

UPDATE
Post the Business Design Working Group held on 6 February 2025, we have reviewed the feedback received and the discussions held both during the session and since, and have summarised the updates we're proposing to adopt in red text . Updates in purple text have been made post the Registries Focus Group held on 13 March 2025. Column L ('Summary of Updates') contains details of these updates and explanatory notes where applicable. All attributes included in this document are subject to change due to further analysis, legal and regulatory review.

SECTION CONTEXT	DETAIL	INDUSTRY FEEDBACK	ASX SUMMARY OF UPDATES
Registration Details	This Registration Details section contains data fields in relation to the registered holder of the securities account . The primary proposed change in relation to current CHESS is the introduction of structured addresses and separated holders (e.g. up to three address lines for an account, supplemented by suburb, state and postcode fields). These have been modified slightly to align to the standard addresses data model in the TCS BaNCS product.	HIN: Consider the length of the HIN and prepend the number with an X. Account type: Request to add additional Ownership Types such as nominee, corporation, custodial. Holder name: Reduce this field to 80 to align to the length agreed in the previous project. Address: Consider adding address lines 4 and 5 to account for legacy accounts and additional validation for NZ addresses.	HIN: Analysis confirms that only 1.29% of possible HIN combinations are currently being utilised and ASX has decided against adding an X to the HIN due to the significant number of messages and industry processes this will impact. The ISO WG is considering ways to better distinguish HINs versus SRNs in the message. The HIN format will remain as a 10-character numeric attribute with the last digit to remain a check digit. Account type: No changes proposed due to the requirement to customise ISO messages and BaNCS. Company is already included as a Holder Type attribute. Nominee has been added as an ownership type based on industry feedback from the Registries focus group. Company is currently covered by the Holder Type. Holder name: Reduced from 140 characters to 80 characters. Address: ASX proposes to add address lines 4 and 5 for legacy accounts only for the purpose of migration. This is not applicable to new accounts which will need to be created with 3 address lines only. Any legacy account that exceeds the limit of 140 characters will be considered a data quality issue and should be remediated by the Participant. Additional validations for Town name and Postcode included for New Zealand addresses. Town name is updated to Mandatory for all accounts as this was a mandatory field in the previous project and is a mandatory field in BaNCS.
Communication Information	The Communication Information section contains data fields required to communicate with the investor. Mobile number has been added as an optional additional communication method, as well as an optional mailing address that would allow investors to have the ability to separate their mailing address from their registered address, where elected.	Email and mobile: Participants requested a clearer understanding of how the data will be used should it be provided to Registries. Mailing address: The industry did not see value in these fields and expressed concerns it would further promote postal communication.	Email and mobile: ASX proposes to retain both email address and mobile to promote electronic communication and reduce fraud. Further consideration is required to ensure the obligations and expectations on Issuers and Registries when receiving this information is understood. Further clarification added that these attributes are optional for Direct, Accumulation and Settlement Entrepot accounts. Mailing address: Attributes removed from scope.
Account Status Information	The Account Status section contains data fields typically used by a Participant for managing death and bankruptcy processes, and for ASX to manage court orders and other requests. This has not materially changed from current CHESS, though has been simplified in relation to the previous CHESS replacement proposal of managing death/bankruptcy at a holder (as opposed to account) level. An additional data field is proposed to be added to allow a Share Registry to notify a Participant of data issues.	Account data issue: The industry expressed concerns regarding application of a flag where the information been sourced from an investor directly and the obligations and expectations on Participants when advised of data issues. Date of event: The industry requested ASX to consider whether Date of Event is a required field and supplied to registries for Death/Bankruptcy .	Account data issue: No flag will be recorded against the HIN, this will be a pass through message only. Date of event: ASX confirms this field is not currently supplied to registries and is evaluating whether current requirements on Participants to supply this field are still required. Attribute changed to Out of Scope as this information is not required.
Ownership Restrictions	The Ownership Restrictions section contains data fields that are intended to replace the existing Residency Indicator. This seeks to distinguish between aggregate foreign ownership restrictions versus restricted securities. Additionally a wholesale indicator has been added at the request of some Issuers and may be used to control certain securities restricted to wholesale use (e.g. Hybrids).	Aggregate foreign ownership: Feedback received requesting ASX evaluate whether aggregate foreign ownership restrictions is still actively used. US person indicator: Feedback received regarding the valid values that should be used for a US person indicator and how this would be handled for Issuer rules that extended beyond the US. Retail/Wholesale indicator: The industry was against the addition of this field due to the limited usage and benefits this would provide, and the added administrative burden and requirement to refresh this indicator every 2 years.	Aggregate foreign ownership and US person indicator: Further analysis is required to confirm the use cases and detail of these attributes. To be discussed further in the Registries working group in March. Retail/Wholesale indicator: Attributes removed from scope.

