

# Agenda

## CHESS Replacement Technical Committee

Date	5 February 2025	Time	2:00pm – 4:00pm		
Location	Microsoft Teams Meeting				
1. Welco	me, Open Actions & Feedback				
2. Projec	2. Project status				
3. Imple	3. Implementation and Transition Update				
4. Optional versus Mandatory Functionality					
5. Rules and Procedure Changes					
6. Other Project Updates					
7. Pulse Survey Results					
8. Forwa	8. Forward Engagement for 2025				

### **CHESS Replacement Technical Committee Members**

OBSERVER Paul Rayson Business Committee Chair (Independent)  Clearing and Settlement participants  ABN AMRO CLEARING Matthew Head of Operations McMahon  AUSIEX Ashish Jha Director, Solution Delivery Management  BANK OF AMERICA Monika Ahrns Project Manager  BANK OF AMERICA Monika Ahrns Project Manager  DANK OF AMERICA Monika Ahrns Project Manager  CITI Lyali Herron Program Manager  CMC Jamie Driscoll Program Lead – Back Office Implementation  COMMSEC Ryan Jones Crew Technical Lead  FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering  HSBC Simon Siluk Senior Product Manager  MITERACTIVE BROKERS Darren Halse Managing Director  AUSTRALIA JP. MORGAN SCOLT Oakland Executive Director Product Management  MACQUARIE GROUP Paul Bragg Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins MORGAN STANLEY SECURITIES Rebecca Collins MORGAN STANLEY WEALTH MORGAN BOARD Paul Brage Director Director Director Director Client Support Services  MORGAN BANA ROD Daniel Spokes Director, Client Support Services  NAB Rodd Kingham Head of Investment Service (WealthHub Securities)  THIRD PARTY PLATFORM Lee Muco Chief Operations, Executive Director  Third party software vendors  BROADRIDGE Daniele Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst  FINCLEAR Craig Gray Head of Product, Clearing and Settlement  FINZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Technology  Head of Market Control & Exchange Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Technology  SHAPE TECHNOLOGY	Company	Name	Job Title	Apologies	
ABN AMRO CLEARING Matthew McMahon AUSIEX Ashish Jha Director, Solution Delivery Management BANK OF AMERICA Monika Ahrns Project Manager  BMP PARIBAS Wayne Murphy Project Manager  CITI Lyall Herron Program Manager  CITI Lyall Herron Program Manager  CITI Lyall Herron Program Lead – Back Office Implementation  COMMSEC Ryan Jones Crew Technical Lead  FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering  HSBC Simon Siluk Senior Product Manager  MITERACTIVE BROKERS Darren Halse Managing Director  MACQUABIE GROUP Paul Bragg Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins Executive Director Fundance Morgan STANLEY WEALTH Andrew Sime Morgan STANLEY WEALTH Andrew Sime Executive Director  MORGAN STANLEY WEALTH Andrew Sime Head of Operating Officer  UBS Rodd Kingham Head of Operating Officer  UBS Scott Hanlon Head of Operating Secutive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst  Head of Product, Clearing and Settlement  FINZ Nick Clarke Head of Product, Clearing and Settlement  FINZ Nick Clarke Head of Product, Clearing and Settlement  FINZ Nick Clarke Head of Product, Clearing and Settlement  FINZ Nick Clarke Head of Trading Technology Markets, Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Technology  Head of Market Operating Officer  NSX Richard Atkins Market Operations and Technology  Head of Market Control & Exchange Technology  Head of Market Control & Exchange Technology  Head of Market Control & Exchange Technology	ASX	Tim Whiteley	CIO		
ABN AMRO CLEARING Matthew McMahon  AUSIEX Ashish Jha Director, Solution Delivery Management  BANN OF AMERICA Monika Ahrns BNP PARIBAS Wayne Murphy Project Manager  CITI Lyall Herron Program Manager  CITI Lyall Herron Program Manager  CITI Lyall Herron Program Lead – Back Office Implementation  COMMSEC Ryan Jones Crew Technical Lead  FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering  HSBC Simon Siluk Senior Product Manager  INTERACTIVE BROKERS Darren Halse Managing Director  AUSTRALIA J.P. MORGAN Scott Oakland Executive Director Product Management  MACQUARIE GROUP Paul Bragg Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins Executive Director  MORGAN STANLEY WEALTH Andrew Sime Executive Director  MORGAN STANLEY WEALTH Head of Investment Service (WealthHub Securities)  THIRD PARTY PLATFORM Lee Muco Chief Operating, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst  Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product, Clearing and Settlement  Markets  SECURITEASE David Hinkley General Manager  AMOS  ANS Richard Atkins Market Operations and  Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari	OBSERVER	Paul Rayson	Business Committee Chair (Independent)		
AUSIEX Ashish Ja Director, Solution Delivery Management BANK OF AMERICA Monika Ahrns Project Manager BMP PARIBAS Wayne Murphy Project Manager CITI Lyall Herron Program Manager CITI Crew Technical Lead CITI Crew Techn	Clearing and Settlement participants				
