Media Release

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ASX releases inaugural supervision report for listed companies focusing on market integrity and disclosure practices

The ASX Listed Entity Supervision Report 2026 provides new transparency on ASX's supervisory work and sets out focus areas for the year ahead. It highlights ASX's shift to proactive and risk-based supervision focused on areas of key market integrity risks, while giving listed companies clarity on issues like ASX's approach to earnings surprises and investor presentations.

Group Executive, ASX Supervision, Lucinda McCann, said: "ASX's purpose is to power a stronger economic future by enabling a fair and dynamic marketplace for all. That means we will engage with companies on issues that underpin fair, orderly and transparent markets. Our engagement will be risk-based, visible and transparent and so we're letting companies know what we will be focusing on and how we will respond where we have concerns.

"Australia has a well-functioning listed market supported by good companies, robust listing rules, plus effective supervision and corporate regulation. Being more transparent on our priorities gives listed companies and their advisers more certainty on where we will focus our attention, supporting more efficient engagement and allowing listed companies to keep operating with confidence."

Over the next 12 months ASX will focus on repeated conduct intended to 'ramp' a company's share price through inappropriate use of the market announcements platform, and disclosures from mining companies. ASX will also review disclosures about private credit investments by newly admitted entities and key shareholder approval rules.

ASX has also expanded its market education program, reinstating regular briefing sessions and updates for company secretaries to improve understanding of listing rule issues and supervisory expectations.

While ASX Supervision regularly reports on and engages with the market about its work and supervisory issues, this is the first time this information has been brought together in one place to help educate the market about ASX Supervision's role and focus areas.

The Supervision Report 2026 also details ASX Supervision's activity in the nine-months to 30 March 2026, including:

- 2500+ advices ASX provided;
- 300+ waivers ASX processed;
- 700+ reports of misconduct ASX considered;
- 2000+ matters ASX investigated; and
- 20 matters ASX referred to ASIC.

Background

ASX Supervision is a division in ASX that supervises all issuers and participants and monitors their compliance with ASX's Listing and Operating Rules across its markets. Previously called ASX Compliance, the name was changed to better align with its supervisory role. ASX Supervision makes decisions about compliance and enforcement independently of other ASX staff or divisions in ASX.

The ASX Listed Entity Supervision Report 2026 can be found **here.**

Further enquiries

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