



# Listed@ASX Compliance Update no. 03/23

22 March 2023

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## 1. Updated Information Forms and Checklists

On 6 March 2023, ASX published updated Information Forms and Checklists that must be submitted by entities applying to ASX for admission to the Official List as an ASX Listing, ASX Debt Listing or ASX Foreign Exempt Listing. The updated documents are accessible at <https://www2.asx.com.au/about/regulation/asx-compliance/asx-compliance-downloads>.

Any entity applying for admission must use an updated Information Form and Checklist. If ASX receives an application with an old Information Form and Checklist, ASX will request that the applicant completes and submits an updated Information Form and Checklist.

## 2. Reminder: automatic suspension for failing to lodge periodic reports on due date - strict enforcement of lodgement deadlines

Listed entities are reminded that ASX strictly enforces deadlines for the lodgement of periodic reports.

Periodic reports must be lodged before the market announcements office closes on the business day when the report is due, or the entity's securities will be suspended from trading on the next trading day.

Australian-incorporated listed entities must give their annual financial report, including audited financial statements, to ASX when the report is lodged with ASIC and in any event no later than three months after the end of the accounting period. For Australian-incorporated listed entities with a December 31 year end, this means that their annual financial report must be given to ASX **no later than 8.30pm Sydney time on Friday 31 March 2023**.

If the report is given to ASX after this time, the entity's securities will be suspended from trading on Monday 3 April 2023.

Listed entities are encouraged to contact their Adviser if they have any queries.

## 3. ASX Market Announcements Office closes one hour earlier from the end of Daylight Saving Time

During Daylight Saving, the ASX Market Announcements Office remains open for an extra hour, until 8:30pm Sydney time, so that its closing time aligns with 5.30pm Perth time.

Daylight Saving ends in all the States where it is observed this year on Sunday 2 April 2023.

From Monday 3 April 2023, the Market Announcements Office will open at 7:00am AEST and close at 7:30pm AEST on each trading day.

Processing of announcements commences at 7:30am AEST, giving priority to announcements by entities that have a dual listing in New Zealand so that these are released in time for the opening of trading on the NZX. For those entities that are not dual listed, the Market Announcements Office will generally commence releasing announcements from approximately 8:25am AEST.

The Market Announcements Office will continue processing and releasing announcements as they are received during the trading day up to 7:30pm AEST.

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