064	(18,314)	Ordinary	
19-Oct-12	UBS Securities Australia Ltd	Buy	1,486	2,700	Ordinary	
19-Oct-12	UBS Securities Australia Ltd	Sell	20,032	(37,000)	Ordinary	
19-Oct-12	UBS Securities Australia Ltd	Buy	7,700	14,000	Ordinary	
19-Oct-12	UBS Securities Australia Ltd	Sell	7,628	(13,675)	Ordinary	
19-Oct-12	UBS Securities Australia Ltd	Sell	17,547	(32,262)	Ordinary	
19-Oct-12	UBS Securities Australia Ltd	Sell	19,809	(36,030)	Ordinary	
22-Oct-12	UBS Securities Australia Ltd	Buy	5,450	10,000	Ordinary	
22-Oct-12	UBS Securities Australia Ltd	Buy	71,376	120,000	Ordinary	
23-Oct-12	UBS Securities Australia Ltd	Sell	69,449	(115,000)	Ordinary	
23-Oct-12	UBS Securities Australia Ltd	Sell	22,600	(40,000)	Ordinary	
23-Oct-12	UBS Securities Australia Ltd	Buy	51,967	89,000	Ordinary	
23-Oct-12	UBS Securities Australia Ltd	Buy	85,112	152,122	Ordinary	
24-Oct-12	UBS Securities Australia Ltd	Buy	46,319	81,864	Ordinary	
24-Oct-12	UBS Securities Australia Ltd	Sell	15,395	(27,000)	Ordinary	
24-Oct-12	UBS Securities Australia Ltd	Sell	217,246	(380,000)	Ordinary	

24-Oct-12	UBS Securities Australia Ltd	Buy	4,350	7,500	Ordinary	
24-Oct-12	UBS Securities Australia Ltd	Buy	313,610	550,000	Ordinary	
25-Oct-12	UBS Securities Australia Ltd	Buy	11,000	20,000	Ordinary	
25-Oct-12	UBS Securities Australia Ltd	Sell	5,500	(10,000)	Ordinary	
25-Oct-12	UBS Securities Australia Ltd	Sell	10,888	(19,716)	Ordinary	
25-Oct-12	UBS Securities Australia Ltd	Sell	4,077	(7,361)	Ordinary	
25-Oct-12	UBS Securities Australia Ltd	Sell	112	(202)	Ordinary	
26-Oct-12	UBS Securities Australia Ltd	Sell	26,081	(47,377)	Ordinary	
26-Oct-12	UBS Securities Australia Ltd	Buy	9,978	17,777	Ordinary	
26-Oct-12	UBS Securities Australia Ltd	Sell	540	(1,050)	Ordinary	
26-Oct-12	UBS Securities Australia Ltd	Sell	8,471	(15,627)	Ordinary	
26-Oct-12	UBS Securities Australia Ltd	Sell	22,150	(40,860)	Ordinary	
26-Oct-12	UBS Securities Australia Ltd	Sell	78,749	(145,267)	Ordinary	
29-Oct-12	UBS Securities Australia Ltd	Buy	22,749	45,136	Ordinary	
29-Oct-12	UBS Securities Australia Ltd	Sell	5,350	(10,000)	Ordinary	
30-Oct-12	UBS Securities Australia Ltd	Sell	45,822	(90,736)	Ordinary	
30-Oct-12	UBS Securities Australia Ltd	Buy	22,546	(47,000)	Ordinary	
30-Oct-12	UBS Securities Australia Ltd	Buy	14,550	30,000	Ordinary	
30-Oct-12	UBS Securities Australia Ltd	Sell	1,440,000	(3,000,000)	Ordinary	
30-Oct-12	UBS Securities Australia Ltd	Sell	2,149	(4,216)	Ordinary	
30-Oct-12	UBS Securities Australia Ltd	Sell	458	(688)	Ordinary	
31-Oct-12	UBS Securities Australia Ltd	Buy	104,624	208,000	Ordinary	
31-Oct-12	UBS Securities Australia Ltd	Buy	10,000	(20,000)	Ordinary	
31-Oct-12	UBS Securities Australia Ltd	Buy	7,650	15,000	Ordinary	
31-Oct-12	UBS Securities Australia Ltd	Sell	4,690	(9,359)	Ordinary	
31-Oct-12	UBS Securities Australia Ltd	Sell	45,588	(90,975)	Ordinary	
31-Oct-12	UBS Securities Australia Ltd	Sell	13,075	(26,193)	Ordinary	
