# Form 603

Corporations Law Section 671B

# Notice of initial substantial holder

To: Company Name/Scheme	PEARL GLOBAL LTD
ACN/ <del>ARSN</del>	118 710 508
1) Details of substantial holder	(1)
Name	Bank of America Corporation and its related bodies corporate (See Appendix C)
ACN/ARSN (if applicable)	
The holder became a substantial i	holder on: 10 May 2018
2. Details of voting power	

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Ordinary	7,984,840	7,984,840	5.82%

#### **Details of relevant interests**

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Merrill Lynch (Australia) Futures Ltd	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 4,659,835
Merrill Lynch International	Borrowing of securities pursuant to a International Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities	Ordinary 3,325,000
Merrill Lynch, Pierce, Fenner & Smith Incorporated	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 5

#### Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities

Merrill Lynch (Australia) Futures Ltd	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch (Australia) Futures Ltd	Ordinary 4,659,835
Merrill Lynch International	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International	Ordinary 3,325,000
Merrill Lynch, Pierce, Fenner & Smith Incorporated	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch, Pierce, Fenner & Smith Incorporated	Ordinary 5

#### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

	Holder of relevant interest	Date of acquisition	Consideration (9)	Class and number of securities
)	Please see Annexure A.			

#### 6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

	Name and ACN/ ARSN (if applicable)	Nature of association
)	Merrill Lynch (Australia) Futures Ltd	Related body corporate
/	Merrill Lynch International	Related body corporate
]	Merrill Lynch, Pierce, Fenner & Smith Incorporated	Related body corporate

#### 7. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Merrill Lynch (Australia) Futures Ltd	Level 34, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch International (Australia) Ltd	Level 34, Governor Phillip Tower 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch (Australia) Nominees Pty Ltd	120 Collins Street, Level 19 Melbourne Victoria 3000, Australia
MLEQ Nominees Pty Ltd	120 Collins Street, Level 19 Melbourne Victoria 3000, Australia
Merrill Lynch, Pierce, Fenner & Smith Incorporated	1209 Orange Street - Corporation Trust Center, Wilmington DE 19801, United States
Merrill Lynch International	2 King Edward Street, London, England EC1A 1HQ, United Kingdom

### SIGNATURE

Print Name:	Kelvin Kwok	Capacity:	Authorised signatory
Sign Here:	Jev -	Date:	14 May 2018