SECTION CONTEXT	DETAIL	INDUSTRY FEEDBACK	ASX SUMMARY OF UPDATES
Advisor Details	The Advisor Details section contains data fields that can be used to identify the Advisor (where applicable). This information has been requested by ETF Issuers and is currently provided in relation to mFund. Given the Advisor does not typically differ for different holdings of a HIN, the proposal is to record and communicate this information (where provided) to all Issuers. Only limited information that would allow an Issuer to identify the Advisor is proposed.	Advisor details: The industry was against the addition of this field due to limited usage and benefits provided.	Adviser details: Attributes are out of scope.
Additional Holder Information (up to four)	The Additional Holder Information contains additional data in relation to the holder. This is proposed to support four holders, as agreed in prior BDWGs, with the possibility of increasing the number of holders in the future. A holder identifier is required by ISO and in the BaNCS product, this is proposed to be simplified to an additional digit following the HIN (e.g. 12345678-1 for Holder 1 of HIN 12345678). Additional Holder Information has been simplified from the previous CHES replacement project with less fields proposed to be captured.	Holder ID: Feedback received to consider alphanumeric characters, there was industry preference for numeric only identifiers. Date of birth: Feedback received to consider whether date of birth can be received by the Registry for non-FATCA reasons and the purposes that it can be used.	Holder ID: These will be ASX generated using the HIN followed by the numbers 01, 02, 03, 04 to indicate the holder. This field is intended to be used from a technical perspective only and not shared with Investors or Issuers. Holder Type: Updated from Company to Organisation to reflect ISO standards. Date of birth: Attribute removed as FATCA details are out of scope and concerns around the privacy implications of this information being shared via CHES.
Bank Account Details	The Bank Account Details section proposes to capture a single Bank Account at a HIN level that would be provided to all Issuers. This would provide a mechanism that would better ensure bank accounts are being communicated and reduce scenarios where a bank account is not available for payment, resulting in cheques being issued. It could also be used to notify the Bid Offeror in certain scenarios (<i>to be determined</i>). The existing pass-through message would not be carried forward and an Investor requiring different bank accounts for different holdings would need to manage this scenario with the Registry directly, or request on an individual Corporate Action basis. The existing Bank Account rejection message would be replaced with a generic message the Registry can submit that would allow indication that there is a data issue with an account (e.g. invalid bank account, invalid email or returned mail address) – as included in the Account Status section.	Bank Account details: Industry highlighted the need for Participants to continue to be able to nominate bank accounts for specific Issuers. Some Registries and Participants requested the need to have a default bank account recorded against a HIN.	Bank account details: Further discussion is required to determine if bank details will remain a pass-through attribute per Issuer or stored as an attribute on a HIN. Bank account details will not be on the account creation messages for Drop 1 and will be via a separate message confirmed in the future.
Domestic Tax Details	The Domestic Tax Details section proposes to continue pass through TFNs on a per Issuer basis, whilst supporting recording of ABNs against the HIN. The rationale for continuing to pass-through TFNs is to ensure compliance with the strict privacy requirements relating to TFNs, including the requirement for an investor to specifically request a TFN is shared with an individual organisation. ABNs, however, are more readily sharable. This field is subject to ongoing legal and regulatory considerations.	ABN: Feedback received to consider the ABN as a pass through attribute to avoid confusion, however industry feedback indicated that this was a useful field to separate and include as a separate attribute of a HIN.	ABN: Will be provided to all issuers as a separate field from the TFN. The format will continue to be 12 characters, with the first 11 characters for the ABN, and the 12th character being the investor identity type which will include (I,C,T,P,S,D,G,O) as per current CHES. ABN: Industry feedback in the March ISOWG confirmed that the preference is to keep the ABN as a pass through field per Issuer and not record this as an attribute of th HIN. ASX proposes to descope the ABN from account creation flows and continue to have this as a pass through field together with the TFN as per current CHES functionality.