BANK OF AMERICA Monika Ahrns Project Manager BNP PARIBAS Wayne Murphy Project Manager CITI Lyall Herron Program Manager CMC Jamie Driscoll Program Lead – Back Office Implementation COMMSEC Ryan Jones Crew Technical Lead  Senior Business Analyst/Client Relationship Manager GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager INTERACTIVE BROKERS Darren Halse Managing Director AUSTRALIA JP. MORGAN Scott Oakland Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGAN STANLEY BEATH Halso Scott Hanlon Head of Investment Service (WealthHub Securities)  Third PARTY PLATFORM Lee Muco Chief Operating Officer UBS Scott Hanlon Head of Operating, Executive Director  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FINZ Nick Clarke Head of Product Management — Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SHONEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	ABN AMRO CLEARING		Head of Operations		
BNP PARIBAS Wayne Murphy Project Manager CITI Lyall Herron Program Manager CMC Jamie Driscoll Program Lead – Back Office Implementation CMMSEC Ryan Jones Crew Technical Lead FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager MINTERACTIVE BROKERS Darren Halse Managing Director AUSTRALIA J.P. MORGAN SCOTT Oakland Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGANS Daniel Spokes Director, Client Support Services NAB Rodd Kingham Head of Investment Service (WealthHub Securities) THIRD PARTY PLATFORM Lee Muco Chief Operating Officer UBS Scott Hanlon Head of Operations, Executive Director Third party software vendors BROADRIDGE Danielle Gerace Head of Client Service Australia COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management — Capital Markets SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President — Chief Operating Officer NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SHAPE Program Manager  Crew Technology  Share registries	AUSIEX	Ashish Jha	Director, Solution Delivery Management		
CITI Lyall Herron Program Manager CMC Jamie Driscoll Program Lead – Back Office Implementation COMMSEC Ryan Jones Crew Technical Lead Senior Business Analyst/Client Relationship Manager GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager Manager Manager Manager Manager Manager Manager Manager  GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering MSBC Simon Siluk Senior Product Manager Managing Director Australia  J.P. MORGAN Scott Oakland Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGANS Daniel Spokes Director, Client Support Services NAB Rodd Kingham Head of Investment Service (WealthHub Securities) THIRD PARTY PLATFORM Lee Muco Chief Operating Officer UBS Scott Hanlon Head of Operations, Executive Director Third party software vendors  BROADRIDGE Danielle Gerace COMPUTERSHARE Leanne Bailey Sensiness Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FINZ Nick Clarke Head of Product, Clearing and Settlement FINZ Nick Clarke Head of Product, Clearing and Settlement MACS  AMOS  AMOS  AMOS  AMOS  AMOS  AMOS  AMOS  AMOS  AMOS  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SHare registries	BANK OF AMERICA	Monika Ahrns	Project Manager		
CMC Jamie Driscoll Program Lead — Back Office Implementation COMMSEC Ryan Jones Crew Technical Lead FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager INTERACTIVE BROKERS Darren Halse Managing Director AUSTRALIA JP. MORGAN Scott Oakland Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGANS Daniel Spokes Director, Client Support Services NAB Rodd Kingham Head of Investment Service (WealthHub Securities) THIRD PARTY PLATFORM Lee Muco Chief Operating Officer UBS Scott Hanlon Head of Operations, Executive Director Third party software vendors BROADRIDGE Danielle Gerace Head of Client Service Australia COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product, Clearing and Settlement Markets SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SHare registries	BNP PARIBAS	Wayne Murphy	Project Manager		
COMMSEC Ryan Jones Crew Technical Lead FINCLEAR SERVICES Nikki Gleisner Senior Business Analyst/Client Relationship Manager GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager INTERACTIVE BROKERS Darren Halse Managing Director AUSTRALIA J.P. MORGAN SCOT Oakland Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGANS Daniel Spokes Director, Client Support Services NAB Rodd Kingham Head of Investment Service (WealthHub Securities) THIRD PARTY PLATFORM Lee Muco Chief Operating Officer UBS Scott Hanlon Head of Operations, Executive Director Third party software vendors BROADRIDGE Danielle Gerace Head of Client Service Australia COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management — Capital Markets SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  SPONEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SSABAR registries	CITI	Lyall Herron	Program Manager		
