



# **Register of ASX Operating - Warrant and AOUA Rule Waivers**

**1 to 15 January 2024**

**The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Operating Warrant and AOUA rules. Waivers are published bi-monthly and include information such as :**

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

**For all product enquiries, please contact:  
- Customer Service Centre on 131 279**

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## Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	YMA
<b>Listed Company</b>	BETASHARES AUS TOP 20 EQUITY YIELD MAX FUND (MANAGED FUND)
<b>Waiver Number</b>	WLC230277-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian Top 20 Equity Yield Maximiser Fund (managed fund).</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	A20
<b>Listed Company</b>	BETASHARES AUSTRALIA 200 ETF
<b>Waiver Number</b>	WLC230266-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australia 200 ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	BEA
<b>Listed Company</b>	BETASHARES AUSTRALIAN EQUITIES BEAR (HEDGE FUND)
<b>Waiver Number</b>	WLC230268-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian Equities Bear Hedge Fund.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	EX2
<b>Listed Company</b>	BETASHARES AUSTRALIAN EX-20 PORTFOLIO DIVERSIFIER ETF
<b>Waiver Number</b>	WLC230273-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian Ex-20 Portfolio Diversifier ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	QFN
<b>Listed Company</b>	BETASHARES AUSTRALIAN FINANCIALS SECTOR ETF
<b>Waiver Number</b>	WLC230271-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian Financials Sector ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	AAA
<b>Listed Company</b>	BETASHARES AUSTRALIAN HIGH INTEREST CASH ETF
<b>Waiver Number</b>	WLC230286-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian High Interest Cash ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	AQL
<b>Listed Company</b>	BETASHARES AUSTRALIAN QUALITY ETF
<b>Waiver Number</b>	WLC230276-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian Quality ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	14/12/2023
<b>ASX Code</b>	QRE
<b>Listed Company</b>	BETASHARES AUSTRALIAN RESOURCES SECTOR ETF
<b>Waiver Number</b>	WLC230270-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian Resources Sector ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	BBO
<b>Listed Company</b>	BETASHARES AUSTRALIAN STRONG BEAR (HEDGE FUND)
<b>Waiver Number</b>	WLC230269-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian Equities Strong Bear Hedge Fund.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	FAI
<b>Listed Company</b>	BETASHARES AUSTRALIAN SUSTAINABILITY LEADERS ETF
<b>Waiver Number</b>	WLC230272-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Australian Sustainability Leaders ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b>  ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b>  The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	DHH
<b>Listed Company</b>	BETASHARES DIVERSIFIED ALL GROWTH ETF
<b>Waiver Number</b>	WLC230285-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Diversified All Growth ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	DBB
<b>Listed Company</b>	BETASHARES ETHICAL DIVERSIFIED BALANCED ETF
<b>Waiver Number</b>	WLC230283-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Ethical Diversified Balanced ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	DGG
<b>Listed Company</b>	BETASHARES ETHICAL DIVERSIFIED GROWTH ETF
<b>Waiver Number</b>	WLC230284-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Ethical Diversified Growth ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	DZZ
<b>Listed Company</b>	BETASHARES ETHICAL DIVERSIFIED HIGH GROWTH ETF
<b>Waiver Number</b>	WLC230282-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Ethical Diversified High Growth ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	QOZ
<b>Listed Company</b>	BETASHARES FTSE RAFI AUSTRALIA 200 ETF
<b>Waiver Number</b>	WLC230274-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares FTSE RAFI Australia 200 ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	GEA
<b>Listed Company</b>	BETASHARES GEARED AUSTRALIAN EQUITY FUND (HEDGE FUND)
<b>Waiver Number</b>	WLC230267-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Geared Australian Equity Fund (hedge fund).</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	NDQ
<b>Listed Company</b>	BETASHARES NASDAQ 100 ETF
<b>Waiver Number</b>	WLC230279-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Nasdaq 100 ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	HND
<b>Listed Company</b>	BETASHARES NASDAQ 100 ETF - CURRENCY HEDGED
<b>Waiver Number</b>	WLC230278-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Nasdaq 100 ETF - Currency Hedged.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	QMA
<b>Listed Company</b>	BETASHARES NASDAQ 100 YIELD MAX (MANAGED FUND)
<b>Waiver Number</b>	WLC230281-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Nasdaq 100 Yield Maximiser Fund (managed fund).</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	UMA
<b>Listed Company</b>	BETASHARES S&P 500 YIELD MAXIMISER FUND (MANAGED FUND)
<b>Waiver Number</b>	WLC230280-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares S&amp;P 500 Yield Maximiser Fund (managed fund).</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b>  ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b>  The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	ATE
<b>Listed Company</b>	BETASHARES S&P/ASX AUSTRALIAN TECHNOLOGY ETF
<b>Waiver Number</b>	WLC230275-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares S&amp;P/ASX Australian Technology ETF.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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# Register of ASX Operating Warrant and AQUA Rule Waivers

<b>Rule Number</b>	10A.10.8
<b>Date</b>	22/12/2023
<b>ASX Code</b>	GBN
<b>Listed Company</b>	BETASHARES SUSTAINABILITY LEADERS DVRSFD BOND ETF - CUR HDG
<b>Waiver Number</b>	WLC230287-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX grants Betashares Capital Ltd (the 'Issuer') a waiver from Rule 10A.10.8 of Schedule 10A of the ASX Operating Rules to the extent necessary to permit the Issuer to appoint BNP Paribas Arbitrage ('BNPPA') as its market maker agent to support liquidity in the following AQUA Products, on condition that BNPPA has binding arrangements with a Trading Participant (that are satisfactory to ASX) to enter orders and execute and settle transactions as part of BNPPA's market making functions.</p> <p>1.1 Betashares Sustainability Leaders Diversified Bond ETF - Currency Hedged.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Operating Rule 10A.3.6(b) requires an AQUA Product Issuer to appoint a Trading Participant to perform AQUA Product market making functions where the AQUA Product Issuer is not a Trading Participant or does not directly make a market in all or some AQUA Product Series.</p> <p><b>Present Application</b> The Issuer and agent have adequate procedures and arrangements in place which support liquidity activities in each AQUA Product and the ability to enter and execute orders on a timely basis through a Trading Participant to enable the Issuer to meet its obligations under ASX Operating Rule 10A.3.6(b).</p>

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