FATCA/CRS Details	The FATCA/CRS Details section proposes to allow a HIN to contain certain fields that would allow FATCA/CRS certification to occur automatically in the most common scenarios, and without the investor needing to re-certify with the share registry. Investor Types are proposed to be limited to: <ul style="list-style-type: none">– Individual & Joint Holders– Superannuation Funds– Companies & Trusts that are non-foreign and classified as active/passive NFEs. Other Investor Types, including more complex arrangements (such as scenarios requiring the documentation of beneficial ownership), would continue to require manual certification. Usage of the fields would be subject to further legal and regulatory evaluations.	FATCA: Industry feedback highlighted the regulatory challenges that limit the ability for Registries to use FATCA details collected by Participants due to existing intergovernmental agreements. Participant feedback outlined the preference to not include any FATCA details in CHES due to challenges if an investor chooses to transfer their HIN to a Participant that does not opt into these details as well as the obligation to test the validity of any information received.	FATCA: All FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog.

SECTION CONTEXT	DETAIL	INDUSTRY FEEDBACK	ASX SUMMARY OF UPDATES
Corporate Actions	The Corporate Actions section contains data fields which were requested at a HIN level but are proposed to be passed through on a per-Corporate Action Basis. This includes the election (e.g. cash/DRP) and bank account details (including foreign bank accounts).	Feedback to be considered in a later drop.	No change.
Registry Notifications	Account notifications were proposed to be provided at the end of day rather than on an intra day basis.	Registries highlighted concerns with the proposal to no longer provide account details immediately, given the implications to current IPO processes.	ASX is evaluating solutions within BaNCS and will continue discussions in the upcoming Registries WG in March.

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Attribute	Source	Scope	Format	Optional / Mandatory	Account / Holder Level	Issuer / Registry Communication	Current CHES Field	Current CHES Message	ASX Summary of Updates
Registration Details									
HIN	Existing	In Scope	10 chars numeric	ASX generated	Account	All Issuers	HIN	202/512	Check digit to remain as the last digit of the HIN. HIN to remain as 10 characters numeric, analysis indicates that only 1.29% of possible HIN combinations are used.
Controlling Participant	Existing	In Scope	5 chars numeric	Conditional. Mandatory for sponsored accounts only when required in messages to the Registry or as a response to a Controlling Participant enquiry.	Account	All Issuers	Controlling Participant	201/512	This is the UIC of the Participant.
Account Type	Existing	In Scope	Sponsored, Direct, Settlement, Accumulation	Mandatory	Account	All Issuers	Holder Type & Entrepot Type	201/512	Account Type combines two fields in current CHES.
Ownership Type	Previous CHES R	In Scope	Single, Joint Nominee	Mandatory	Account	All Issuers	Derived from Registration Details	New	This field is used to interpret the structure of ISO messages. ASX proposes no change to this field. Nominee has been added as an ownership type based on industry feedback from the Registries focus group. Company is currently covered by the Holder Type.
Holder Name	Existing	In Scope	80 characters alphanumeric	Mandatory	Holder	All Issuers	Registration Details (Lines prior to "," and separated with a "+")		Separated holders – up to 4. Reduced from 140 to 80 characters to align with the previous project.
Registered Address Line 1	Existing	In Scope	40 characters alphanumeric	Mandatory	Account	All Issuers	Registration Details (Lines following name/designation and before suburb)	201/203/512	BaNCs represents addresses as standard block of a maximum of 140 characters which can be supplemented by Town, Country, Postcode and Country Code.
Registered Address Line 2	Existing	In Scope	40 characters alphanumeric	Optional	Account	All Issuers	Registration Details (Lines following name/designation and before suburb)	201/203/512	
Registered Address Line 3	Existing	In Scope	40 characters alphanumeric	Optional	Account	All Issuers	Registration Details (Lines following name/designation and before suburb)	201/203/512	
Registered Address Town name (Suburb)	Existing	In Scope	35 characters alphanumeric	Conditional-Mandatory for Australian all addresses, except legacy accounts, otherwise optional. Mandatory for New Zealand addresses.	Account	All Issuers	Registration Details – AU suburb	201/203/512	Town name is the ISO term, which will be used for Suburb for Australian addresses. Additional validation added for addresses with NZ country code. Updated to Mandatory for all accounts as this was a mandatory field in the previous project and is a mandatory field in BaNCs.
