

# Agenda

## CHESS Replacement Technical Committee

|          |                         |      |                 |
|----------|-------------------------|------|-----------------|
| Date     | 4 June 2025             | Time | 2:00pm – 4:00pm |
| Location | Microsoft Teams Meeting |      |                 |

### Agenda

1. Welcome, Open Actions, Feedback
2. CHESS Project Status
3. CHESS Release 1 Build and Test update
4. CHESS Release 1 cutover approach
5. Working group updates
6. CHESS Release 2 optional v mandatory functionality
7. H1 2025 pulse survey results
8. Forward Engagement for 2025

## CHES Replacement Technical Committee Members

| Company  | Representative | Title  | Delegate / Apology |
|----------|----------------|--|--------------------|
| ASX      | Tim Whiteley   | Technical Committee Chair and Project Director, CHES Replacement Project |                    |
| Observer | Paul Rayson    | Business Committee Chair   |                    |

## Clearing and Settlement Participants

| Company                   | Representative  | Title  | Delegate / Apology |
|---------------------------|-----------------|--|--------------------|
| ABN AMRO CLEARING         | Matthew McMahon | Head of Operations   |                    |
| AUSIEX                    | Ashish Jha      | Director, Solution Delivery Management                     |                    |
| BANK OF AMERICA           | Monika Ahrns    | Project Manager  |                    |
| BNP PARIBAS               | Wayne Murphy    | Project Manager  |                    |
| CITI                      | Lyall Herron    | Program Manager  |                    |
| CMC                       | Jamie Driscoll  | Program Lead – Back Office Implementation                  |                    |
| COMMSEC                   | Ryan Jones      | Crew Technical Lead  |                    |
| FINCLEAR SERVICES         | Nikki Gleisner  | Senior Business Analyst/Client Relationship Manager        |                    |
| GOLDMAN SACHS             | Simon Wyss      | COO, Australia/ New Zealand Engineering                    | Apology            |
| HSBC                      | Simon Siluk     | Senior Product Manager                                     |                    |
| INTERACTIVE BROKERS       | Darren Halse    | Managing Director  |                    |
| J.P. MORGAN               | Miriam Wu       | Direct Custody Product Manager – Australia and New Zealand |                    |
| MACQUARIE GROUP           | Paul Bragg      | Operations Analyst   |                    |
| MORGAN STANLEY SECURITIES | Rebecca Collins | Executive Director   |                    |
| MORGAN STANLEY WEALTH     | Andrew Sime     | Executive Director   |                    |
| MORGANS                   | Daniel Spokes   | Director, Client Support Services                          |                    |
| NAB                       | Rodd Kingham    | Head of Investment Service (WealthHub Securities)          |                    |
| THIRD PARTY PLATFORM      | Adam von Giese  | Chief Operating Officer, Third Party Platform              |                    |
| UBS                       | Scott Hanlon    | Head of Operations, Executive Director                     |                    |

### Third Party Software Vendors

| Company       | Representative  | Title   | Delegate / Apology |
|---------------|-----------------|---|--------------------|
| BROADRIDGE    | Danielle Gerace | Head of Client Service Australia                  |                    |
| COMPUTERSHARE | Leanne Bailey   | Senior Business Analyst                           |                    |
| FINCLEAR      | Craig Gray      | Head of Product for Settlement & Clearing systems |                    |
| FNZ           | Nick Clarke     | Head of Product Management – Capital Markets      |                    |
| SECURITEASE   | David Hinkley   | General Manager                                   |                    |

### AMOs

| Company               | Representative       | Title   | Delegate / Apology |
|-----------------------|----------------------|---|--------------------|
| ASX TRADE             | Christos Giogkarakis | Head of Equities Trading Technology             |                    |
| CBOE                  | Ben Phillips         | VP – Chief Operating Officer APAC               |                    |
| NSX                   | Richard Atkins       | Market Operations & Technology                  |                    |
| SYDNEY STOCK EXCHANGE | Stuart Guinness      | Director Regulatory Affairs / Company Secretary |                    |

### Share Registries

| Company                          | Representative  | Title   | Delegate / Apology |
|----------------------------------|-----------------|---|--------------------|
| AUTOMIC GROUP                    | Richard Kennedy | Senior Software Engineer                              |                    |
| BOARDROOM                        | Joshua Barton   | Project Manager of Major Projects                     |                    |
| COMPUTERSHARE                    | Cate Gilbert    | Technical Specialist – Special Project Support        |                    |
| MUFG PENSION AND MARKET SERVICES | Blanca Valle    | Senior Technical Business Analyst – Corporate Markets |                    |

### Observers

| Company | Representative | Title   | Delegate / Apology |
|---------|----------------|---|--------------------|
| AFMA    | Damian Jeffree | Senior Director of Policy   |                    |
| AIRA    | Ian Matheson   | Chief Executive Officer   |                    |
| GIA     | Simon Pordage  | Brand Ambassador for Governance Institute of Australia & Company Secretary for ANZ) |                    |
| SIAA    | Judith Fox     | Chief Executive Officer   |                    |

## Auditors

| Company       | Representative                   | Title   | Delegate / Apology |
|---------------|----------------------------------|---|--------------------|
| ASIC          | Derek Scott                      | Senior Specialist, Market Infrastructure Markets                                |                    |
| ASIC          | Nick Hughes                      | Technology and Delivery Consultant  |                    |
| ASIC          | Liang Chen                       | Analyst, Market Infrastructure  |                    |
| RBA           | Nick Rowbotham                   | Acting Manager, Domestic Supervision and Resolution, Payments Policy Department |                    |
| RBA           | Simon Truong                     | Lead Analyst, Domestic Supervision and Resolution, Payments Policy Department   |                    |
| ERNST & YOUNG | Roberto Fitzgerald               | Partner, Financial Services   |                    |
| ERNST & YOUNG | Juan Carlos Martin de los Santos | Director, Technology Risk   |                    |

## ASX Management Representatives

| Company | Representative | Title  | Delegate / Apology |
|---------|----------------|--|--------------------|
| ASX     | Clive Triance  | Group Executive, Securities & Payments (Project Sponsor) |                    |
| ASX     | Andrew Jones   | General Manager, Equities                                | Apology            |
| ASX     | Triona Quinlan | General Manager, Technology Delivery                     |                    |
| ASX     | Steven Hannan  | Senior Manager, Stakeholder Engagement, Equities         |                    |
| ASX     | Chris Boyes    | Senior Product Manager, Securities & Payments            |                    |
| ASX     | Ashley D'Souza | Senior Manager, Equities                                 |                    |
| ASX     | Jason Genford  | Head of Technology Delivery                              |                    |
| ASX     | Peter Jessup   | Release 1 Product Owner (SI Business Lead)               |                    |
| ASX     | Russell Eyre   | Enterprise Architect, Securities and Payments            |                    |
| ASX     | Mark A. Kaplan | Accenture (SI)   |                    |