

**SFE MEMBERS' PROFESSIONAL INDEMNITY INSURANCE SCHEME
DECLARATION OF ACTUALS AND ESTIMATES**

TO: SYDNEY FUTURES EXCHANGE LIMITED
 FROM:
 (Member Name)

	SFE/NON-SFE BUSINESS	TOTAL REMUNERATION FOR FUTURES BUSINESS	NUMBER OF CONTRACTS TRADED (PER SIDE)
<u>ACTUALS:</u> 1 January 1999 to 31 December 1999/or to* 	SYDNEY FUTURES EXCHANGE		
	PRESCRIBED NON SFE EXCHANGES		
<u>ESTIMATES:</u> 1 January 2000 to 31 December 2000	SYDNEY FUTURES EXCHANGE		
	PRESCRIBED NON SFE EXCHANGES		

* complete appropriate date if not a Member for the full year.

NOTES:

- Total remuneration received should include all remuneration for futures related trading and clearing, including commissions, performance fees, management fees etc (from external client business, business from other divisions and related company business).
- Number of contracts traded per side should include external client business only, not house, other division or related company business. For the purpose of this form Nominating Full Members should treat business executed by Local Members for their own account and registered in the name of the Full Member, as external client business.
- Contracts traded and remuneration received are to be segregated between those executed on the Sydney Futures Exchange and those executed on prescribed non-SFE Exchanges. A list of the recognised prescribed non-SFE Exchanges is attached. Commissions and other remuneration received for contracts which are registered in the name of the Member at the Clearing House but which were not executed by the Member, should be included, but trading volumes for such business should not be included.
- Related company means a company which:
 - is the holding company of the Member;
 - is a subsidiary of the Member; or
 - is a subsidiary of the holding company of the Member.
- Full Members should include in their declarations commissions etc received from Associate Members, and contracts executed on behalf of Associate Members.

DECLARATION

The above information has been provided to Sydney Futures Exchange Limited for the purpose of renewal of the Exchange's Compulsory Professional Indemnity Insurance Scheme and has been prepared, in the case of actual figures, from the books of the Member, and in the case of estimates, from budgets or forward plans.

DIRECTOR **DATE:**
PRINT NAME:

CORPORATIONS REGULATIONS 1990 Schedule 11 Recognised Futures Exchanges

Austrian Futures and Options Exchange (ÖTOB)
Belgium Futures and Options Exchange (BELFOX)
Board of Trade of the City of Chicago (CBOT)
Board of Trade of Kansas City, Missouri, Inc. (KCBT)
Bolsa de Derivados do Porto (The Portuguese Futures and Options Exchange) (BDP)
Bolsa de Mercadorias & Futuros (Commodities & Futures Exchange, Brazil) (BM & F)
Chicago Mercantile Exchange (CME)
Coffee, Sugar and Cocoa Exchange, Inc. (CSCE)
Commodity Exchange Inc. (COMEX)
Copenhagen Stock Exchange (including FUTOP Clearing Centre (FUTOP))
Deutsche Terminbörse (German Futures Exchange) (DTB)
European Options Exchange (EOE)
Financial Futures Exchange Barcelona (MEFF-RF)
Financial Futures Exchange Madrid (MEFF-RV)
Financieel Termijnmarkt Amsterdam N.V. (Financial Futures Market Amsterdam) (FTA)
Hong Kong Futures Exchange Ltd (HKFE)
The International Petroleum Exchange of London Ltd. (IPE)
Italian Futures Exchange (MIF)
Italian Stock Exchange
Korea Stock Exchange (KSE)
Kuala Lumpur Commodity Exchange (KLCE)
Kuala Lumpur Options and Financial Futures Exchange (KLOFFE)
The London International Financial Futures Exchange Ltd. (LIFFE)
The London Securities and Derivatives Exchange Limited (OMLX)
Malaysia Monetary Exchange (MME)
March a Terme International de France (The French International Futures Market) (MATIF)
Marché des Options Négociables de Paris (Paris Traded Options Market) (MONEP)
Mercados de Futuros Financieros, SA (Spanish Financial Futures Market) (MEFFSA)
The Metal Market and Exchange Company Ltd. (London Metal Exchange) (LME)
Mid America Commodity Exchange (MIDAM)
Minneapolis Grain Exchange (MGE)
The Montreal Exchange (ME)
New York Cotton Exchange (NCE)
New York Futures Exchange, Inc. (NYFE)
New York Mercantile Exchange (NYMEX)
New Zealand Futures & Options Exchange Ltd. (NZFOE)
OM Stockholm AB (OMS)
Osaka Securities Exchange (OSE)
Oslo Stock Exchange (OSLO)
Philadelphia Stock Exchange (PHLX)
Philadelphia Board of Trade (PBOT)
Singapore Commodity Exchange Limited (SICOM)
The Singapore International Monetary Exchange Limited (SIMEX)
South African Futures Exchange (SAFEX)
Swiss Options and Financial Futures Exchange (SOFFEX)
Tokyo Commodity Exchange for Industry (TOCOM)
Tokyo Grain Exchange (TGE)
Tokyo International Futures Exchange (TIFFE)
Tokyo Stock Exchange (TSE)
The Toronto Futures Exchange (TFE)
The Winnipeg Commodity Exchange (WCE)