Registered Address Country subdivision (State)	Existing	In Scope	35 characters alphanumeric State abbreviation for AU	Conditional. Mandatory for Australian addresses with values NSW, ACT, TAS, QLD, SA, NT, WA, VIC, except legacy accounts, otherwise optional.	Account	All Issuers	Registration Details – AU state	201/203/512	Country subdivision is the ISO term, which will be used for State for Australian addresses
Registered Address Postcode	Existing	In Scope	10 characters alphanumeric 4 digits for AU	Conditional. Mandatory for Australian addresses with 4 digit numeric value except legacy accounts, otherwise optional. Mandatory for New Zealand addresses.	Account	All Issuers	Registration Details – AU postcode	201/203/512	Additional validation added for addresses with NZ country code.

Attribute	Source	Scope	Format	Optional / Mandatory	Account / Holder Level	Issuer / Registry Communication	Current Chess Field	Current Chess Message	ASX Summary of Updates
Registered Address Country Code	Existing	In Scope	2 character ISO country code	Mandatory	Account	All Issuers	Registration Details – country code (or AU)	201/203/512	2 character ISO code is preferred in ISO20022.
Account Designation	Existing	In Scope	35 characters alphanumeric	Optional	Account	All Issuers	Registration Details (contained between <>)	201/203/512	
Nominated Settlement Entrepot	Previous CHES R	Out of Scope	NA	NA	Account	NA	NA	NA	To support house/client segregation this will be managed by mapping of position accounts and settlement accounts. Initially this will be facilitated by ASX Operations.
Account Name	Previous CHES R	Out of Scope	NA	NA	Account	NA	NA	NA	Account Name can be derived as a concatenation of Holder Name(s) and Designation. This is subject to legal and regulatory assessment and review.
Mailing Addressee Line	Previous CHES R	Out of Scope	NA	NA	Account	NA	NA	NA	Mailing Addressee Line should be determined at the point of mail generation.
Registered Address Line 4	Previous CHES R	In Scope for legacy accounts only	30 characters alphanumeric	Permitted for legacy accounts only	Account	NA	NA	NA	Previously used for mapping legacy accounts. ASX proposes to utilise Town name / Country subdivision instead of adding additional lines. ASX proposes adding address lines 4 and 5 for legacy accounts only for the purpose of migration. Any account that exceed the limit of 140 characters are considered a data quality issue and should be remediated by the Participant.
Registered Address Line 5	Previous CHES R	In Scope for legacy accounts only	30 characters alphanumeric	Permitted for legacy accounts only	Account	NA	NA	NA	As above ASX proposes adding address lines 4 and 5 for legacy accounts only for the purpose of migration. Any account that exceed the limit of 140 characters are considered a data quality issue and should be remediated by the Participant.
Communication Information									
Communication Preference	Existing	In Scope	Post, Electronic (ASX only), Electronic (Issuer only), Electronic (Both)	Mandatory for Sponsored and Direct account type Optional for Direct, Accumulation and Settlement Entrepot accounts.	Account	All Issuers	New	201/203/512	
Email	Existing	In Scope	70 characters alphanumeric	Conditional (Mandatory if Electronic communication preference is selected)	Account	All Issuers – if Preference	New	201/203/512	ASX proposes to retain both email address and mobile to promote electronic communication and reduce fraud. Further consideration is required to ensure the obligations and expectations on Issuers and Registries when receiving this information is understood.
Mobile	Previous CHES R	In Scope	13 characters alphanumeric for Australian mobile numbers (including country code +61-) 34 characters alphanumeric for International mobile numbers	Optional Conditional (Optional if Electronic communication preference is selected)	Account	All Issuers – if Preference	New	New	ASX proposes to retain both email address and mobile to promote electronic communication and reduce fraud. Further consideration is required to ensure the obligations and expectations on Issuers and Registries when receiving this information is understood.
Mailing Address Line 1	New	Out of Scope	40 characters alphanumeric	Optional	Account	All Issuers	New	New	
Mailing Address Line 2	New	Out of Scope	40 characters alphanumeric	Optional	Account	All Issuers	New	New	
Mailing Address Line 3	New	Out of Scope	40 characters alphanumeric	Optional	Account	All Issuers	New	New	
Mailing Address Town name	New	Out of Scope	35 characters alphanumeric	Optional	Account	All Issuers	New	New	
Mailing Address Country subdivision	New	Out of Scope	35 characters alphanumeric	Optional	Account	All Issuers	New	New	
Mailing Address Postcode	New	Out of Scope	10 characters alphanumeric	Optional	Account	All Issuers	New	New	
Mailing Address Country Code	New	Out of Scope	2 character ISO country code	Optional	Account	All Issuers	New	New	

Attribute	Source	Scope	Format	Optional / Mandatory	Account / Holder Level	Issuer / Registry Communication	Current CHES Field	Current CHES Message	ASX Summary of Updates
Account Status Information									
Account Status	Existing	In Scope	Active Locked Cancelled	Mandatory	Account	All Issuers	Holder Status	203/512	
Account Status Reason	Existing	In Scope	Bankrupt Deceased Subject to Court Order Action by ASX Non-Specific (Investigation) Error	Mandatory (when locking an account)	Account	All Issuers	Holder Status	203/512	
Account Data Issue	New	In Scope	Invalid bank account Invalid email address Returned mail	Optional	Account	Issuer to Participant	New	New	Pass through message only.