Αn	pend	IxΑ

Description				Appendix A				
3222018 Marrill Lynch (Australia) Futures LIS Buy 8,980.00 (Crisinary 85,000 BA) 442018 Marrill Lynch (Australia) Futures LIS Buy 2,981.50 (Crisinary 10,000 10,000 MA) 442018 Marrill Lynch (Australia) Futures LIS Buy 2,981.50 (Crisinary 10,000 10,000 MA) 442018 Marrill Lynch (Australia) Futures LIS Buy 2,981.50 (Crisinary 10,000 10,000 MA) 442018 Marrill Lynch (Australia) Futures LIS Buy 3,481.51 (Crisinary 12,000 LPA 11,000 MA) 41102018 Marrill Lynch (Australia) Futures LIS Buy 1,155.576 (Crisinary 40,000 44,000 MA) 41102018 Marrill Lynch (Australia) Futures LIS Buy 3,280.60 (Crisinary 12,000 12,000 MA) 41122018 Marrill Lynch (Australia) Futures LIS Buy 3,280.60 (Crisinary 12,000 12,000 MA) 41122018 Marrill Lynch (Australia) Futures LIS Buy 3,280.60 (Crisinary 12,000 12,000 MA) 41122018 Marrill Lynch (Australia) Futures LIS Buy 4,927.46 (Crisinary 18,000 12,000 MA) 4122018 Marrill Lynch (Australia) Futures LIS Buy 1,275.00 (Crisinary 18,000 12,000 MA) 4122018 Marrill Lynch (Australia) Futures LIS Buy 1,275.00 (Crisinary 16,000 12,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 12,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 3,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 3,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 3,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 3,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 4,280.00 (Crisinary 17,000 10,000 MA) 4222018 Marrill Lynch (	Date of change	Person whose relevant interest changed	Nature of change	Consideration	Class of securites	Securities affected	Person's votes affected	Remark
3222018 Marrill Lynch (Australia) Futures LIS Buy 8,980.00 (Crisinary 85,000 BA) 442018 Marrill Lynch (Australia) Futures LIS Buy 2,981.50 (Crisinary 10,000 10,000 MA) 442018 Marrill Lynch (Australia) Futures LIS Buy 2,981.50 (Crisinary 10,000 10,000 MA) 442018 Marrill Lynch (Australia) Futures LIS Buy 2,981.50 (Crisinary 10,000 10,000 MA) 442018 Marrill Lynch (Australia) Futures LIS Buy 3,481.51 (Crisinary 12,000 LPA 11,000 MA) 41102018 Marrill Lynch (Australia) Futures LIS Buy 1,155.576 (Crisinary 40,000 44,000 MA) 41102018 Marrill Lynch (Australia) Futures LIS Buy 3,280.60 (Crisinary 12,000 12,000 MA) 41122018 Marrill Lynch (Australia) Futures LIS Buy 3,280.60 (Crisinary 12,000 12,000 MA) 41122018 Marrill Lynch (Australia) Futures LIS Buy 3,280.60 (Crisinary 12,000 12,000 MA) 41122018 Marrill Lynch (Australia) Futures LIS Buy 4,927.46 (Crisinary 18,000 12,000 MA) 4122018 Marrill Lynch (Australia) Futures LIS Buy 1,275.00 (Crisinary 18,000 12,000 MA) 4122018 Marrill Lynch (Australia) Futures LIS Buy 1,275.00 (Crisinary 16,000 12,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 12,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 2,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 3,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 3,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 3,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 3,280.00 (Crisinary 16,000 10,000 MA) 4222018 Marrill Lynch (Australia) Futures LIS Buy 4,280.00 (Crisinary 17,000 10,000 MA) 4222018 Marrill Lynch (	3/22/2018	Merrill Lynch (Australia) Futures Ltd	Виу					IN/A
Article   Merril Lyano   Autotalian   Futures Ltd   Buy								
4492018 (Merrill Lynch Abustralin Futures LIS Buy 2,881.50 (Ordinary 10,000 10,000 INA 4492018 (Merrill Lynch Abustralin) Futures LIS Buy 8,348.17.45 (Ordinary 22,000 23,000 INA 4492018 (Merrill Lynch Abustralin) Futures LIS Buy 8,348.17.45 (Ordinary 23,000 23,000 INA 4492018 (Merrill Lynch Abustralin) Futures LIS Buy 1,185.75 (Ordinary 23,000 23,000 INA 4192018 (Merrill Lynch Abustralin) Futures LIS Buy 1,185.75 (Ordinary 40,000 13,000 INA 4192018 (Merrill Lynch Abustralin) Futures LIS Buy 1,185.75 (Ordinary 1,000 13,000 INA 4192018 (Merrill Lynch Abustralin) Futures LIS Buy 1,250.00 Indicatory 1,000 13,000 INA 4192018 (Merrill Lynch Abustralin) Futures LIS Buy 1,270.00 Indicatory 1,000 13,000 INA 4192018 (Merrill Lynch Abustralin) Futures LIS Buy 1,270.00 Indicatory 1,000 13,000 INA 4292018 (Merrill Lynch Abustralin) Futures LIS Buy 2,260.13 (Ordinary 20,000 25,000 INA 4292018 (Merrill Lynch Abustralin) Futures LIS Buy 2,260.13 (Ordinary 10,000 INA 4292018 (Merrill Lynch Abustralin) Futures LIS Buy 2,260.13 (Ordinary 10,000 INA 4292018 (Merrill Lynch Abustralin) Futures LIS Buy 2,260.00 Indicatory 1,000 INA 4292018 (Merrill Lynch Abustralin) Futures LIS Buy 2,260.00 Indicatory 1,000 INA 4292018 (Merrill Lynch Abustralin) Futures LIS Buy 2,260.00 Indicatory 1,000 INA 4292018 (Merrill Lynch Abustralin) Futures LIS Buy 2,260.00 Indicatory 3,000 Indicatory 3,000 INA 4292018 (Merrill Lynch Abustralin) Futures LIS Buy 3,360 Indicatory 3,000 Indicatory 3,000 INA 4492018 (Merrill Lynch Abustralin) Futures LIS Buy 3,376.80 (Ordinary 5,000 5,000 INA 5,000 IN								
