

## Listed@ASX Compliance Update no. 07/25

16 June 2025

## **ASIC inquiry into ASX**

ASX acknowledges the announcement today by the Australian Securities and Investments Commission (ASIC) regarding an inquiry into ASX.

The announcement notes that ASIC will appoint an expert panel to examine the frameworks and practices within ASX in relation to governance, capability and risk management.

ASX's response to ASIC's announcement can be viewed on our website <u>here</u>, which includes comments from our Chair and CEO.

Our Board and management team have acknowledged that the inquiry is a significant action by the regulator, and ASX will be fully cooperating with the inquiry to ensure it is effective.

We are committed to building a stronger exchange so our customers can have trust and confidence in ASX, and we welcome the opportunity the inquiry will bring in helping us to achieve that.

There is no action required of listed entities and the inquiry will not impact on day-to-day interactions between listed entities and ASX.

If you have any customer questions, please do not hesitate to contact the ASX Listings team at <a href="mailto:listed@asx.com.au">listed@asx.com.au</a>.

Listed entities are reminded that they must have a nominated person responsible for communication with ASX in relation to Listing Rule matters (Listing Rule 12.6).

Entities should keep the contact details of their nominated ASX contacts up to date on ASX Online at all times and also inform their Listings Adviser of any changes. Further information about how to notify ASX of any changes (including temporary changes) made to the entity's nominated ASX contact is available <a href="here">here</a> (see Item 3).

If you missed any of our historical Compliance Updates, you can download past editions here.

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