Date of Event	Existing	In Scope – TBC, see update for further details Out of Scope	YYYY-MM-DD	Conditional (Mandatory where Account Status Reason = Bankrupt, Deceased)	Account	Not disclosed to Issuers	Date of Event	203/512	The industry requested ASX to consider whether Date of Event is a required field and supplied to registries for Death/Bankruptcy. ASX confirms this field is not currently supplied to registries and is evaluating whether current requirements on Participants to supply this field is still required. Attribute changed to Out of Scope as this information is not required.
Contact Reference	Existing	Out of Scope	NA	NA	Account	Not disclosed to Issuers	Contact Reference	203 only	Exclusion of this field is subject to ASX compliance assessment and review.
Ownership Restrictions									
Aggregate Foreign Ownership Indicator	New	In Scope	Non-Foreign Foreign Mixed	Mandatory Optional	Account	Issuers of FOR securities only	Residency Indicator	201/203/512	To be discussed further in the Registries working group in March.
US Person Indicator	New	In Scope	Non-US Person US Person US Person QIP US QP Unknown (legacy accounts only)	Mandatory Optional	Account	Issuers of US restricted securities only	Residency Indicator	201/203/512	To be populated for accounts holding US restricted securities only. To be discussed further in the Registries working group in March.
Retail/Wholesale Client Indicator	New	Out of Scope	Retail, Wholesale	Optional	Account	TBD – may be applicable to certain assets	New	New	Information may be required in relation to some asset classes in the future. Attribute changed to Out of Scope based on industry feedback regarding the complexities of maintaining a wholesale register that must be renewed every 2 years.
Advisor Details									
ASIC Advisor Number	Existing CHES – mFund only	Out of Scope	30 characters alphanumeric	Optional	Account	All Issuers	Advisor Code	737/738	Attribute changed to Out of Scope based on industry feedback.
Advisor Name	Existing CHES – mFund only	Out of Scope	30 characters alphanumeric	Optional	Account	All Issuers	Advisor Name	737/738	Attribute changed to Out of Scope based on industry feedback.
Dealer Group Name/AFSL	Existing CHES – mFund only	Out of Scope	NA	NA	Account	NA	Dealer Group Name, Dealer Group AFSL Number	737/738	Information likely to become stale. Advisor Name and Number should be sufficient to identify the advisor.
Advisor Email/Mobile	Existing CHES – mFund only	Out of Scope	NA	NA	Account	NA	Advisor Email, Advisor Mobile	737/738	Information likely to become stale. Advisor Name and Number should be sufficient to identify the advisor.
Additional Holder (up to four) Information									

Attribute	Source	Scope	Format	Optional / Mandatory	Account / Holder Level	Issuer / Registry Communication	Current CHES Field	Current CHES Message	ASX Summary of Updates
Holder Id	Previous CHES R	In Scope	12 characters numeric. Comprises HIN – [1/2/3/4] e.g. 123456789101 123456789102 123456789103 123456789104	ASX generated / deterministic	Holder	All Issuers	NA	New	Identifier required by BaNCS product and ISO workflow. This is proposed to be generated as the HIN followed by the number 01,02,03 or 04 to indicate the Holder.
Holder Type	Previous CHES R	In Scope	Individual, Organisation	Mandatory – new accounts	Holder	All Issuers	NA	New	<p>Industry feedback received to expand this attribute to additional Holder Types such as nominee and custodian.</p> <p>ASX proposes no changes to this attribute as we were unable to determine a current use case for the additional holder types and this will require customisation to ISO messages and BaNCS.</p> <p>Updated from Company to Organisation to reflect ISO standards.</p>
Date of Birth	Previous CHES R	Out of Scope	YYYY-MM-DD	Mandatory – new accounts, type = Individual	Holder	All Issuers	NA	New	<p>Additional data point to help identify an individual, particularly in the case of similar names at the same address, this field is required for FATCA purposes. Inclusion subject to legal and regulatory assessment and review.</p> <p>Attribute removed as FATCA details are out of scope as further discussion is required.</p>
LEI	Previous CHES R	In Scope	20 characters alphanumeric	Optional	Holder	All Issuers	NA	New	Non-sensitive, public identifier for companies to be used as an additional data point to help identify a company, particularly in the case of similar company names.