01-Nov-12	UBS Securities Australia Ltd	Sell	41,650	(85,000)	Ordinary	
02-Nov-12	UBS Securities Australia Ltd	Sell	5,300	(10,000)	Ordinary	
02-Nov-12	UBS Securities Australia Ltd	Sell	8,200	(16,000)	Ordinary	
02-Nov-12	UBS Securities Australia Ltd	Buy	4,040	8,000	Ordinary	
02-Nov-12	UBS Securities Australia Ltd	Sell	2,918	(5,538)	Ordinary	
02-Nov-12	UBS Securities Australia Ltd	Sell	500,000	(1,000,000)	Ordinary	
05-Nov-12	UBS Securities Australia Ltd	Buy	4,280	8,000	Ordinary	
05-Nov-12	UBS Securities Australia Ltd	Buy	48,177	90,000	Ordinary	
06-Nov-12	UBS Securities Australia Ltd	Sell	55,550	(100,000)	Ordinary	
06-Nov-12	UBS Securities Australia Ltd	Sell	243	(452)	Ordinary	
07-Nov-12	UBS Securities Australia Ltd	Buy	8,177	15,000	Ordinary	
07-Nov-12	UBS Securities Australia Ltd	Sell	1,870	(3,502)	Ordinary	
08-Nov-12	UBS Securities Australia Ltd	Buy	1,137	2,109	Ordinary	
08-Nov-12	UBS Securities Australia Ltd	Sell	32,603	(63,000)	Ordinary	
08-Nov-12	UBS Securities Australia Ltd	Buy	12,750	25,000	Ordinary	
08-Nov-12	UBS Securities Australia Ltd	Buy	12,813	25,000	Ordinary	
09-Nov-12	UBS Securities Australia Ltd	Sell	13,000	(25,000)	Ordinary	
14-Nov-12	UBS Securities Australia Ltd	Buy	12,625	25,000	Ordinary	
14-Nov-12	UBS Securities Australia Ltd	Buy	11,500	25,000	Ordinary	
15-Nov-12	UBS Securities Australia Ltd	Sell	32,573	(75,000)	Ordinary	
15-Nov-12	UBS Securities Australia Ltd	Sell	157	(324)	Ordinary	
19-Nov-12	UBS Securities Australia Ltd	Buy	152	324	Ordinary	
21-Nov-12	UBS Securities Australia Ltd	Sell	9,800	(4,015)	Ordinary	
27-Nov-12	UBS Securities Australia Ltd	Buy	9,616	20,000	Ordinary	
28-Nov-12	UBS Securities Australia Ltd	Sell	9,616	(20,000)	Ordinary	
30-Nov-12	UBS Securities Australia Ltd	Buy	628,080	1,278,664	Ordinary	
03-Dec-12	UBS Securities Australia Ltd	Buy	8,450	16,900	Ordinary	
03-Dec-12	UBS Securities Australia Ltd	Sell	960	(1,964)	Ordinary	
04-Dec-12	UBS Securities Australia Ltd	Sell	1,322	(2,783)	Ordinary	
04-Dec-12	UBS Securities Australia Ltd	Buy	50,699	111,722	Ordinary	
04-Dec-12	UBS Securities Australia Ltd	Buy	13,776	30,358	Ordinary	

05-Dec-12	UBS Securities Australia Ltd	Sell	3	(6)	Ordinary
05-Dec-12	UBS Securities Australia Ltd	Buy	341,894	759,934	Ordinary
05-Dec-12	UBS Securities Australia Ltd	Buy	5,105	11,344	Ordinary
05-Dec-12	UBS Securities Australia Ltd	Buy	102,902	228,722	Ordinary
05-Dec-12	UBS Securities Australia Ltd	Sell	231,334	(514,075)	Ordinary
07-Dec-12	UBS Securities Australia Ltd	Buy	23,661	55,000	Ordinary
10-Dec-12	UBS Securities Australia Ltd	Buy	8,526	20,000	Ordinary
10-Dec-12	UBS Securities Australia Ltd	Sell	950	(2,252)	Ordinary
11-Dec-12	UBS Securities Australia Ltd	Sell	11,000	(25,000)	Ordinary
13-Dec-12	UBS Securities Australia Ltd	Buy	4,500	10,000	Ordinary
13-Dec-12	UBS Securities Australia Ltd	Sell	1,663	(3,500)	Ordinary
13-Dec-12	UBS Securities Australia Ltd	Buy	92,100	200,000	Ordinary
14-Dec-12	UBS Securities Australia Ltd	Buy	186,566	404,698	Ordinary