FINCLEAR SERVICES  Nikki Gleisner  Senior Business Analyst/Client Relationship Manager  GOLDMAN SACHS  Simon Wyss  COO, Australia/ New Zealand Engineering  HSBC  Simon Siluk  Senior Product Manager  Managing Director  Managing Director  Managing Director  Morgan  Scott Oakland  Executive Director Product Management  MACQUARIE GROUP  Paul Bragg  Operations Analyst  MORGAN STANLEY SECURITIES  Rebecca Collins  MORGAN STANLEY SECURITIES  Rebecca Collins  MORGAN STANLEY WEALTH  Andrew Sime  Executive Director  MORGANS  Daniel Spokes  Director, Client Support Services  Mead of Investment Service (WealthHub Securities)  THIRD PARTY PLATFORM  Lee Muco  Chief Operating Officer  Head of Operations, Executive Director  Third party software vendors  BROADRIDGE  Danielle Gerace  Head of Client Service Australia  COMPUTERSHARE  Leanne Bailey  Senior Business Analyst  FINCLEAR  Craig Gray  Head of Product, Clearing and Settlement  FNZ  Nick Clarke  Head of Product, Clearing and Settlement  Markets  SECURITEASE  David Hinkley  General Manager  AMOS  ASX  Jimmy Halstead  Head of Trading Technology Markets,  Technology  SPANEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Coperations and  Technology  SYDNEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Control & Exchange  Technology  SSANE registries	CMC	Jamie Driscoll	Program Lead – Back Office Implementation		
Manager GOLDMAN SACHS Simon Wyss COO, Australia/ New Zealand Engineering HSBC Simon Siluk Senior Product Manager INTERACTIVE BROKERS AUSTRALIA J.P. MORGAN Scott Oakland Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGANS TANLEY WEALTH MORGANS Daniel Spokes Director, Client Support Services NAB Rodd Kingham Head of Investment Service (WealthHub Securities) Securities)  THIRD PARTY PLATFORM Lee Muco Chief Operating Officer UBS Scott Hanlon Head of Operations, Executive Director Third party software vendors BROADRIDGE Danielle Gerace Head of Client Service Australia COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management – Capital Markets SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  SPONEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SPONEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SPANE PEGISTICA  Manager  Managing Director  Manager  Managing Director  Manager  Manager  Management  Management  Manager  Mana	COMMSEC	Ryan Jones	Crew Technical Lead		
HSBC Simon Siluk Senior Product Manager INTERACTIVE BROKERS Darren Halse Managing Director AUSTRALIA J.P. MORGAN Scott Oakland Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGANS Daniel Spokes Director, Client Support Services NAB Rodd Kingham Head of Investment Service (WealthHub Securities) THIRD PARTY PLATFORM Lee Muco Chief Operating Officer UBS Scott Hanlon Head of Operations, Executive Director Third party software vendors BROADRIDGE Danielle Gerace Head of Client Service Australia COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management – Capital Markets SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	FINCLEAR SERVICES	Nikki Gleisner			
AUSTRALIA  J.P. MORGAN  Scott Oakland  Executive Director Product Management  MACQUARIE GROUP  Paul Bragg  Operations Analyst  MORGAN STANLEY SECURITIES  Rebecca Collins  Executive Director  MORGAN STANLEY WEALTH  Andrew Sime  Executive Director  MORGANS  Daniel Spokes  Director, Client Support Services  NAB  Rodd Kingham  Head of Investment Service (WealthHub Securities)  THIRD PARTY PLATFORM  Lee Muco  Chief Operations, Executive Director  Third party software vendors  BROADRIDGE  Danielle Gerace  Head of Client Service Australia  COMPUTERSHARE  Leanne Bailey  Senior Business Analyst  FINCLEAR  Craig Gray  Head of Product, Clearing and Settlement  FNZ  Nick Clarke  Head of Product Management – Capital Markets  SECURITEASE  David Hinkley  General Manager  AMOS  ASX  Jimmy Halstead  Head of Trading Technology Markets, Technology  CBOE  Rylan Uherek  Vice President – Chief Operating Officer  NSX  Richard Atkins  Market Operations and Technology  SYDNEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Control & Exchange  Technology  SYDNEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Control & Exchange  Technology  Share registries	GOLDMAN SACHS	Simon Wyss	COO, Australia/ New Zealand Engineering		
AUSTRALIA J.P. MORGAN Scott Oakland Executive Director Product Management MACQUARIE GROUP Paul Bragg Operations Analyst MORGAN STANLEY SECURITIES Rebecca Collins Executive Director MORGAN STANLEY WEALTH Andrew Sime Executive Director MORGANS Daniel Spokes Director, Client Support Services NAB Rodd Kingham Head of Investment Service (WealthHub Securities) THIRD PARTY PLATFORM Lee Muco Chief Operating Officer UBS Scott Hanlon Head of Operations, Executive Director Third party software vendors BROADRIDGE Danielle Gerace Head of Client Service Australia COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management – Capital Markets SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology CBOE Rylan Uherek Vice President – Chief Operating Officer NSX Richard Atkins Market Operations and Technology SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology SShare registries	HSBC	Simon Siluk	Senior Product Manager		
