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REPORTABLE POSITION FILE – SUBMISSION MECHANISM CHANGES

Participants of SFE are required, pursuant to General By-Laws G.36 and G.37, to submit daily Reportable Position Files (RPFs) by 8:00 am, either independently, through their clearing Participant or through an agent such as a back-office provider. These files are currently received by SFE either by Bulletin Board System (BBS) Upload Facility or encrypted e-mail.

Many Participants will be aware that SFE Surveillance has been seeking a replacement for the outdated technology of the BBS Upload Facility, as highlighted both individually to relevant Participants and publicly at the February 2002 Compliance/Regulatory Forum. Surveillance had intended this solution to be based around internet-based encrypted e-mail, utilising the McAfee/Network Associates' PGP suite of security applications, on the basis of PGP's reputation as a proven, high-security encryption system already in use by many Participants.

It has, however, been recently publicly announced that McAfee/Network Associates will not continue development or support of these security applications beyond their current releases. As such, a PGP-encrypted e-mail solution is no longer a viable, long-term solution for RPF submission. SFE is continuing work to develop an alternate solution and will keep Participants advised of progress.

In the meantime, SFE Surveillance encourages those Participants who are looking for a short-term solution to alleviate business pressures or difficulties arising due to the limitations of the BBS Upload Facility to commence (or continue) using PGP-encrypted e-mail. To this end, SFE is in possession of copies of the security software for distribution to Participants who need to submit RPFs and wish to test or implement this solution. To obtain a desktop licence and a Public Key, or if you have any other queries, please contact Nick Gaut, Surveillance Analyst, on (02) 9256-0414 or ngaut@sfe.com.au.

A handwritten signature in black ink that reads 'M Floyd'.

Mark Floyd
Senior Surveillance Officer

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