

# CIRCULAR



30 - 32 Grosvenor Street

Sydney NSW 2000

Australia

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**To: Back Office Accounting Suppliers  
Clearing Members**

**No: 43/98**

## **SFECH Acceptance of Currencies and Securities as Collateral for Initial Margins Forms**

This circular sets out the draft forms which were attached to circular 39/98 "SFECH Acceptance of Currencies and Securities as Collateral for Initial Margins", which have been replaced.

Draft forms:

Attachment 1 Standing Cash Instruction Form  
Attachment 2 Daily Cash Settlement Form  
Attachment 3 USD Settlement Details Form

The attached forms replace the above draft forms and are to be used for all further correspondence.

Clearing Members are reminded that the Standing Cash Instruction Form and the USD Settlement Details Form (where applicable) needs to be returned to SFECH by **Friday 12 June 1998**.

# Daily Cash Settlement Form

To: Sydney Futures Exchange Clearing House ('SFECH')                      Fax: (612) 9256 0426  
 (ACN 050 615 864) 30-32 Grosvenor Street,  
 SYDNEY NSW 2000

Date:

From: Contact Name

SFECH Clearing Member

Mnemonic  |

In accordance with the SFECH By-Laws, we confirm the following cashflows with SFECH for today are as follows (1):

### CASHFLOW / BOOK TRANSFER

|            | CCY | Cash Withdrawal from SFECH (1) | Non Cash Book Transfer Withdrawal (2) | Cash Deposit with SFECH (1) | Non Cash Book Transfer Deposit (2) | Excess Cash after Cash & Cash transa |
|------------|-----|--------------------------------|---------------------------------------|-----------------------------|------------------------------------|--------------------------------------|
| <b>SFE</b> |     |                                |                                       |                             |                                    |                                      |
| House      | AUD |                                |                                       |                             |                                    |                                      |
|            | NZD |                                |                                       |                             |                                    |                                      |
|            | USD |                                |                                       |                             |                                    |                                      |
| Client     | AUD |                                |                                       |                             |                                    |                                      |
|            | NZD |                                |                                       |                             |                                    |                                      |
|            | USD |                                |                                       |                             |                                    |                                      |
| <b>NZF</b> |     |                                |                                       |                             |                                    |                                      |
| House      | AUD |                                |                                       |                             |                                    |                                      |
|            | NZD |                                |                                       |                             |                                    |                                      |
|            | USD |                                |                                       |                             |                                    |                                      |
| Client     | AUD |                                |                                       |                             |                                    |                                      |
|            | NZD |                                |                                       |                             |                                    |                                      |
|            | USD |                                |                                       |                             |                                    |                                      |

- 1) This instruction replaces, for today only, any standing cash instructions that may have been previously lodged. For foreign currency withdrawal or deposit, SFECH will assume no local currency cashflow for that account will occur, except where advised, as per this form.
- 2) Where Non Cash Book Transfers have been advised, no cashflow will actually take place. Instead book value will be transferred SFECH systems only.

This form must be received by SFECH by **no later than 09:30 Sydney time** for it to be effective today.

Signatory(s) for and on behalf of the Clearing Member

*SFECH Use Only*                      dailyst1.doc

- For USD cashflows ensure a repetitive is complete, except for book transfers  ..... (initial)

# Standing Cash Instruction Form

To: Sydney Futures Exchange Clearing House ('SFECH')  
(ACN 050 615 864) 30-32 Grosvenor Street,  
SYDNEY NSW 2000

Fax: (612) 9256 0426

Date:

|                      |

From: Contact Name

\_\_\_\_\_

SFECH Clearing Member

\_\_\_\_\_

Mnemonic

\_\_\_\_\_

(1) Account: \_\_\_\_\_ House  Client Segregated

In accordance with the SFE By-Laws, we hereby lodge the following standing instructions with SFECH in relation to:

## SFE

### Local Currency

Please repay daily:

- a) Any cash which exceeds the amount required by SFECH to be lodged in the above account in accordance with the By-Laws. AUD

OR

- b) Any cash which exceeds by AUD\$ \_\_\_\_\_ the amount required by SFECH to be lodged in the above account in accordance with the By-Laws.

### Foreign Currency

- c) Maintain as excess.(2) USD  NZD

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## NZFOE

### Local Currency

Please repay daily:

- a) Any cash which exceeds the amount required by SFECH to be lodged in the above account in accordance with the By-Laws. NZD

OR

- b) Any cash which exceeds by NZD\$ \_\_\_\_\_ the amount required by SFECH to be lodged in the above account in accordance with the By-Laws.

### Foreign Currency

- c) Maintain as excess.(2) USD  AUD

(1) Please complete separate forms for House & Client.

(2) If you do not select the foreign currency boxes as excess, then all excesses will be repaid the following business day (excluding currency holidays) in AUD.

Signator(s) for and on behalf of the  
Clearing Member

\_\_\_\_\_

*SFECH Use Only* stand1.doc

- Ensure maintenance excel sheet complete & checked.  ..... (initial)
- Ensure SIM maintenance entry complete  ..... (initial)

SYDNEY FUTURES EXCHANGE CLEARING HOUSE PTY LTD

# USD Settlement Details Form

To: Sydney Futures Exchange Clearing House ('SFECH')  
 (ACN 050 615 864) 30-32 Grosvenor Street,  
 SYDNEY NSW 2000

Fax: (612) 9256 0426

Date: \_\_\_\_\_ | \_\_\_\_\_ | \_\_\_\_\_

From: Contact Name \_\_\_\_\_ | \_\_\_\_\_ | \_\_\_\_\_

SFECH Clearing Member \_\_\_\_\_ | \_\_\_\_\_ | \_\_\_\_\_

Mnemonic \_\_\_\_\_ | \_\_\_\_\_ | \_\_\_\_\_

(1) Account \_\_\_\_\_ House  Client Segregated

In accordance with the SFECH By-Laws, we confirm the following details relating to our USD bank account and cashflows:

|     |                                |  |
|-----|--------------------------------|--|
| (2) | USD Account Name               |  |
| (2) | Bank                           |  |
| (2) | Branch Name & Address          |  |
| (2) | Account Number                 |  |
| (3) | S.W.I.F.T                      |  |
| (3) | CHIPS:<br>U.I.D<br>Participant |  |
| (3) | FEDWIRE Number                 |  |

- (1) Please complete separate forms for House & Client.
- (2) Mandatory fields, need to be complete in full.
- (3) One or more fields to be complete.

Signatory(s) for and on behalf of the  
 Clearing Member \_\_\_\_\_

*SFECH Use Only*      *usdsett1.doc*

- Complete repetitive table in BOA system  ..... (initial)
- Authorise repetitive table in BOA system  ..... (initial)
- If bank / branch is not US based, see intermediary forms & attach  ..... (initial)

SYDNEY FUTURES EXCHANGE CLEARING HOUSE PTY LTD

**Katrina Maunder**  
**Manager; Clearing Services**

11/06/98

**SYDNEY FUTURES EXCHANGE CLEARING HOUSE PTY LIMITED**  
**ACN 050 615 864**

**A wholly owned subsidiary of Sydney Futures Exchange Limited**  
**Address all correspondence to PO Box N680 Grosvenor Place Sydney NSW 2000 Australia**