



CHES Project

AMO Accreditation Application Form

March 2025

Version	1.0
Release Date	March 2025

1. Who is conducting this test?

Contact Name	Phone Number	Firm / Company Name

2. What software are you testing?

Software Name and Version Number	CHESS User Role	MIC Code
	AMO	

3. Application Details

Participant Type	Direct Participant/AMO or	Software Vendor
Brief Description of Applications Functionality		
Operating System and Version		
Access Channel	FIX Gateway	Comp ID(s):
		FIX Engine and Version / Library:

4. When do you plan to complete the accreditation assessment?

Customers have a maximum of 3 continuous business days to complete Accreditation scenarios. Assessments that run over this period will require a complete resitting of the Accreditation assessment in order to be assessed by ASX

Proposed Start Date and Time	Proposed End Date and Time

5. Expectations during accreditation

- During the completion of accreditation, only the documented scenarios should be executed, customers are asked not to introduce additional activity during the accreditation process.
- The accreditation activity should be completed within 3 days of the indicated start date.
- ASX may at any point in time during the accreditation phase randomly request customers to verify the Message ID for any unsolicited messages received and it is required customers can verify these details

6. What will you be testing?

To facilitate a timely and efficient assessment and reporting process, ASX asks customers to complete the following table, which specifies the conditional functions relevant to their organisation.

Functions for accreditation are categorized as:

- Mandatory (M) – function must be attempted and passed.
- Conditional (C) – function must be attempted and passed if the software intends to support this functionality in production. Alternatively, this scenario can be skipped during the accreditation process if the software does not support this function.

All scenarios from the Release 1 Accreditation Guide: AMO Trade Acceptance Service not listed as *Conditional* below are Mandatory (M) and are to be included in the accreditation assessment.

Conditional scenarios: Please fill in the 'Customer Selection' column in the below table outlining if your software will Support (S) or Not Support (NS) each Conditional function listed.

Function	ASX Requirement	Customer Selection
Multiple FIX Connections	C	
AMO Auxiliary Endpoint switch	C	
Trade Capture Report – BoQ	C	
Trade Capture Report – Condition Code	C	
Alternate File Ingestion Interface File Trade Capture Report - BoQ	C	
Alternate File Ingestion Interface File Trade Capture Report – Condition Code	C	

7. Attestation

The person nominated in section 1 of this form confirms, that by submitting this document, they have read and understood the expectations of the ASX Technical Accreditation test. They will at all times, throughout this test, adhere to those expectations with special consideration given to acceptable market behaviour.

They also confirm the following:

- The software details provided in this document will be used to perform the test scripts nominated as applicable to ASX accreditation requirements.
- The software and version used in this accreditation assessment will not use any functions outside of those which have been passed in this accreditation assessment.
- The software used in this accreditation assessment will be submitted for reassessment if any of the circumstances requiring re-accreditation occur, as outlined in the CHES Project AMO Accreditation Guide
- The ultimate responsibility of the software is that of the customer and ASX accepts no liability in any impact to the operation and / or integrity of the environment introduced as a result of this application.

8. Who do you send this to?

This application should be returned to CHESReplacement@asx.com.au no later than the commencement of the CHES project AMO Accreditation phase commencement (Currently planned for September 2025).

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