

# Agenda

# **CHESS Replacement Technical Committee**

Date	8 November 2023	Time	2:00pm- 4:00pm		
Location ASX offices – Level 1, 20 Bridge Street, Sydney/MS Teams					
1. Welco	ome and open actions				
2. Meml	Member feedback				
3. Projec	ct status & governance				
4. ISO 20	0022 binary transmission				
5. Scope	. Scope refinement next steps & engagement				
6. Forwa	ard work plan				
7. Obser	Observer playback				



## **CHESS Replacement Technical Committee Members**

ASX Tim Whiteley Director, CHESS Replacement Project Director, CHESS Replacement Project Director, CHESS Replacement Project Director, CHESS Replacement Project CHESS Replacement Project Director, CHESS Replacement Project Director, CHESS Replacement Project Mark AMARO CLEARING Matthew McMahanon AUSIEX Mark Pace Head of Solution Design BANK OF AMERICA Matt Gwynn Operations Business Manager BELL POTTER SECURITIES Lee Muco Chief Operating Officer BNP PARIBAS Wayne Murphy Project Manager CITI Lyall Herron Program Manager CITI Lyall Herron Program Manager CITI Lyall Herron Program Manager CITI Schockbroking COMMSEC Ryan Jones Crew Technical Lead FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager JP. MORGAN SCOLD AUSTRALEY SECURITIES Rebecca Collins Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst Executive Director Product Management MORGAN STANLEY SECURITIES Rebecca Collins Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director Director MORGANS Daniel Spokes Director, Client Support Services NAB RODD Andrew Sime Executive Director Director Third party software vendors Head of Investment Service (WealthHub Securities)  UBS Scott Hanlon Head of Operations, Executive Director Third party software vendors Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management - Capital Markets SECURITEASE David Hinkley General Manager Head of Trading Technology Markets, Technology  CBOE Mike Aikins Vice President APAC - Operations and Technology Share registries	Company	Name	Job Title	Apologies
ABN AMRO CLEARING Matthew McMahon  AUSIEX Mark Pace Head of Solution Design  BANK OF AMERICA Matt Gwynn Operations Business Manager  BELL POTTER SECURITIES Lee Muco Chief Operating Officer  BNP PARIBAS Wayne Murphy Project Manager  CITI Lyall Herron Program Manager  CMC Michael Connaghan Head of Engineering & Project Delivery, Stockbroking  COMMSEC Ryan Jones Crew Technical Lead  FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering  HSBC Simon Siluk Senior Product Manager  J.P. MORGAN Soott Oakland Executive Director Product Management  MACQUARIE GROUP Paul Bragg Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins Executive Director  MORGAN STANLEY WEALTH Andrew Sime Executive Director  MORGANS Daniel Spokes Director, Client Support Services  NAB RODK Migham Head of Investment Service (WealthHub Securities)  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  ENCAPPINGER Craig Gray Head of Product, Clearing and Settlement  FINZ Nick Clarke Head of Product, Clearing and Settlement  FINZ Nick Clarke Head of Product Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets,  Technology  NSX Yemi Oluwi Head of Market Operations and  Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology	ASX	Tim Whiteley		
AUSIEX Mark Pace Head of Solution Design BANK OF AMERICA Matt Gwynn Operations Business Manager BELL POTTER SECURITIES Lee Muco Chief Operating Officer BELL POTTER SECURITIES Ley Muco Chief Operating Officer CMC Michael Connaghan Stockbroking Program Manager  COMMSEC Ryan Jones Crew Technical Lead FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager  J.P. MORGAN SCOLT Oakland Executive Director Product Management  MACQUARIE GROUP Paul Bragg Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins Executive Director  MORGAN STANLEY WEALTH Andrew Sime Executive Director  MORGANS Daniel Spokes Director, Client Support Services  NAB Rodd Kingham Head of Investment Service (WealthHub Securities)  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology	Clearing and Settlement part	cicipants		
BANK OF AMERICA Matt Gwynn Operations Business Manager  BELL POTTER SECURITIES  Lee Muco Chief Operating Officer  BNP PARIBAS Wayne Murphy Project Manager  CITI Lyall Herron Program Manager  CMC Michael Connaghan Head of Engineering & Project Delivery, Stockbroking  COMMSEC Ryan Jones Crew Technical Lead  FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering  HSBC Simon Silluk Senior Product Manager  J.P. MORGAN Scott Oakland Executive Director Product Management  MACQUARIE GROUP Paul Bragg Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins Executive Director  MORGAN STANLEY WEALTH Andrew Sime Executive Director  MORGANS Daniel Spokes Director, Client Support Services  NAB Rodd Kingham Head of Investment Service (WealthHub Securities)  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Balley Senior Business Analyst  FINCLEAR Craig Gray Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product Management — Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Mike Aikins Vice President APAC — Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari	ABN AMRO CLEARING		Head of Operations	