### ### ### ### ### ### ### ### ### ##	4/3/2018	Merrill Lynch (Australia) Futures Ltd	[Buy	6,960.00	Ordinary	24,000	24,000	N/A
### ### ### ### ### ### ### ### ### ##	4/4/2018	Merrill Lynch (Australia) Futures Ltd	Buv	2.991.50	Ordinary	10,000	10,000	N/A
##80016   Marrill Lynch (Australia) Futures Ltd Buy   1,150,57   Ordinary   20,000   28,000   NA   4192016   Marrill Lynch (Australia) Futures Ltd Buy   6,783,38   Ordinary   25,000   25,000   NA   4192016   Marrill Lynch (Australia) Futures Ltd Buy   6,783,38   Ordinary   25,000   25,000   NA   4192016   Marrill Lynch (Australia) Futures Ltd Buy   6,783,38   Ordinary   12,000   12,000   NA   4192016   Marrill Lynch (Australia) Futures Ltd Buy   6,677,33   Ordinary   12,000   12,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   2,201,30   Ordinary   10,000   10,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   2,201,30   Ordinary   10,000   10,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   2,201,30   Ordinary   10,000   10,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   2,200,30   Ordinary   10,000   10,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   1,250,68   Ordinary   5,000   5,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   1,250,68   Ordinary   5,000   5,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   1,250,68   Ordinary   5,000   5,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   1,250,68   Ordinary   5,000   5,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   1,250,68   Ordinary   5,000   5,000   NA   4292016   Marrill Lynch (Australia) Futures Ltd Buy   1,350,68   Ordinary   5,000   7,000   NA   5,000   NA   5								
## (1902)   Biferrill Junch (Australia) Futures Ltd   Buy   1,595,76   Ordinary   25,000   25,000   NA   41720716   Marrill Junch (Australia) Futures Ltd   Buy   3,255,80   Ordinary   12,000   12,000   NA   41720716   Marrill Junch (Australia) Futures Ltd   Buy   3,255,80   Ordinary   13,000   16,000   NA   41720716   Marrill Junch (Australia) Futures Ltd   Buy   1,275,90   Ordinary   13,000   16,000   NA   41720716   Marrill Junch (Australia) Futures Ltd   Buy   1,275,90   Ordinary   10,000   10,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   2,281,30   Ordinary   10,000   10,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   2,281,30   Ordinary   10,000   10,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   2,281,30   Ordinary   10,000   10,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   1,360,68   Ordinary   1,000   10,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   7,950,00   Ordinary   1,000   5,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   7,950,00   Ordinary   30,000   3,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   7,950,00   Ordinary   30,000   3,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   7,950,00   Ordinary   30,000   3,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   2,200,00   Ordinary   30,000   3,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   3,374,00   Ordinary   3,000   3,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   3,374,00   Ordinary   25,000   25,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   3,750,00   Ordinary   25,000   25,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   3,750,00   Ordinary   25,000   25,000   NA   42720716   Marrill Junch (Australia) Futures Ltd   Buy   1,750,12   Ordinary   25,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000   0,000								
#11/2016   Mernil Lynch (Australia) Futures Ltd Buy \$ 2,000 (Originary 25,000) 25,000   NA						29,000	29,000	N/A
#11/2016   Mernil Lynch (Australia) Futures Ltd Buy \$ 2,000 (Originary 25,000) 25,000   NA	4/10/2018	Merrill Lynch (Australia) Futures Ltd	Buy	11,505.76	Ordinary	40.000	40.000	N/A