MACQUARIE GROUP Paul Bragg Operations Analyst  MORGAN STANLEY SECURITIES Rebecca Collins Executive Director  MORGAN STANLEY WEALTH Andrew Sime Executive Director  MORGANS Daniel Spokes Director, Client Support Services  NAB Rodd Kingham Head of Investment Service (WealthHub Securities)  THIRD PARTY PLATFORM Lee Muco Chief Operating Officer  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst  FINCLEAR Craig Gray Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets,  Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  SSaare registries	INTERACTIVE BROKERS AUSTRALIA	Darren Halse	Managing Director		
MORGAN STANLEY SECURITIES Rebecca Collins Executive Director  MORGAN STANLEY WEALTH Andrew Sime Executive Director  MORGANS Daniel Spokes Director, Client Support Services  NAB Rodd Kingham Head of Investment Service (WealthHub Securities)  THIRD PARTY PLATFORM Lee Muco Chief Operating Officer  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst  FINCLEAR Craig Gray Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	J.P. MORGAN	Scott Oakland	Executive Director Product Management		
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MORGANS Daniel Spokes Director, Client Support Services  NAB Rodd Kingham Head of Investment Service (WealthHub Securities)  THIRD PARTY PLATFORM Lee Muco Chief Operating Officer  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia COMPUTERSHARE Leanne Bailey Senior Business Analyst FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	MORGAN STANLEY SECURITIES	Rebecca Collins	Executive Director		
Rodd Kingham Head of Investment Service (WealthHub Securities)  THIRD PARTY PLATFORM Lee Muco Chief Operating Officer  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst  FINCLEAR Craig Gray Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	MORGAN STANLEY WEALTH	Andrew Sime	Executive Director		
Securities)  THIRD PARTY PLATFORM Lee Muco Chief Operating Officer  UBS Scott Hanlon Head of Operations, Executive Director  Third party software vendors  BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey FINCLEAR Craig Gray Head of Product, Clearing and Settlement FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	MORGANS	Daniel Spokes	Director, Client Support Services		
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BROADRIDGE Danielle Gerace Head of Client Service Australia  COMPUTERSHARE Leanne Bailey Senior Business Analyst  FINCLEAR Craig Gray Head of Product, Clearing and Settlement  FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	UBS	Scott Hanlon	Head of Operations, Executive Director		
COMPUTERSHARE  Leanne Bailey  Senior Business Analyst  FINCLEAR  Craig Gray  Head of Product, Clearing and Settlement  FNZ  Nick Clarke  Head of Product Management – Capital  Markets  SECURITEASE  David Hinkley  General Manager  AMOS  ASX  Jimmy Halstead  Head of Trading Technology Markets,  Technology  CBOE  Rylan Uherek  Vice President – Chief Operating Officer  NSX  Richard Atkins  Market Operations and  Technology  SYDNEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Control & Exchange  Technology  Share registries	Third party software vendors				
FINCLEAR  Craig Gray  Head of Product, Clearing and Settlement  FNZ  Nick Clarke  Head of Product Management – Capital Markets  SECURITEASE  David Hinkley  General Manager  AMOS  ASX  Jimmy Halstead  Head of Trading Technology Markets, Technology  CBOE  Rylan Uherek  Vice President – Chief Operating Officer  NSX  Richard Atkins  Market Operations and Technology  SYDNEY STOCK EXCHANGE  Rajnish Tiwari  Head of Market Control & Exchange Technology  Share registries	BROADRIDGE	Danielle Gerace	Head of Client Service Australia		
FNZ Nick Clarke Head of Product Management – Capital Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	COMPUTERSHARE	Leanne Bailey	Senior Business Analyst		
Markets  SECURITEASE David Hinkley General Manager  AMOS  ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	FINCLEAR	Craig Gray	Head of Product, Clearing and Settlement		
ASX  Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	FNZ	Nick Clarke	=		
ASX Jimmy Halstead Head of Trading Technology Markets, Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	SECURITEASE	David Hinkley	General Manager		
Technology  CBOE Rylan Uherek Vice President – Chief Operating Officer  NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	AMOs				
NSX Richard Atkins Market Operations and Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	ASX	Jimmy Halstead			
Technology  SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	CBOE	Rylan Uherek	Vice President – Chief Operating Officer		
SYDNEY STOCK EXCHANGE Rajnish Tiwari Head of Market Control & Exchange Technology  Share registries	NSX	Richard Atkins	Market Operations and		
Technology  Share registries			Technology		
<del>-</del>	SYDNEY STOCK EXCHANGE	Rajnish Tiwari			
ADVANCE SHARE REGISTRY Patrick Gan IT Manager	Share registries				
	ADVANCE SHARE REGISTRY	Patrick Gan	IT Manager		

