

Agenda

CHES Replacement Technical Committee

| | | | |
|-------------|-----------------|-------------|-----------------|
| Date | 5 February 2025 | Time | 2:00pm – 4:00pm |
|-------------|-----------------|-------------|-----------------|

| | |
|-----------------|-------------------------|
| Location | Microsoft Teams Meeting |
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1. Welcome, Open Actions & Feedback

2. Project status

3. Implementation and Transition Update

4. Optional versus Mandatory Functionality

5. Rules and Procedure Changes

6. Other Project Updates

7. Pulse Survey Results

8. Forward Engagement for 2025

CHESS Replacement Technical Committee Members

| Company | Name | Job Title | Apologies |
|---|-----------------|---|-----------|
| ASX | Tim Whiteley | CIO | |
| OBSERVER | Paul Rayson | Business Committee Chair (Independent) | |
| Clearing and Settlement participants | | | |
| ABN AMRO CLEARING | Matthew McMahon | Head of Operations | |
| AUSIEX | Ashish Jha | Director, Solution Delivery Management | |
| BANK OF AMERICA | Monika Ahrns | Project Manager | |
| BNP PARIBAS | Wayne Murphy | Project Manager | |
| CITI | Lyall Herron | Program Manager | |
| CMC | Jamie Driscoll | Program Lead – Back Office Implementation | |
| COMMSEC | Ryan Jones | Crew Technical Lead | |
| FINCLEAR SERVICES | Nikki Gleisner | Senior Business Analyst/Client Relationship Manager | |
| GOLDMAN SACHS | Simon Wyss | COO, Australia/ New Zealand Engineering | |
| HSBC | Simon Siluk | Senior Product Manager | |
| INTERACTIVE BROKERS AUSTRALIA | Darren Halse | Managing Director | |
| J.P. MORGAN | Scott Oakland | Executive Director Product Management | |
| MACQUARIE GROUP | Paul Bragg | Operations Analyst | |
| MORGAN STANLEY SECURITIES | Rebecca Collins | Executive Director | |
| MORGAN STANLEY WEALTH | Andrew Sime | Executive Director | |
| MORGANS | Daniel Spokes | Director, Client Support Services | |
| NAB | Rodd Kingham | Head of Investment Service (WealthHub Securities) | |
| THIRD PARTY PLATFORM | Lee Muco | Chief Operating Officer | |
| UBS | Scott Hanlon | Head of Operations, Executive Director | |
| Third party software vendors | | | |
| BROADRIDGE | Danielle Gerace | Head of Client Service Australia | |
| COMPUTERSHARE | Leanne Bailey | Senior Business Analyst | |
| FINCLEAR | Craig Gray | Head of Product, Clearing and Settlement | |
| FNZ | Nick Clarke | Head of Product Management – Capital Markets | |
| SECURITEASE | David Hinkley | General Manager | |
| AMOs | | | |
| ASX | Jimmy Halstead | Head of Trading Technology Markets, Technology | |
| CBOE | Rylan Uherek | Vice President – Chief Operating Officer | |
| NSX | Richard Atkins | Market Operations and Technology | |
| SYDNEY STOCK EXCHANGE | Rajnish Tiwari | Head of Market Control & Exchange Technology | |
| Share registries | | | |
| ADVANCE SHARE REGISTRY | Patrick Gan | IT Manager | |

| Company | Name | Job Title | Apologies |
|--------------------------------|----------------|--|-----------|
| AUTOMIC GROUP | Marcelo Dantas | Chief Information Officer | |
| BOARDROOM | Evelyn Cinco | Team Lead – BA/QA | |
| COMPUTERSHARE | Scott Hudson | General Manager – Market Liaison | |
| MUFG PENSION & MARKET SERVICES | Blanca Valle | Senior Technical Business Analyst, Corporate Markets | |

* Delegate

Observers

| Company | Name | Job Title | Apologies |
|-----------|--------------------|--|-----------|
| AFMA | Damian Jeffree | Senior Director of Policy | |
| AIRA | Ian Matheson | Chief Executive Officer | Apology |
| GIA | Simon Pordage | Brand Ambassador for Governance (Institute of Australia & Company Secretary for ANZ) | |
| SIAA | Judith Fox | Chief Executive Officer | Apology |
| ASIC | Dodie Green | Senior Manager, Market Infrastructure | |
| | Liang Chen | Analyst, Market Infrastructure (Clearing & Settlement Facilities) | |
| | Derek Scott | Senior Specialist, Market Infrastructure Markets | |
| RBA | Kylie Stewart | Senior Manager, Domestic Supervision and Resolution, Payments Policy Department | |
| | Elizabeth Kandelas | Manager, Domestic Supervision and Resolution, Payments Policy Department | |
| | Simon Truong | Lead Analyst, Domestic Supervision and Resolution, Payments Policy Department | |
| | Trent Hardy | Lead Analyst, Domestic Supervision and Resolution, Payments Policy Department | |
| AusPayNet | Luke Wilson | Chief Operating Officer | Apology |

Auditors (3)

| Company | Name | Job Title | Apologies |
|---------------|----------------------------------|-----------------------------|-----------|
| ERNST & YOUNG | Roberto Fitzgerald | Partner, Financial Services | |
| ERNST & YOUNG | Juan Carlos Martin de los Santos | Director, Technology Risk | |

ASX Management

| Name | Job Title | Apologies |
|----------------|--|-----------|
| Clive Triance | Group Executive, Securities & Payments (Project Sponsor) | Apology |
| Andrew Jones | General Manager, Equities | |
| Triona Quinlan | General Manager, Technology Delivery | |
| Steven Hannan | Senior Manager, Stakeholder Engagement, Equities | |
| Chris Boyes | Senior Product Manager, Securities & Payments | |
| Ashley D'Souza | Senior Manager, Equities | |

| Name | Job Title | Apologies |
|-----------------|---|-----------|
| Jason Genford | Head of Technology Delivery | |
| Peter Jessup | Release 1 Product Owner (SI Business Lead) | |
| Russell Eyre | Enterprise Architect, Securities and Payments | |
| Craig Springett | Accenture (SI) | |
| Mark A. Kaplan | Accenture (SI) | |