



MINUTES OF THE ISO 20022 TECHNICAL COMMITTEE

Tuesday 6 February 2018

ASX Offices, 20 Bridge Street, Sydney; Webinar

-
1. **Welcome, Agenda**
 2. **Minutes from last meeting, open actions**
 3. **CHESS Replacement project update by ASX**
 4. **ISO 20022 project update by ASX**
 5. **Update from Business Committee**
 6. **Matters for Consideration (Business and Technical Matters)**
 - a) Review of Technical Committee member survey responses
 - b) Update from SWIFT Standards team
 - c) MyStandards Community – usage guideline feedback
 - d) Securities and cash movements
 - e) Simplify status messages
 - f) Usage guidelines for review
 7. **Next Meeting - 17 April 2018**

Technical Committee Members

Company	Name	Job Title	Apologies
Australian Payments Network Limited	Andy White	Chief Operating Officer	Apology
Australian Payments Network Limited	Paul Creswick	Security Standards Manager	
Bank of America Merrill Lynch	Andree Hindmarsh	Head of GMOT Australia	
Bank of America Merrill Lynch	Jimmie Alam		
Bell Potter Securities	Dean Surkitt	Managing Director Retail	
BNP Paribas Securities	Narelle Rutter	Head of Local Custody & Clearing Operations	
BNP Paribas Securities	Wayne Murphy	Project Manager	
Boardroom Limited	Tony Robinson	Senior Software Engineer	
Boardroom Limited	Michael Mullins	CIO	
Broadridge (Australia)	John Greenhow	General Manager, Australia	
Broadridge (Australia)	Manoj Mathew	Business Analyst	
Broadridge (Australia)	Mabel Chow	Development and Support Manager	
CBA Equities	Joel Cox	Technical Business Analyst	
CBA Equities	Carolyn Webb	Test Manager	
CBA Equities	Ben Lowe	Manager Settlements	
Chi-X Australia	Mike Aikins	Head of Solution Development	
Chi-X Australia	Suketu Adhvaryu	Senior Solution Architect	
Citicorp	Miles O'Connor	Director, Direct Custody & Clearing Securities Markets & Securities Services	
Citicorp	Brett Dennis	Head of Operations – Party Clearing	
Citicorp	Lyall Herron		
Citicorp	Janice O'Brien	Markets & Securities Services Technology	
Citicorp	Matthew Warner		
Citicorp	Ashok Kumar Balusa		

Company	Name	Job Title	Apologies
Citicorp	Glenn Pahilan		
Citcorp	Andrew Gibson	Director – Head of Direct Custody and Clearing Australia & NZ	
Computershare	Paul Walton	Senior Manager, Corporate Actions & New Business Development – Investor Services	
Computershare	Leanne Bailey	Senior Business Analyst Product & Innovation	
Computershare	Laik Tan		
Deutsche Securities	Kevin Novini		
Dion Global Solutions	Craig Gray	Product Manager – Australia and New Zealand	
Dion Global Solutions	Nikki Gleisner	Account Manager	
GBST	Andrew Murdoch	Product Specialist, GBST Capital Markets	
GBST	Sue Schafer	Product Owner	
Goldman Sachs	Darrel Schwarz	Technology Vice President	
Goldman Sachs	Nichole Alexander	Operations Vice President	
HSBC Securities Services	Gregory Wilkin	Head of HSBC Operations	
HSBC Securities Services	Salih Kulic	Manager Custody	
HSBC Bank Australia Limited	Michael Macintosh	Senior Client Account Manager, Global Liquidity and Cash Management	
HSBC Bank Australia Limited	Anna Fratini	Senior Product Manager – High Value Payments, Clearing and Financial Institutions,	
JP Morgan	Ed Lawson	Settlements Manager	
JP Morgan	Danny Ng	Middle and Back office AD Manager	
JP Morgan	Scott Oakland	Direct Custody Product Manager Australia	Apology
JP Morgan	Ned Miglez		
JP Morgan	Daniel Smith	VP, Network Management	
JP Morgan	Lesley Henderson	Technology Product team	
Link Group	Ian Batterham	Business Applications Architect	