Company	Name	Job Title	Apologies
AUTOMIC GROUP	Marcelo Dantas	Chief Information Officer	
BOARDROOM	Evelyn Cinco	Team Lead – BA/QA	
COMPUTERSHARE	Scott Hudson	General Manager – Market Liaison	
MUFG PENSION & MARKET SERVICES	Blanca Valle	Senior Technical Business Analyst, Corporate Markets	

<sup>\*</sup> Delegate

#### **Observers**

Company	Name	Job Title	Apologies
AFMA	Damian Jeffree	Senior Director of Policy	
AIRA	lan Matheson	Chief Executive Officer	Apology
GIA	Simon Pordage	Brand Ambassador for Governance (Institute of Australia & Company Secretary for ANZ)	
SIAA	Judith Fox	Chief Executive Officer	Apology
ASIC	Dodie Green	Senior Manager, Market Infrastructure	
	Liang Chen	Analyst, Market Infrastructure (Clearing & Settlement Facilities)	
	Derek Scott	Senior Specialist, Market Infrastructure Markets	
RBA	Kylie Stewart	Senior Manager, Domestic Supervision and Resolution, Payments Policy Department	
	Elizabeth Kandelas	Manager, Domestic Supervision and Resolution, Payments Policy Department	
	Simon Truong	Lead Analyst, Domestic Supervision and Resolution, Payments Policy Department	
	Trent Hardy	Lead Analyst, Domestic Supervision and Resolution, Payments Policy Department	
AusPayNet	Luke Wilson	Chief Operating Officer	Apology

#### Auditors (3)

Company	Name	Job Title	Apologies
ERNST & YOUNG	Roberto Fitzgerald	Partner, Financial Services	
ERNST & YOUNG	Juan Carlos Martin de kos Santos	Director, Technology Risk	

#### **ASX Management**

Name	Job Title	Apologies
Clive Triance	Group Executive, Securities & Payments (Project Sponsor)	Apology
Andrew Jones	General Manager, Equities	
Triona Quinlan	General Manager, Technology Delivery	
Steven Hannan	Senior Manager, Stakeholder Engagement, Equities	
Chris Boyes	Senior Product Manager, Securities & Payments	
Ashley D'Souza	Senior Manager, Equities	

Name	Job Title	Apologies
Jason Genford	Head of Technology Delivery	
Peter Jessup	Release 1 Product Owner (SI Business Lead)	
Russell Eyre	Enterprise Architect, Securities and Payments	
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