ACN	Previous CHES R	In Scope	9 characters numeric	Optional	Holder	All Issuers	NA	New	Non-sensitive, public identifier for companies to be used as an additional data point to help identify a company, particularly in the case of similar company names.
Holder Prefix	Previous CHES R	Out of Scope	NA	NA	Holder	NA	Registration Details	New	<p>Proposed that prefixes can be prepended to Holder Name.</p> <p>Feedback received in the ISO WG to add this as an optional non matching field. This attribute will remain out of scope and ASX will work with the industry to create a pre-determined list of salutations to be excluded from matching criteria.</p>
Holder Status	Previous CHES R	Out of Scope	NA	NA	Holder	NA	NA	New	Not proposed to manage Holder-level Statuses. Account Status will be used.
Holder Status Reason	Previous CHES R	Out of Scope	NA	NA	Holder	NA	NA	New	Not proposed to manage Holder-level Statuses. Account Status Reason will be used.
Date of Death	Previous CHES R	Out of Scope	NA	NA	Holder	NA	NA	New	Not proposed to manage at a Holder-level. Event Date will be used.
Date of Bankruptcy	Previous CHES R	Out of Scope	NA	NA	Holder	NA	NA	New	Not proposed to manage at a Holder-level. Event Date will be used.
Company Incorporation Date	Previous CHES R	Out of Scope	NA	NA	Holder	NA	NA	New	No known use case for the information
Holder Address	Previous CHES R	Out of Scope	NA	NA	Holder	NA	NA	New	No known use case for the information
Holder Email Address	Previous CHES R	Out of Scope	NA	NA	Holder	NA	NA	New	No known use case for the information
Holder Mobile Number	Previous CHES R	Out of Scope	NA	NA	Holder	NA	NA	New	No known use case for the information
Bank Account Details									
BSB	Existing	In Scope	6 characters numeric	Optional	Account	All Issuers	BSB Number	757/760	<p>Account level bank account information will promote the move away from cheques. Ability to specify different accounts per issuer could be maintained via the Registry. Only Australian bank account details accepted at an account level.</p> <p>Further discussion required to determine if bank details will remain a pass through attribute per Issuer or stored as an attribute on a HIN to facilitate provision of bank details for a zero holding balance. Bank account details will not be included in account creation messages for Drop 1 and will be via a separate message.</p>

Attribute	Source	Scope	Format	Optional / Mandatory	Account / Holder Level	Issuer / Registry Communication	Current CHES Field	Current CHES Message	ASX Summary of Updates
Account Number	Existing	In Scope	9 characters numeric	Optional	Account	All Issuers	Account Number	757/760	
Account Name	Existing	In Scope	32 characters alphanumeric	Optional	Account	All Issuers	Account Name	757/760	
Account Purpose	Existing	Out of Scope	NA	NA	Account	NA	Account Purpose	757/760	Only a single purpose is covered currently in CHES
Other Payment Reference (i.e. Pay ID)	New	Out of Scope	NA	NA	Account	NA	NA	NA	PayID not widely supported by Registry/Issuer or ISO messages currently. To be considered to be added at a later stage
Foreign Bank Account Details	New	Out of Scope	NA	NA	Account	NA	NA	NA	Foreign Bank Accounts to be supported on a per-Corporate Actions basis only
Domestic Tax Details (subject to further regulatory requirements)									
TFN/Exemption Code	Existing	In Scope	9 characters numeric	Optional	Holder	Per Issuer (pass through)	Tax File Number / ABN 1-3	533/534	Tax File Number remains pass through, providing optionality for investor to provide to some issuers and not others
TFN Investor Type	Existing	In Scope	Individual, Corporation, Trust, Partnership, Superannuation fund, Deceased individual, Government organisation, Other non-individual	Optional	Holder	Per Issuer (pass through)	Investor type is currently indicated by the 12th character in the TFN/ABN field	533/534	ASX proposes to have a separate field for the Investor Type as per the message construct in the previous project.