### ### ### ### ### ### ### ### ### ##	4/11/2018	Merrill Lynch (Australia) Futures 1 td						
## 418/2016 Merril Lynch (Australia) Futures Ltd Buy								
### 41982018 Merrill Lynch (Australia) Fulness LLI				3,206.60	Ordinary	12,000	12,000	N/A
### 41982018 Merrill Lynch (Australia) Fulness LLI	4/18/2018	Merrill Lynch (Australia) Futures Ltd	Buv	4,927,46	Ordinary	18.000	18.000	N/A
4202016 Marril Lynch (Australia) Futures Ltd Buy 2,013.20 Ordinary 10,000 10,000 INA 4242018 Marril Lynch (Australia) Futures Ltd Buy 2,013.20 Ordinary 10,000 10,000 INA 4242018 Marril Lynch (Australia) Futures Ltd Buy 1,350.88 Ordinary 5,000 5,000 INA 4242018 Marril Lynch (Australia) Futures Ltd Buy 1,350.88 Ordinary 5,000 5,000 INA 4242018 Marril Lynch (Australia) Futures Ltd Buy 1,350.88 Ordinary 5,000 10,000 INA 4242018 Marril Lynch (Australia) Futures Ltd Buy 4,91.50 Ordinary 20,000 12,000 INA 4302018 Marril Lynch (Australia) Futures Ltd Buy 4,91.50 Ordinary 20,000 INA 5,000								
### A232016 Marril Lynch (Australia) Futures Ltd Buy 2,800.00 Ordinary 10,000 INA ### A242016 Marril Lynch (Australia) Futures Ltd Buy 7,800.00 Ordinary 5,000 INA ### A242016 Marril Lynch (Australia) Futures Ltd Buy 7,800.00 Ordinary 30,000 30,000 INA ### A242016 Marril Lynch (Australia) Futures Ltd Buy 7,800.00 Ordinary 30,000 30,000 INA ### A242016 Marril Lynch (Australia) Futures Ltd Buy 7,800.00 Ordinary 30,000 A00.00 INA ### A242016 Marril Lynch (Australia) Futures Ltd Buy 1,300.88 Ordinary 5,000 5,000 INA 5727216 Marril Lynch (Australia) Futures Ltd Buy 1,300.88 Ordinary 5,000 5,000 INA 5727216 Marril Lynch (Australia) Futures Ltd Buy 2,202.01 Ordinary 7,000 INA 5727216 Marril Lynch (Australia) Futures Ltd Buy 2,202.01 Ordinary 12,200 INA 5727216 Marril Lynch (Australia) Futures Ltd Buy 3,000 INA 5727216 Marril Lynch (Australia) Futures Ltd Buy 4,000 INA 5727216 Marril Lynch (Australia) Futures Ltd Buy 1,0								
4.94/2019 Merrill Lynch (Australia) Futures Ltd Buy 1,350.88 Ordinary 5,000 1,000 N/A 4.27(7916) Merrill Lynch (Australia) Futures Ltd Buy 1,350.88 Ordinary 5,000 2,000 N/A 4.27(7916) Merrill Lynch (Australia) Futures Ltd Buy 4,975.00 Ordinary 2,000 2,000 N/A 4.27(7916) Merrill Lynch (Australia) Futures Ltd Buy 4,975.00 Ordinary 2,000 2,000 N/A 5.27(7916) Merrill Lynch (Australia) Futures Ltd Buy 4,975.00 Ordinary 5,000 5,000 N/A 5.27(7916) Merrill Lynch (Australia) Futures Ltd Buy 1,350.88 Ordinary 5,000 5,000 N/A 5.27(7916) Merrill Lynch (Australia) Futures Ltd Buy 2,028.01 Ordinary 7,500 17,500 N/A 5.27(7916) Merrill Lynch (Australia) Futures Ltd Buy 5,027(10) Merrill Lynch (Australia) Futures Ltd Buy 6,750.00 Ordinary 12,500 17,500 N/A 5.27(7916) Merrill Lynch (Australia) Futures Ltd Buy 1,47(03.12) Ordinary 5,44.56 5,468 N/A 5.27(10) Merrill Lynch (Australia) Futures Ltd Buy 1,47(03.12) Ordinary 5,44.56 5,468 N/A 5.27(10) Merrill Lynch (Australia) Futures Ltd Buy 1,47(03.12) Ordinary 5,44.56 5,468 N/A 5.27(10) Merrill Lynch (Australia) Futures Ltd Buy 1,47(03.12) Ordinary 5,44.56 5,468 N/A 5.27(10) Merrill Lynch (Australia) Futures Ltd Buy 1,47(03.12) Ordinary 1,44.56 1,45 Ordinary 1,45 Ordinary 1,44.56 1,45 Ordinary 1,44.56 1,45 Ordinary 1,44.56 1,45 Ordinary 1,45 Ordinary 1,44.56 1,45 Ordinary 1,45 Ordinar				12,750.00	Ordinary	50,000	50,000	N/A
426/2019 Merrill Lynch (Australia) Futures Ltd Buy 1,350.68 Dictionary 5,000 5,000 N/A 427/6919 Merrill Lynch (Australia) Futures Ltd Buy 1,350.68 Dictionary 5,000 5,000 N/A 427/6919 Merrill Lynch (Australia) Futures Ltd Buy 1,350.68 Dictionary 2,0000 20,000 N/A 427/6919 Merrill Lynch (Australia) Futures Ltd Buy 4,975.00 Ordinary 2,0000 20,000 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 4,975.00 Ordinary 5,000 5,000 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 2,205.01 Ordinary 1,750.0 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 2,205.01 Ordinary 1,750.0 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 6,750.00 Ordinary 2,500.00 5,000 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 6,750.00 Ordinary 2,500.00 5,000 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 1,750.00 Ordinary 2,500.00 5,000 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 1,750.00 Ordinary 2,500.00 5,000 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 1,750.00 Ordinary 2,500.00 5,000 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 1,750.00 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 6,859.010 Merrill Lynch (Australia) Futures Ltd Buy 6,859.810 Merrill Lynch (Australia) Futures Ltd Buy 6,859.810 Merrill Lynch (Australia) Futures Ltd Buy 6,859.88 Ordinary 7,254.00 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 6,859.88 Ordinary 7,254.00 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Buy 