BELL POTTER SECURITIES  Lee Muco  Chief Operating Officer  BNP PARIBAS  Wayne Murphy Project Manager  CTI  Lyall Herron Program Manager  CMC Michael Connaghan Head of Engineering & Project Delivery, Stockbroking  COMMSEC Ryan Jones Crew Technical Lead  FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager  J.P. MORGAN Scott Oakland Executive Director Product Management  MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins Executive Director  MORGAN STANLEY SECURITIES Rebecca Collins MORGAN STANLEY WEALTH Andrew Sime Executive Director  MORGANS Daniel Spokes Director, Client Support Services  NAB Rodd Kingham Head of Investment Service (WealthHub Securities)  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product, Clearing and Settlement Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Mike Aikins Vice President APAC – Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SYDNEY STOCK EXCHANGE	AUSIEX	Mark Pace	Head of Solution Design	
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FINCLEAR SERVICES  Nikki Gleisner  Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS  Simon Wyss  COO, Australia/ New Zealand Engineering  HSBC  Simon Siluk  Senior Product Manager  J.P. MORGAN  Scott Oakland  Executive Director Product Management  MACQUARIE GROUP  Paul Bragg  Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins  Executive Director  MORGAN STANLEY WEALTH  Andrew Sime  Executive Director  MORGANS  Daniel Spokes  Director, Client Support Services  NAB  Rodd Kingham  Head of Investment Service (WealthHub Securities)  UBS  Scott Hanlon  Head of Operations, Executive Director  Third party software vendors  BROADRIDGE  Danielle Gerace  Head of Client Service Australia  COMPUTERSHARE  Leanne Bailey  Senior Business Analyst  FINCLEAR  Craig Gray  Head of Product, Clearing and Settlement  FNZ  Nick Clarke  Head of Product Management – Capital  Markets  SECURITEASE  David Hinkley  General Manager  AMOS  ASX  Jimmy Halstead  Head of Trading Technology Markets,  Technology  CBOE  Mike Aikins  Vice President APAC – Operations and  Technology  SYDNEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Control & Exchange  Technology  SYDNEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Control & Exchange  Technology  SYDNEY STOCK EXCHANGE	CMC	Michael Connaghan		
Relationship Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering  HSBC Simon Siluk Senior Product Manager  J.P. MORGAN Scott Oakland Executive Director Product Management  MACQUARIE GROUP Paul Bragg Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins Executive Director  MORGAN STANLEY WEALTH Andrew Sime Executive Director  MORGANS Daniel Spokes Director, Client Support Services  NAB Rodd Kingham Head of Investment Service (WealthHub Securities)  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst  FINCLEAR Craig Gray Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets,  Technology  CBOE Mike Aikins Vice President APAC – Operations and Technology  NSX Yemi Oluwi Head of Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology	COMMSEC	Ryan Jones	Crew Technical Lead	
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MORGANS  Daniel Spokes  NAB  Rodd Kingham  Head of Investment Service (WealthHub Securities)  UBS  Scott Hanlon  Head of Operations, Executive Director  Third party software vendors  BROADRIDGE  Danielle Gerace  Head of Client Service Australia  COMPUTERSHARE  Leanne Bailey  Senior Business Analyst  FINCLEAR  Craig Gray  Head of Product, Clearing and Settlement  FNZ  Nick Clarke  Head of Product Management – Capital  Markets  SECURITEASE  David Hinkley  General Manager  AMOS  ASX  Jimmy Halstead  Head of Trading Technology Markets,  Technology  CBOE  Mike Aikins  Vice President APAC – Operations and  Technology  NSX  Yemi Oluwi  Head of Market Operations and  Technology  SYDNEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Control & Exchange  Technology	MORGAN STANLEY SECURITIE	S Rebecca Collins	Executive Director	
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FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOs  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Mike Aikins Vice President APAC – Operations and Technology  NSX Yemi Oluwi Head of Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology	COMPUTERSHARE	Leanne Bailey	Senior Business Analyst	
Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Mike Aikins Vice President APAC – Operations and Technology  NSX Yemi Oluwi Head of Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology	FINCLEAR	Craig Gray	Head of Product, Clearing and Settlement	
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Technology  CBOE Mike Aikins Vice President APAC – Operations and Technology  NSX Yemi Oluwi Head of Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology	AMOs			
CBOE Mike Aikins Vice President APAC – Operations and Technology  NSX Yemi Oluwi Head of Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology	ASX	Jimmy Halstead		
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SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology	NSX	Yemi Oluwi	Head of Market Operations and	
	SYDNEY STOCK EXCHANGE	Rajnish Tiwari	Head of Market Control & Exchange	
	Share registries			
ADVANCE SHARE REGISTRY Patrick Gan IT Manager		Patrick Gan	IT Manager	