ABN	Existing	In Scope	12 characters alphanumeric	Optional	Holder	<div>All Issuers</div> <div>Per Issuer (pass through)</div>	Tax File Number / ABN 1-3	533/534	ABN will be provided to all issuers. Proposal is to have this as a separate field from the TFN Format will continue to be 12 characters, with the first 11 characters for the ABN, and the 12th character being the investor identity type which will include (I,C,T,P,S,D,G,O) as per current CHES. Industry feedback in the March ISOWG confirmed that the preference is to keep the ABN as a pass through field and not record this as an attribute of th HIN. ASX proposes to descope the ABN from account creation flows and continue to have this as a pass through field together with the TFN as per current CHES functionality.
FATCA/CRS Details for Individuals – Foreign Tax Residency (for up to 4 Individuals or 1 Entity)									
Account Investor Type	Existing CHES – mFund only	Out of Scope	Individual Joint Company Trust Superannuation Fund Partnership Other (Partnership, Government Organisation)	Optional	Account	Issuers of applicable instruments	Account Type	735/736	Investor Type is required to accompany a FATCA/CRS declaration Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
FATCA Foreign Tax Residency Indicator	Existing CHES – mFund only	Out of Scope	True, False	Optional (displayed where the investor type is individual or joint)	Holder	Issuers of applicable instruments	Foreign Residency Indicator	735/736	Declaration of non-foreign for FATCA/CRS purposes would allow simple certification for the majority of individual and joint holders Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Date of Birth	Existing CHES – mFund only	Out of Scope	YYYY-MM-DD	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder	Issuers of applicable instruments only	Foreign Person Date of Birth	735/736	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Address Type	Existing CHES – mFund only	Out of Scope	Residential, Entity Street Address	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder (where Type = Entity, this would be common across Holders)	Issuers of applicable instruments only	Address Type	735/736	In the current CHES message, the entity street address is represented with the address type: 'Registered' for companies and 'Trust' for trusts Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog

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Additional Address Line 1	Existing CHES – mFund only	Out of Scope	40 characters alphanumeric	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder (where Type = Entity, this would be common across Holders)	Issuers of applicable instruments only	Address Details 1–3	735/736	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Address Line 2	Existing CHES – mFund only	Out of Scope	40 characters alphanumeric	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder (where Type = Entity, this would be common across Holders)	Issuers of applicable instruments only	Address Details 1–4	735/737	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Address Line 3	Existing CHES – mFund only	Out of Scope	40 characters alphanumeric	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder (where Type = Entity, this would be common across Holders)	Issuers of applicable instruments only	Address Details 1–5	735/738	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Address Town name	Existing CHES – mFund only	Out of Scope	35 characters alphanumeric	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder (where Type = Entity, this would be common across Holders)	Issuers of applicable instruments only	Address Details 1–3	735/736	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Address Country Subdivision	Existing CHES – mFund only	Out of Scope	35 characters alphanumeric	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder (where Type = Entity, this would be common across Holders)	Issuers of applicable instruments only	Address Details 1–3	735/736	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Address Postcode	Existing CHES – mFund only	Out of Scope	10 characters alphanumeric	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder (where Type = Entity, this would be common across Holders)	Issuers of applicable instruments only	Address Details 1–3	735/736	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Address Country Code	Existing CHES – mFund only	Out of Scope	2 character ISO country code	Conditional (Mandatory for Individual or Joint where FATCA Foreign Tax Residency = True)	Holder (where Type = Entity, this would be common across Holders)	Issuers of applicable instruments only	Address Details 1–3	735/736	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
FATCA/CRS Details – Foreign Tax Residency (Individuals, Joint Individuals – up to 3 countries only)									
Tax Residency Country	Existing CHES – mFund only	Out of Scope	Up to 3 only	Conditional (Mandatory for Individual or Joint)	Holder	Issuers of applicable instruments only	Foreign Country Code	735/736	Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Tax Identification Number (TIN)	Existing CHES – mFund only	Out of Scope	NA	Conditional (Mandatory for Individual or Joint)	Holder	Issuers of applicable instruments only	Foreign TIN	735/736	Identifies the holder's TIN issued by the country in which they have a foreign tax residency Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