6,859.88 Ordinary 7,254.00 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Sej 1,134.554.54 Ordinary 7,04.68 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Sej 1,134.554.54 Ordinary 7,04.55.54 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Sej 1,134.554.54 Ordinary 7,04.554.00 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Sej 1,134.554.54 Ordinary 7,04.554.00 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Sej 1,134.554.54 Ordinary 7,04.554.00 N/A 5/7/2019 Merrill Lynch (Australia) Futures Ltd Sej 1,134.00 N/A 5/7/2019 Merrill Lynch (Australia) Futu	4/23/2018	Merrill Lynch (Australia) Futures Ltd	Buy	2,601,30	Ordinary	10,000	10.000	N/A
Ad280016 Merrill Lynch (Australia) Futures Ltd Suy 1,350.88 Ordinary 3,0000 30,0000 N/A 4090016 Merrill Lynch (Australia) Futures Ltd Suy 4,975.00 Ordinary 2,0000 2,0000 N/A 6090016 Merrill Lynch (Australia) Futures Ltd Suy 1,350.88 Ordinary 5,000 5,000 N/A	4/24/2018	Marrill Lynch (Australia) Futures Ltd						
4/27/2016 Merrill Lynch (Australia) Futures Ltd Blay 7,950.00 Ordinary 20,0000 20,000 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 4,875.00 Ordinary 20,0000 5,000 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 2,2028.01 Ordinary 7,500 7,500 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 2,2028.01 Ordinary 7,500 7,500 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 3,376.69 Ordinary 12,500 12,500 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 6,750.00 Ordinary 22,500 25,000 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 6,750.00 Ordinary 25,500 25,000 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 14,703.12 Ordinary 25,500 25,000 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 14,703.12 Ordinary 25,500 25,000 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 14,703.12 Ordinary 6,456 54,456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 14,703.12 Ordinary 79,456 74,456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Blay 14,703.12 Ordinary 6,4456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 6,4456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 6,4456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 6,4456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 6,4456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell Sell (14,703.12) Ordinary 6,4456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell Sell (14,703.12) Ordinary 6,4456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell Sell (14,703.12) Ordinary 6,4456 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell Sell (14,703.12) Ordinary 6,456.55 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell Sell (14,704.55.55) Ordinary 6,456.55 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell Sell (14,704.55.55) Ordinary 6,456.55 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell Sell Sell (14,704.55.55) Ordinary 6,756.00 NA 6/2020 Merril Lynch (Australia) Futures Ltd Sell Sell Sell Sell Sell Sell Sell Sel								
4,930/2015   Merrill Lynch (Australia) Futures Ltd Buy 1,350/88   Ordinary 5,000 5,000 N/A 57/2018   Merrill Lynch (Australia) Futures Ltd Buy 1,350/88   Ordinary 7,500 7,500 N/A 57/2018   Merrill Lynch (Australia) Futures Ltd Buy 3,376/89   Ordinary 7,500 7,500 N/A 57/2018   Merrill Lynch (Australia) Futures Ltd Buy 8,376/89   Ordinary 7,500 2,5000 N/A 57/2018   Merrill Lynch (Australia) Futures Ltd Buy 8,750/00   Ordinary 2,5000 2,5000 N/A 57/2018   Merrill Lynch (Australia) Futures Ltd Buy 8,750/00   Ordinary 2,5000 2,5000 N/A 57/2018   Merrill Lynch (Australia) Futures Ltd Buy 1,750/31   Ordinary 5,445 5,445 N/A 5,960/10   Merrill Lynch (Australia) Futures Ltd Buy 1,750/31   Ordinary 5,445 5,445 N/A 5,960/10   Merrill Lynch (Australia) Futures Ltd Buy 1,750/31   Ordinary 5,445 5,445 N/A 5,960/20   Merrill Lynch (Australia) Futures Ltd Buy 1,750/31   Ordinary 5,445 5,445 N/A 5,960/20   Merrill Lynch (Australia) Futures Ltd Buy 6,868/88   Ordinary 1,750/31   Ordinary 1,							5,000	N/A
4930/2016   Merrill Lynch (Australia) Futures Ltd Buy 1,350.88   Ordinary 5,000	4/27/2018	Merrill Lynch (Australia) Futures Ltd	Buy	7,950.00	Ordinary	30,000	30,000	N/A