Company	Name	Job Title	Apologies
AUTOMIC GROUP	Sue Julian	Head of Business Operations	
BOARDROOM	Michael Mullins	Chief Information Officer	
COMPUTERSHARE	Scott Hudson	General Manager – Market Liaison	
LINK MARKET SERVICES	Kelvin Chee	Senior Project Manager – CHESS Replacement	

<sup>\*</sup> Delegate

### **Observers**

Company	Name	Job Title	Apologies
AFMA	Brett Harper	Chief Executive Officer	
	Damian Jeffree	Senior Director of Policy	
ACSA	David Travers	Chief Executive Officer	
AIRA	Ian Matheson	Chief Executive Officer	
GIA	Simon Pordage	Brand Ambassador for Governance Institute of Australia & Company Secretary for ANZ)	
SIAA	Judith Fox	Chief Executive Officer	
ASIC	Dodie Green	Senior Manager, Market Infrastructure	
	Andia Petropoulos	Senior Analyst, Market Infrastructure	
	Bharat Patel	Senior Specialist, Financial Markets Infrastructure	
	Benjamin Cohn- Urbach	Senior Specialist, Office of the Chair	
RBA	Kylie Stewart	Senior Manager, Domestic and Payments, Financial Market Infrastructure	
	Tatiana Moiseeva	Lead Analyst, Financial Market Infrastructure, Domestic and Payments	
	Elizabeth Kandelas	Manager	
	Rhea Choudhary	Graduate	
AusPayNet	Luke Wilson	Chief Operating officer	

#### EY

Name	Job Title	Apologies
Corinne De Rosa	Director, Financial Services	
Felicity Potter	Partner, Financial Services	
Monica Du	Manager	

#### **ASX Management**

Name	Job Title	Apologies
Katie McDermott	General Manager, Business Design, CHESS Replacement Project	
Steven Hannan	Stakeholder Engagement Senior Manager, Equity Post Trade	
Triona Quinlan	Delivery Lead, CHESS Replacement Project	
Russell Eyre	Enterprise Architect, CHESS Replacement Project	
Chris Boyes	Senior Product Manager, Securities and Payments	