14-Dec-12	UBS Securities Australia Ltd	Sell	9,300	(20,000)	Ordinary
14-Dec-12	UBS Securities Australia Ltd	Buy	7,350	15,000	Ordinary
14-Dec-12	UBS Securities Australia Ltd	Sell	14,494	(30,611)	Ordinary
14-Dec-12	UBS Securities Australia Ltd	Buy	59,037	121,726	Ordinary
17-Dec-12	UBS Securities Australia Ltd	Sell	4,850	(10,000)	Ordinary
17-Dec-12	UBS Securities Australia Ltd	Buy	1,796	3,719	Ordinary
17-Dec-12	UBS Securities Australia Ltd	Buy	2,468	5,074	Ordinary
17-Dec-12	UBS Securities Australia Ltd	Buy	1,255	2,580	Ordinary
17-Dec-12	UBS Securities Australia Ltd	Buy	4,467	9,183	Ordinary
18-Dec-12	UBS Securities Australia Ltd	Buy	13,500	27,000	Ordinary
18-Dec-12	UBS Securities Australia Ltd	Sell	10,780	(22,000)	Ordinary
18-Dec-12	UBS Securities Australia Ltd	Buy	74,480	152,000	Ordinary
18-Dec-12	UBS Securities Australia Ltd	Buy	16,986	34,357	Ordinary
18-Dec-12	UBS Securities Australia Ltd	Buy	4,868	9,647	Ordinary
18-Dec-12	UBS Securities Australia Ltd	Buy	5,869	11,870	Ordinary
19-Dec-12	UBS Securities Australia Ltd	Sell	1,342	(2,607)	Ordinary
19-Dec-12	UBS Securities Australia Ltd	Buy	5,585	10,638	Ordinary
19-Dec-12	UBS Securities Australia Ltd	Buy	83,401	186,602	Ordinary
19-Dec-12	UBS Securities Australia Ltd	Buy	7,960	15,920	Ordinary
20-Dec-12	UBS Securities Australia Ltd	Buy	10,500	20,000	Ordinary
21-Dec-12	UBS Securities Australia Ltd	Sell	28,342	(53,204)	Ordinary
24-Dec-12	UBS Securities Australia Ltd	Buy	1,095	2,021	Ordinary
27-Dec-12	UBS Securities Australia Ltd	Buy	14,607	26,617	Ordinary
27-Dec-12	UBS Securities Australia Ltd	Sell	2,082	(3,817)	Ordinary
27-Dec-12	UBS Securities Australia Ltd	Buy	8,129	14,915	Ordinary
28-Dec-12	UBS Securities Australia Ltd	Buy	110,439	196,738	Ordinary
28-Dec-12	UBS Securities Australia Ltd	Sell	100,815	(184,609)	Ordinary
02-Jan-13	UBS Securities Australia Ltd	Sell	1,792	(3,239)	Ordinary
02-Jan-13	UBS Securities Australia Ltd	Buy	22,600	40,000	Ordinary
02-Jan-13	UBS Securities Australia Ltd	Sell	22,800	(40,000)	Ordinary
02-Jan-13	UBS Securities Australia Ltd	Sell	13,840	(234,923)	Ordinary
02-Jan-13	UBS Securities Australia Ltd	Sell	57,010	(100,000)	Ordinary
02-Jan-13	UBS Securities Australia Ltd	Sell	34,229	(60,072)	Ordinary
04-Jan-13	UBS Securities Australia Ltd	Buy	23,359	(40,000)	Ordinary
04-Jan-13	UBS Securities Australia Ltd	Buy	1,202	2,054	Ordinary
04-Jan-13	UBS Securities Australia Ltd	Sell	4,095	(7,000)	Ordinary
04-Jan-13	UBS Securities Australia Ltd	Sell	671	(1,128)	Ordinary
09-Jan-13	UBS Securities Australia Ltd	Sell	2,107	(3,702)	Ordinary
09-Jan-13	UBS Securities Australia Ltd	Sell	27,150	(60,000)	Ordinary
09-Jan-13	UBS Securities Australia Ltd	Sell	2,030	(3,715)	Ordinary
10-Jan-13	UBS Securities Australia Ltd	Buy	10,400	20,000	Ordinary
10-Jan-13	UBS Securities Australia Ltd	Sell	15,999	(30,000)	Ordinary
10-Jan-13	UBS Securities Australia Ltd	Sell	1,637	(3,186)	Ordinary
11-Jan-13	UBS Securities Australia Ltd	Buy	83,673	161,500	Ordinary

