



ASX 24 NOTICE NO. 188/10

Date of Issue: 18 November 2010
Effective Date: 18 November 2010

TIME TO DESIGNATE AND ALLOCATE TRADES: REMINDER

The purpose of this notice is to remind ASX 24 and ASX Clear (Futures) Participants of the requirements of ASX 24 Operating Rule 3713 regarding the time to designate and allocate trades.

Recent experience indicates Participants are not consistently allocating trades before the time set out in the Operating Rules Procedures. In particular, some Participants are not always allocating Client Trades that have been given up during the overnight session prior to the prescribed cut off of 9:30 am (Sydney time) on the following day.

Participants should assess their processes and arrangements including obtaining timely confirmations from clients to ensure they comply with Operating Rule 3713.

Participants should discuss any concerns in relation to this matter with their internal compliance function or their ASX compliance adviser.

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