5/1/2018 [Merrill Lynch (Australia) Futures Ltd Buy 2, 20/8.01 (Ordinary 7, 500 1, 2500 N/A) 5/5/2018 [Merrill Lynch (Australia) Futures Ltd Buy 3, 376.69 Ordinary 12,500 1, 2500 N/A) 5/5/2018 [Merrill Lynch (Australia) Futures Ltd Buy 8, 750.00 Ordinary 25,000 1, 25,000 N/A) 5/5/2018 [Merrill Lynch (Australia) Futures Ltd Buy 8, 750.00 Ordinary 25,000 25,000 N/A) 5/5/2018 [Merrill Lynch (Australia) Futures Ltd Buy 8, 750.00 Ordinary 25,000 25,000 N/A) 5/5/2018 [Merrill Lynch (Australia) Futures Ltd Buy 14,703.12 Ordinary 25,000 25,000 N/A) 5/5/2018 [Merrill Lynch (Australia) Futures Ltd Buy 14,703.12 Ordinary 79,455 74,456 N/A) 5/5/2018 [Merrill Lynch (Australia) Futures Ltd Buy 14,703.12 Ordinary 79,455 74,456 N/A) 5/5/2018 [Merrill Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 79,455 74,456 N/A) 5/5/2019 [Merrill Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 79,455 74,456 N/A) 5/5/2019 [Merrill Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 79,455 74,456 N/A) 5/5/2019 [Merrill Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 25,544 25,544 N/A 5/5/2019 [Merrill Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 25,544 25,544 N/A 5/5/2019 [Merrill Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 3,703.03 N/A 5/5/2019 [Merrill Lynch (Australia) Futures Ltd Sell (14,703.12) Ordinary 3,703.03 N/A 5/5/2019 [Merrill Lynch (Merrill Lync	4/30/2018	Merrill Lynch (Australia) Futures Ltd	Bio	4 975 00	Ordinary			
59/2016   Merrill Lynch (Australia) Futures Ltd   Buy   3.376.89   Ordinary   7.500   7.500   1/A								
54/2018   Merrill Lynch (Australia) Futures Ltd   Buy   6,750.00   01/01/apy   25,000   12,500   N/A				1,350.68	Ordinary		5,000	<u> N/A</u>
54/2018 Merril Lynch (Australia) Futures Ltd   Buy   8,750.00 Ordinary   12,500   12,500 N/A	5/2/2018	Merrill Lynch (Australia) Futures Ltd	Buy	2,026.01	Ordinary	7,500	7.500	N/A
64/2016   Merril   Lynch (Australia)   Futures Ltd	5/3/2018	Merrill Lynch (Australia) Futures Ltd						
57/2016   Merrill   Lynch   Australia)   Eutures Ltd								
568/2018  Merrill Lynch (Australis) Futures Lid   Buy   14,703.12   Ordinary   54,456   73,456   N/A				6,750,00	Ordinary	25,000	25,000	JN/A
568/2018  Merrill Lynch (Australis) Futures Lid   Buy   14,703.12   Ordinary   54,456   73,456   N/A	5/7/2018	Merrill Lynch (Australia) Futures Ltd	Виу	6,750.00	Ordinary	25.000	25.000	N/A
5892018  Merrill Lynch (Australis) Futures Lid   Seli			<del>+</del>					
Sifizo16  Merrill Lynch (Australia) Futures Ltd   Sell   (14,703.12) Ordinary   (54.459)   (54.459) NA   (59.2018) Merrill Lynch (Australia) Futures Ltd   Suy   (5.898.88 S) Ordinary   (49.835)   (49.835) NA   (5102018) Merrill Lynch (Australia) Futures Ltd   Suy   (13.455.45) Ordinary   (49.835)   (49.835) NA   (5102018) Merrill Lynch (Australia) Futures Ltd   Suy   (13.455.45) Ordinary   (49.835)   (49.835) NA   (5102018) Merrill Lynch (Australia) Futures Ltd   Suy   (13.205.45) Ordinary   (49.835)   (49.835) NA   (49.835) N								
Signature   Sign								
Signature   Sign	5/8/2018	Merrill Lynch (Australia) Futures Ltd	Sell	(14,703.12)	Ordinary	(54,456)	(54,456)	N/A
5-10/2018  Merrill Lynch (Australia) Futures Ltd   Set   13,455.45   Ordinary   49,835   49,835   NA								
\$10/2018   Merrill Lynch (Australia) Futures Ltd   Buy								
\$\$\text{\$\frac{\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$								
\$\$\text{\$\frac{\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$\text{\$\frac{4\text{\$	5/10/2018	Merrill Lynch (Australia) Futures Ltd	Sell	(13,455,45)	Ordinary	(49.835)	(49,835)	N/A
4/17/2018   Merrill Lynch, Pierce, Fenner & Smith incorpor Buy   1.40   Ordinary   3.125,000   3.125,000   Refer to App B-1   2/17/2018   Merrill Lynch international   Securities Borrowed N/A   Ordinary   3.125,000   3.125,000   Refer to App B-1   2/17/2018   Merrill Lynch international   Securities Borrowed N/A   Ordinary   5.525,000   5.525,000   Refer to App B-1   2/17/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (177,034)   (177,034)   Refer to App B-1   3/17/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (177,034)   Refer to App B-1   3/17/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (50,514)   (50,514)   Refer to App B-1   3/17/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (33,451)   (33,451)   (33,451)   Refer to App B-1   3/17/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (751,923)   (751,923)   (751,923)   Refer to App B-1   3/17/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (752,000)   (752,000)   (752,000)   Refer to App B-1   3/17/2018   Merrill Lynch international   Securities Borrowed N/A   Ordinary   (774,922   774,922   774,922   Refer to App B-1   3/14/2018   Merrill Lynch international   Securities Borrowed N/A   Ordinary   (774,922   774,922   Refer to App B-1   3/14/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (774,922   (774,922   Refer to App B-1   3/14/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (774,922   (774,922   Refer to App B-1   3/14/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (774,922   (774,922   Refer to App B-1   3/14/2018   Merrill Lynch international   Securities Returned N/A   Ordinary   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000)   (700,000								