TIN Absent Reason	Existing CHES – mFund only	Out of Scope	Country does not issue TINs The individual has not been issue with a TIN The country does not require the collection of a TIN	Conditional (Mandatory for Individual or Joint)	Holder	Issuers of applicable instruments only	TIN Absent	735/736	Indicates the reason why the the holder's TIN has not been provided by the country in which they have a foreign tax residency Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Countries Indicator	Previous CHES R	Out of Scope	Yes,No	Conditional (Mandatory for Individual or Joint)	Holder	Issuers of applicable instruments only	NA	New	Indicates if the holder has more than 3 foreign tax residency countries Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
FATCA/CRS Details for Entities – Account is for the benefit of 1 entity only)									

Attribute	Source	Scope	Format	Optional / Mandatory	Account / Holder Level	Issuer / Registry Communication	Current CHES Field	Current CHES Message	ASX Summary of Updates
Entity Characterisation	Existing CHES – mFund only	Out of Scope	Active NFE/NFFE Passive NFE/NFFE Other (Financial institution, Public Listed Company, Not a financial account, Exempt Beneficial Owner)	Conditional (Mandatory if entity is not a US Person)	Account	Issuers of applicable instruments only	Entity Type	735/736	Identifies the type of organisation for FATCA/CRS purposes. CHES will only collect self certification of non-foreign for Active Non Financial Entities and Passive Non Financial Entities Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
FATCA Foreign Tax Residency Indicator	Existing CHES – mFund only	Out of Scope	True, False	Optional (only displayed where the entity type is Company or Trust)	Account	Issuers of applicable instruments	Foreign Residency Indicator	735/736	If the entity self certifies as foreign for FATCA/CRS purposes, additional information must be collected separately via the Registry Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
ACN	New	Out of Scope	9 characters numeric	Optional	Account	Issuers of applicable instruments only	NA	New	Australian Company Number Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
ABN	Existing CHES – mFund only	Out of Scope	11 characters numeric	Conditional (Mandatory for Superannuation Fund)	Account	Issuers of applicable instruments only	Superannuation Fund ABN	735/736	Australian Business Number Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
ARBN	New	Out of Scope	9 characters numeric	Optional	Account	Issuers of applicable instruments only	NA	New	Australian Registered Body Number Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
ARSN	New	Out of Scope	9 characters numeric	Optional	Account	Issuers of applicable instruments only	NA	New	Australian Registered Scheme Number Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
Additional Beneficial Owners & Controllers	Existing CHES – mFund only	Out of Scope	True, False	Optional	Account	Issuers of applicable instruments only	Additional Foreign Parties	735/736	Indicator as to whether there are additional beneficial owners (individual or entities) or controllers and additional information must be collected separately via the Registry Based on industry feedback, all FATCA attributes will be deferred from CHES Replacement Release 2 and considered as part of a future backlog
US Person Indicator	New	Out of Scope	True, False	Optional	Account	Issuers of applicable instruments only	NA	New	This is covered in the FATCA Foreign Tax Residency Indicator field
GIIN	Previous CHES R	Out of Scope	19 characters numeric	Conditional (Mandatory for Financial institutions under FATCA and CRS)	Account	Issuers of applicable instruments only	NA	New	
Non CRS Entity Jurisdiction	Previous CHES R	Out of Scope	True, False	Optional	Account	Issuers of applicable instruments only	NA	New	If the entity is a passive non-financial entry or located in a non-participating CRS jurisdiction, additional information must be collected separately via the Share Registry
GIIN Absent reason	New	Out of Scope	Deemed Compliant Foreign Financial Institution, Non-Participating Foreign Financial Institution, Excepted Financial Institution, Exempt Beneficial Owner	Conditional (Mandatory if GIIN absent)	Account	Issuers of applicable instruments only	NA	New	

Attribute	Source	Scope	Format	Optional / Mandatory	Account / Holder Level	Issuer / Registry Communication	Current CHES Field	Current CHES Message	ASX Summary of Updates
Exchange and Ticker Code	New	Out of Scope	NA	Conditional (Mandatory for Publically listed companies)	Account	Issuers of applicable instruments only	NA	New	
Beneficial Owners & Controllers	Existing CHES – mFund only	Out of Scope	NA	NA	NA	NA	Additional Foreign Parties	735/736	Specification of beneficial owner details is for limited use cases and outside of the scope
Corporate Actions									
DRP Preference	New	Future discussion	To be discussed	To be discussed	Corporate Event	Per Corporate Event	NA	New	HIN-specific DRP Preference may not be practical due to the need to specifically opt-in to a DRP plan
Bank Account Details / Foreign Bank Account / Other Payment Reference	New	Future discussion	To be discussed	To be discussed	Corporate Event	Per Corporate Event	NA	New	Bank Account information (including Foreign Bank Accounts) could be trasmitted where applicable as part of a Corporate Action Election