



Register of ASX Operating Warrant and AOUA Rule Waivers

1 to 15 November 2023

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Operating Warrant and AQUA rules. Waivers are published bi-monthly and include information such as :

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

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Rule Number	10A.10.8
Date	18/10/2023
ASX Code	H10
Listed Company	BETASHARES FTSE 100 CURRENCY HEDGED ETF
Waiver Number	WLC230222-001
Decision	<p>1. Based solely on the information provided, ASX grants the Issuer a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit Betashares Capital Ltd ('Issuer') to appoint BNP Paribas Arbitrage ('BNPP') as its market maker agent on condition that the Issuer and BNPP have adequate procedures and arrangements in place which support the liquidity in the Betashares FTSE 100 Currency Hedged ETF (ARSN: 664 916 723) ('Fund').</p> <p>2. ASX has considered the application of Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules only and makes no statement as to the Issuer's compliance with other Rules.</p> <p>3. If the Fund is not admitted to Trading Status by 18 April 2024, paragraph 1 will cease to have effect.</p>
Basis For Decision	<p>Underlying Policy Rule 10A.3.6(b) of Schedule 10A of the ASX Operating Rules requires the AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p>Present Application The Issuer is seeking to issue a new AQUA Product. The Issuer proposes to conduct liquidity activities via an agency agreement. Neither the Issuer nor the agent are Trading Participants but the agent will have an arrangement in place to enter bids and offers, and execute and settle orders with a Trading Participant. The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to meet its requirement to provide a reasonable bid on an ongoing basis under ASX Operating Rule 10A.3.6(b).</p>

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