1/31/2018   Merrill Lynch International   Securities Borrowed   N/A   Ordinary   3,125,000   3,125,000   Refer to App B-1								
2472018   Merril Lynch International   Securities Returned   N/A   Ordinary   (3.125,000)   Refer to App B-1   2428/2018   Merril Lynch International   Securities Returned   N/A   Ordinary   (177,034)   (177,034)   Refer to App B-1   2428/2018   Merril Lynch International   Securities Returned   N/A   Ordinary   (60,514)   (60,514)   Refer to App B-1   3472018   Merril Lynch International   Securities Returned   N/A   Ordinary   (60,514)   (60,514)   Refer to App B-1   3472018   Merril Lynch International   Securities Returned   N/A   Ordinary   (73,451)   (33,451)   Refer to App B-1   3472018   Merril Lynch International   Securities Returned   N/A   Ordinary   (751,923)   (751,923)   Refer to App B-1   3472018   Merril Lynch International   Securities Returned   N/A   Ordinary   (752,000)   (752,000)   (752,000)   (752,000)   Refer to App B-1   3472018   Merril Lynch International   Securities Returned   N/A   Ordinary   (74,922)   74,922   74,922   Refer to App B-1   34742018   Merril Lynch International   Securities Returned   N/A   Ordinary   (4,255,000)   (3,750,078)   (8,750,078)   (8,750,078)   (8,750,078)   (74,922)								
2/1/2018   Marrill Lynch International   Securities Returned   N/A   Ordinary   (3,125,000)   (3,125,000)   Refer to App B-1	1/31/2018	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	3.125.000	3,125,000	Refer to App B-1
222018   Merrill Lynch International   Securities Borrowed   N/A   Ordinary   5.525,000   5,525,000   Refer to App B-1	2/1/2018	Merrill Lynch International	Securities Returned	N/A	Ordinary			
3/28/2016   Merrill Lynch International   Securities Returned N/A   Ordinary (177.034) (177.034)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (60.514) (60.514)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (751.923) (751.923)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (751.923) (751.923)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (751.900) (752.000)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Borrowed N/A   Ordinary (74.922)   774.922   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (774.922)   774.922   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Borrowed N/A   Ordinary (774.922)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (774.922)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (774.922)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (774.922)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (774.922)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (700.000)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (700.000)   Refer to App B-1   3/7/2018   Merrill Lynch International   Securities Returned N/A   Ordinary (700.000)   Refer to App B-1								
3/3/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (60.514)   (60.514)   Refer to App B-1				N/A	Ordinary	5,525,000	5,525,000	Refer to App B-1
3/9/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (30.514)   (30.514)   Refer to App B-1	2/26/2018	Merrill Lynch International	Securities Returned	N/A	Ordinary	(177.034)	(177.034)	Refer to App B-1
37/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (33,451)   (33,451)   (33,451)   Refer to App B-1	3/6/2018	Merrill Lynch International		M/A				
3/9/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (751,923)   (751,923)   Refer to App B-1								
3/12/2018   Merrill Lynch International   Securities Berrowed   N/A   Ordinary   774,922   774,922   Refer to App B-1			<del></del>			(33,451)		
3/12/2018   Merrill Lynch International   Securities Berrowed   N/A   Ordinary   774,922   774,922   Refer to App B-1	3/9/2018	Merrill Lynch International	Securities Returned	N/A	Ordinary	(751.923)	(751.923)	Refer to App B-1
3/12/2018   Merrill Lynch International   Securities Borrowed   N/A   Ordinary   774,922   774,922   Refer to App B-1	3/12/2018	Merrill Lynch International						
