



ASX

AUSTRALIAN SECURITIES EXCHANGE

ASTC Settlement Rules

Guidance Note No. 6

KEY TOPICS

ASTC Settlement Rules

Cross-reference

1. s821A – Corps Act
2. ASTC Guidance Note No. 09 – Monitoring Compliance - Investigations
3. ASTC Guidance Note No. 03 – Disciplinary Proceedings

Guidance Note History

Amended:

31 March 2008

01 November 2006

Introduced:

11 March 2004

Introduction of New Rule Book

ISSUE OF MANAGEMENT LETTERS

Please refer to the Guidance Note that relates to the Australian Securities Exchange Disciplinary Procedures and Appeals Rulebook.