

ACH Clearing Rules Guidance Note No. 10

KEY TOPICS

ACH Clearing Rules

1. 19.3.7

2. 19.9, 19.10

3. 19.13

Cross-reference

1. Corporations Act sectio 821A

2. ASX Guidance Note "ASX's Disciplinary Tribunals – penalties.

3. ASTC Guidance Note "ASX's Disciplinary Tribunals – penalties.

Guidance Note History

Amended: 31 March 2008

ASX'S DISCIPLINARY TRIBUNALS – PENALTIES

Please refer to the Guidance Note that relates to the Australian Securities Exchange Disciplinary Procedures and Appeals Rulebook.