14-Jan-13	UBS Securities Australia Ltd	Sell	80,870	(152,528)	Ordinary
14-Jan-13	UBS Securities Australia Ltd	Buy	11,130	21,000	Ordinary
14-Jan-13	UBS Securities Australia Ltd	Buy	1,424	2,674	Ordinary
14-Jan-13	UBS Securities Australia Ltd	Buy	57,730	108,924	Ordinary
14-Jan-13	UBS Securities Australia Ltd	Sell	4,619	(8,698)	Ordinary
15-Jan-13	UBS Securities Australia Ltd	Sell	24,336	(45,159)	Ordinary
15-Jan-13	UBS Securities Australia Ltd	Buy	5,350	10,000	Ordinary
15-Jan-13	UBS Securities Australia Ltd	Buy	1,128	2,096	Ordinary
16-Jan-13	UBS Securities Australia Ltd	Sell	1,942	(3,748)	Ordinary
17-Jan-13	UBS Securities Australia Ltd	Buy	5,666	10,568	Ordinary
18-Jan-13	UBS Securities Australia Ltd	Buy	4,052	7,504	Ordinary
18-Jan-13	UBS Securities Australia Ltd	Buy	2,516	4,620	Ordinary
21-Jan-13	UBS Securities Australia Ltd	Sell	6,321	(13,000)	Ordinary
21-Jan-13	UBS Securities Australia Ltd	Buy	1,502	3,025	Ordinary
21-Jan-13	UBS Securities Australia Ltd	Sell	80,953	(162,361)	Ordinary
21-Jan-13	UBS Securities Australia Ltd	Sell	60,169	(120,675)	Ordinary
22-Jan-13	UBS Securities Australia Ltd	Buy	141,122	283,056	Ordinary
22-Jan-13	UBS Securities Australia Ltd	Sell	241,402	(484,160)	Ordinary
22-Jan-13	UBS Securities Australia Ltd	Buy	9,508	20,000	Ordinary
22-Jan-13	UBS Securities Australia Ltd	Buy	11,160	24,000	Ordinary
23-Jan-13	UBS Securities Australia Ltd	Sell	78,922	(168,709)	Ordinary
23-Jan-13	UBS Securities Australia Ltd	Buy	13,241	28,000	Ordinary
23-Jan-13	UBS Securities Australia Ltd	Sell	9,400	(20,000)	Ordinary
23-Jan-13	UBS Securities Australia Ltd	Sell	981	(2,075)	Ordinary
24-Jan-13	UBS Securities Australia Ltd	Sell	25,658	(54,789)	Ordinary
24-Jan-13	UBS Securities Australia Ltd	Buy	9,300	(20,000)	Ordinary
24-Jan-13	UBS Securities Australia Ltd	Buy	1,830	3,893	Ordinary
25-Jan-13	UBS Securities Australia Ltd	Buy	1,774	3,848	Ordinary
29-Jan-13	UBS Securities Australia Ltd	Sell	3,480	(8,000)	Ordinary
29-Jan-13	UBS Securities Australia Ltd	Buy	1,609	3,647	Ordinary
30-Jan-13	UBS Securities Australia Ltd	Sell	886	(1,968)	Ordinary
30-Jan-13	UBS Securities Australia Ltd	Sell	76,374	(180,000)	Ordinary
31-Jan-13	UBS Securities Australia Ltd	Buy	1,650	3,764	Ordinary
31-Jan-13	UBS Securities Australia Ltd	Buy	1,638	3,497	Ordinary
01-Feb-13	UBS Securities Australia Ltd	Buy	1,028	2,262	Ordinary
04-Feb-13	UBS Securities Australia Ltd	Buy	348	772	Ordinary
05-Feb-13	UBS Securities Australia Ltd	Buy	4,950	11,000	Ordinary
06-Feb-13	UBS Securities Australia Ltd	Sell	9,063	(21,568)	Ordinary
06-Feb-13	UBS Securities Australia Ltd	Buy	21,465	50,000	Ordinary
07-Feb-13	UBS Securities Australia Ltd	Buy	4,150	10,000	Ordinary
08-Feb-13	UBS Securities Australia Ltd	Sell	4,200	(10,000)	Ordinary
12-Feb-13	UBS Securities Australia Ltd	Sell	982	2,257	Ordinary
18-Feb-13	UBS Securities Australia Ltd	Buy	4,700	10,000	Ordinary
27-Feb-13	UBS Securities Australia Ltd	Buy	3,374	7,497	Ordinary