3/14/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (3,750,078)   (3,750,078)   Refer to App B-1								
3/14/2018 Merrill Lynch International Securities Borrowed N/A Ordinary 4,525,000 4,525,000 Refer to App B-1 3/14/2018 Merrill Lynch International Securities Returned N/A Ordinary (774,922) (774,922) Refer to App B-1 3/22/2018 Merrill Lynch International Securities Returned N/A Ordinary (700,000) (700,000) (700,000) Refer to App B-1 3/29/2018 Merrill Lynch International Securities Returned N/A Ordinary (500,000) (500,000) Refer to App B-1 (500,000) R			Securities Borrowed	N/A	Ordinary	774,922	774,922	Refer to App B-1
3/14/2018   Merrill Lynch International   Securities Borrowed   N/A   Ordinary   4,525,000   4,525,000   Refer to App B-1   3/14/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (774,922)   (774,	3/14/2018[		Convition Deturned	N/A	Ordingo	(2.750.070)	(2.750.070)	Refer to Ann B.1
3/14/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (774,922)   (774,92		IMERTIII LYNCH INCERNATIONAL	I Deculiues Retuilled				I (3.75U U78)	
3/22/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (700,000)   (700,00								
3/29/2018 Merrill Lynch International Securities Returned N/A Ordinary (500,000) Refer to App B-1	3/14/2018	Merrill Lynch International	Securities Borrowed	N/A	Ordinary	4,525,000	4,525,000	Refer to App B-1
3/29/2018   Merrill Lynch International   Securities Returned   N/A   Ordinary   (500,000)   (500,000)   Refer to App B-1	3/14/2018 3/14/2018	Merrill Lynch International Merrill Lynch International	Securities Borrowed Securities Returned	N/A N/A	Ordinary	4,525,000	4,525,000	Refer to App B-1
	3/14/2018 3/14/2018	Merrill Lynch International Merrill Lynch International	Securities Borrowed Securities Returned	N/A N/A	Ordinary Ordinary	4,525,000 (774,922)	4,525,000 (774,922)	Refer to App B-1 Refer to App B-1
	3/14/2018 3/14/2018 3/22/2018	Merrill Lynch International Merrill Lynch International Merrill Lynch International	Securities Borrowed Securities Returned Securities Returned	N/A N/A N/A	Ordinary Ordinary Ordinary	4,525,000 (774,922) (700,000)	4,525,000 (774,922) (700,000)	Refer to App B-1 Refer to App B-1 Refer to App B-1
	3/14/2018 3/14/2018 3/22/2018 3/29/2018	Merrill Lynch International Merrill Lynch International Merrill Lynch International	Securities Borrowed Securities Returned Securities Returned	N/A N/A N/A	Ordinary Ordinary Ordinary	4,525,000 (774,922) (700,000)	4,525,000 (774,922) (700,000)	Refer to App B-1 Refer to App B-1 Refer to App B-1
	3/14/2018 3/14/2018 3/22/2018 3/29/2018	Merrill Lynch International Merrill Lynch International Merrill Lynch International	Securities Borrowed Securities Returned Securities Returned	N/A N/A N/A	Ordinary Ordinary Ordinary	4,525,000 (774,922) (700,000)	4,525,000 (774,922) (700,000)	Refer to App B-1 Refer to App B-1 Refer to App B-1
	3/14/2018 3/14/2018 3/22/2018 3/29/2018	Merrill Lynch International Merrill Lynch International Merrill Lynch International	Securities Borrowed Securities Returned Securities Returned	N/A N/A N/A	Ordinary Ordinary Ordinary	4,525,000 (774,922) (700,000)	4,525,000 (774,922) (700,000)	Refer to App B-1 Refer to App B-1 Refer to App B-1
	3/14/2018 3/14/2018 3/22/2018 3/29/2018	Merrill Lynch International Merrill Lynch International Merrill Lynch International	Securities Borrowed Securities Returned Securities Returned	N/A N/A N/A	Ordinary Ordinary Ordinary	4,525,000 (774,922) (700,000)	4,525,000 (774,922) (700,000)	Refer to App B-1 Refer to App B-1 Refer to App B-1

# Appendix B-1: Prescribed information

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Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International and Harbour Asia Opportunity Master Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix C

Related bodies corporate	Incorporated	ACN/Registration/Incorporation Number
Merrill Lynch, Pierce, Fenner & Smith Incorporated	United States	529619
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Limited	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Limited	Australia	075923894
Merrill Lynch International	United Kingdon	2312079
ML UK Capital Holdings	United Kingdon	4971231
BofAML EMEA Holdings 2 Limited	Jersey	119605
Bank of America Global Holdings, LLC	United States	5169939
BofAML Jersey Holdings Limited	Jersey	115831
NB Holdings Corporation	United States	2361372
BAC North America Holding Company	United States	1379552
Bank of America Corporation	United States	N/A628