



SFE NOTICE NO.

063/07

Date of Issue: 31 May 2007

Effective Date: 31 May 2007

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## Update on Concession and Pre-paid Exchange Fee Schemes for the d-cypha SFE Electricity Futures and Options Market

d-cyphaTrade and SFE are pleased to announce a continuation of the concession scheme for all d-cypha SFE Australian Power Strips traded by an individual client. The concession will equate to a 75% discount (on Exchange Fees) for all Australian Power Strips traded in a calendar month. The details of the scheme are attached to this Notice.

The timely lodgement of forms for all concession and pre-paid exchange fee schemes in relation to the d-cypha SFE electricity futures and options market is the responsibility of SFE Clearing Participants and their clients. Late lodgements (not permitted after 10 business days) will result in SFE Clearing Participants being charged the Headline Exchange Fee and issued with a rebate 10 business days after the form is lodged. SFE will then adjust the monthly billing statement of SFE Clearing Participants so that SFE Clearing Participants can in turn bill their clients accordingly. If late lodgements are not received within 10 business days the Headline Exchange Fee will apply and no rebate may be claimed.

Should you have any queries please contact Gordana Andrieska on 02 9227 0026 or [Gordana.Andrieska@asx.com.au](mailto:Gordana.Andrieska@asx.com.au).

A handwritten signature in cursive script, appearing to read 'Anthony Collins'.

**Anthony Collins**  
General Manager, Emerging Markets

ASX Limited  
20 Bridge Street  
Sydney NSW 2000  
Australia



## Attachment

### Concession Scheme for d-cypha SFE Australian Power Strips

This Concession Scheme will be available from 1 July 2007 until 31 December 2007 at which time the terms of the Scheme will be reviewed.

The terms of the Concession Scheme are as follows:

- a) only d-cypha SFE Electricity futures traded by an individual client as an Australian Power Strip are eligible for an Exchange Fee discount;
- b) volume is calculated on a per calendar month basis;
- c) clients will receive a 75% discount on Exchange Fees for their entire monthly Australian Power Strip volume;
- d) trading volumes for which a rebate is claimed under the Concession Scheme are not eligible for any additional fee reduction under any other Exchange Fee rebate scheme, including the Pre-Paid Exchange Fee Scheme, or the Market Making Scheme;
- e) for clients which are subscribers to the Pre-Paid Exchange Fee Scheme, if applicable, the Concession Scheme rebate will be deducted from Pre-Paid Exchange Fees, however the trading volume will not then be eligible for any Pre-Paid Exchange Fee concession.

### Administration of Concession Scheme

The Concession Scheme is only available to individual clients that meet the scheme criteria. The Concession Scheme is not available to brokers who amalgamate individual client trades.

The Concession Scheme will be administered as follows:

- 1) The Monthly Lodgement Form for Australian Power Strip Rebates (attached) must be completed and endorsed by a SFE Clearing Participant.
- 2) SFE Clearing Participants must lodge the Monthly Lodgement Form with the SFE no later than 10.00am on the 1<sup>st</sup> Business Day of every month. A separate form must be lodged for each client which requests a rebate under the Concession Scheme.
- 3) The timely lodgement of the forms is the responsibility of SFE Clearing Participants and their clients. Late lodgements (not permitted after 10 business days) will result in SFE Clearing Participants being charged the Headline Exchange Fee and issued with a rebate 10 business days after the form is lodged. SFE will then adjust the monthly billing statement of SFE Clearing Participants so that SFE Clearing Participants can in turn bill their clients accordingly.
- 4) SFE Clearing Participants are responsible for billing their clients in accordance with the Concession Scheme. SFE accepts no liability for the failure of the Clearing Participant to accurately report the trading volume of the client. Where any inaccuracy occurs, which in turn leads to an erroneous payment, then it is a matter between the client and Clearing Participant. No claims will lie against SFE or SFE Clearing.

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- 5) SFE Clearing Participants may reserve the right to decline providing their clients with access to the Concession Scheme.

On behalf of their clients, SFE Clearing Participants are requested to fax their monthly forms to:

Gordana Andrieska  
Fax: 02 9227 0553  
Finance Department  
Australian Securities Exchange Limited  
20 Bridge Street  
Sydney NSW 2000



Attention: Gordana Andrieska  
 Fax: 02 9227 0553  
 Finance Department  
 Australian Securities Exchange Limited  
 20 Bridge Street  
 Sydney NSW 2000

## d-cypha SFE Australian Electricity Futures and Options Products

### Monthly Lodgment Form for Australian Power Strip Rebate

Name of Firm		
Name of SFE Clearing Participant		
For the month of		
Product	Volumes (Sides)	
d-cypha SFE NSW Base Load Electricity Strip Option - BN		
d-cypha SFE QLD Base Load Electricity Strip Option - BQ		
d-cypha SFE SA Base Load Electricity Strip Option - BS		
d-cypha SFE VIC Base Load Electricity Strip Option - BV		
<b>Total</b>		

<b>Declaration By Participant</b>	
<i>I hereby declare that the above details are true and correct</i>	
Prepared By	Date
Please Print Full Name	Position
Authorised Signature	Date
Please Print Full Name	Position

<b>Declaration By SFE Clearing Participant</b>	
<i>I hereby declare that the above details are true and correct</i>	
Authorised By	Date
Please Print Full Name	Position

- Please lodge forms by 10am on the first Business Day of every month. Late forms (not permitted after 10 business days) will be processed by way of a rebate 10 business days later.

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