# Implementation & Transition Working Group

Questions from webinar| 23 February 2022

The following table provides ASX's response to relevant questions asked by Implementation & Transition working group members during the webinar held on 23 February 2022. Please email chessreplacement@asx.com.au if you have any additional questions or require further clarification.

| Topic | Question | Answer |
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| CSAM | When can an ITE2 user start the setup in CSAM? | A CHESS user can start the set-up in CSAM from the opening of ITE2 which is targeted for mid-April.  Connectivity is a precursor to this which can start after we receive the ITE2 application form. |
| CSAM | What credentials are required to login to ASXOnline for credential management? Is MFA enabled? | In order to get Credentials services access, Participants need to nominate an enterprise administrator user when they submit their ITE2 application form. The nominated Enterprise Administrator user will be given access to the credential services audience group (access details will be notified via email) and once they have that access, they can login and create other users within their organization.<br>MFA will not be available at ITE2 opening, it will be introduced at a later date. |
| CSAM | What additional operations/CTS actions will ASX take if a CHESS user is approaching a certificate expiry – considering it would create a production outage for the CHESS users and may take significant time to rectify? | There is more work to be done on this however, we have highlighted that the CSAM portal will provide notifications as the certificates reach expiry. Operationally, ASX will also have checkpoints in place, such that an organisation would be notified if they failed to refresh a certificate close to expiry. One purpose of the portal is to provide that level of advance notice that a certificate is nearing expiry. |

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| ITE | Is there an update on bug fix timings for issues not fixed in this week's interim release? | All remaining client facing changes and defects are targeted for release ITE (v1.3). |
| ITE | Are there any messaging changes hence breaking changes in the 1.2/1.3 release to ITE1&2? | There are no further ISO message schema changes planned beyond (v1.2) outside of any potential defects changes. |
| Migration Dress Rehearsals | Will you allow our prod like/non-prod migration environment to connect to CHESS prod as part of MDR? | ASX will run each MDR using unmasked production data. ASX will ensure that the ASX to-be Prod MDR environment will have full security controls in place to protect that data. Any organization connecting to the ASX to-be Prod MDR environment needs to also ensure that security controls are in place in their respective environment. Whether that environment is a to-be prod or prod like, it is the responsibility of the CHESS user to ensure relevant controls are in place to protect sensitive data. |
| Migration Dress Rehearsals | For the early MDR phases (1 & 2), can the customer migration reconciliation be completed in the days following the cutover to ease seasonal load on all users? | During MDR, it is expected that CHESS users rehearse the verification process they intend on performing over Go-Live. It should be acknowledged that the time CHESS users will have to review customer migration reports over the weekend will be 4-5hrs, alongside proving connectivity. Depending on the level of review each CHESS user will perform, it is recommended that CHESS users take the opportunity to familiarise themselves with the information provided before MDRs and the days following so that weekend review is focusing on understanding the delta. ASX will share mock reports in April and migration generated reports in August – September following an internal rehearsal. |
| Cutover & Migration | Will the new settlement obligation ID be based on the EIS settlement Tran Id? Or will it be completely new? | In the CHESS Replacement system, a new identifier, known as the Obligation Id, will be generated for migrated settlement obligations. The existing Transaction Id that is known in Participant systems for a given settlement obligation is intended to form part of the new Obligation Id through the Obligation Id mapping logic. That is, the Transaction Id will always be the first 16 characters of the new Obligation Id. The length of the new Obligation Id will be minimum of 16 characters with maximum of 20 characters. Further details have been shared on the ASX technical documentation portal. |

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| **Migration Reporting** | **Can you please clarify the method of disseminating the customer migration reports?** | At this time, ASX are considering a few options; mainly Secure File Transfer Protocol, Secure Email and ASX Online. Our understanding is that with any option, some setup and testing will have to be planned with CHESS users. We are currently working on this and we'll come back to you in order to understand what setup and testing we might need. The assessment is considering the data sensitivity, as well as the volume of reports and the number of recipients for the customer migration reports. |
| **Operational Readiness Scenarios** | **Can we confirm that all Operational Readiness Scenarios will be complete by Mar 2022?** | Any outstanding operational readiness scenarios will be published at the end of March. |