Company	Name	Job Title	Apologies
Link Market Services	Sue Julian	National Manager, Corporate Actions	
Macquarie Group	Paul Bragg	Senior Manager Equities Clearing ANZ	
Macquarie Group	Merrilyn Auton	Manager Operations	
Morgans Financial	Matt Neaubauer	Team Leader, Service Management Team	
Morgans Financial	Ed Strike	IT Manager	
Morgan Stanley	Dorothy Wilson	Executive Director Morgan Stanley Wealth Management, ISG Technology	
Morgan Stanley	Kirsty Venters	VP, Prime Brkrgr Funding & Fin Tech	
Morgan Stanley	Oscar Dela Cruz		
Morgan Stanley	Andrew Fielder		
Morgan Stanley	Gordon Davies	VP/Firmwide Ops	
NAB Asset Management	Suresh Chinnappa	Head of Custody Service Operations	
National Australia Bank	Sachin Patel	Senior Analyst, Regulatory Affairs	
National Stock Exchange	Lina Lim	Head of Technology	
Nomura Research Institute	John Ryan	Senior Consultant	
Nomura Research Institute	Ashish Jha		
Securitease	Anton Smith	Director	
Securitease	Chris Werry	Business Analyst	
Share Investing	Phil Barnes	Senior Manager, Platforms	
SWIFT Standards	Nicole Jolliffe	Senior APAC Standards consultant	
SWIFT Standards	Mieko Morioka	Senior APAC Standards consultant	
SWIFT Standards	Vincent Kuntz	Senior Business Analyst, EMEA, Standards	
SWIFT	Chermaine Lee	SWIFT Project Coordinator	Apology
Sydney Stock Exchange	Leo Zhang	Business Analyst	
Sydney Stock Exchange	Jason Shen	Market Operations Officer	
UBS	Phillip Drury	Phillip Drury, Executive Director, Equities IT	
UBS	Duncan Earl	Executive Director, Head of Change, UBS Group Operations	
Wealthhub Securities	James Channon	Manager, Capital Markets Trading	

ASX Management

Name	Job Title	Apologies
Tim Hogben	Chief Operating Officer (Chair of the Technical Committee)	
Cliff Richards	Executive General Manager, Equity Post Trade	
Brendon Luscombe	General Manager, Product Owner, CHES Replacement Project	
Karen Webb	Senior Manager, Equity Post Trade Services	
Russell Eyre	Enterprise Architect	
Anne-Marie Fisher-Taylor	Team Lead, Application Development	
Darcy Wright	Senior Business Analyst, Equity Post Trade	
Priscilla Ferri de Barros	Senior Business Analyst, Equity Post Trade	
Nicky Darwin	Senior Business Analyst, Equity Post Trade	
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	

The meeting commenced at 3.00pm.

Agenda item 1: Agenda overview

The Chair welcomed Technical Committee members to the meeting including members attending by webinar. The Chair also welcomed two members of the SWIFT standards consultant team joining us in Sydney for the meeting – Vincent Kuntz and Mieko Morioka.

The Chair outlined the Agenda for the meeting, referring to the presentation slides prepared by ASX. The agenda included an overview of the proposed forward plan of meeting topics, as outlined on slide number 4 of the ASX presentation.

Agenda item 2: Minutes from last meeting, open actions

The Chair noted that the minutes from the last meeting held on 21 November 2017 had been finalised without change, distributed and published on the web page. ASX had not received any comments on the draft minutes from committee members.

The Chair noted the outstanding actions from the last meeting as outlined on slide number 5 and 6 from the ASX presentation.

Action item 19 was considered as no longer required, with comparisons between 15022 and 20022 core messages being acceptable. **This item was closed.**

Action item 20 was for ASX to provide a proposed plan for the remaining training webinars. The Chair noted that ASX was considering industry training closer to implementation. **This item remains on hold.**

Action item 23 was for ASX to continue a review of reports and provide further candidates for descope at meetings. The Chair noted that at this point in time ASX did not have any further recommendations for descope and will consider any reporting changes or redundant items as the work on Day 1 scope business requirements continues. **This item was closed.**

Action item 39 was for the Chair to contact members seeking feedback on the approach of the Technical Committee, any suggestions to improve the process. The Chair noted that ASX had sent a survey to Technical Committee members in December 2017 and thanked members for the feedback. A specific agenda item to review the feedback was included in this meeting. **This item was closed.**

Action item 41 was for ASX to conduct bilateral discussions with share registries and mailing houses regarding structuring of registration details and associated static data. The Chair noted that ASX had sent invitations to share registries for a meeting later in February 2018 to discuss key requirements. **This item was closed.**

Action item 42 was for ASX to conduct bilateral discussions with share registries regarding current holding balance changes associated with corporate actions and proposed withdrawal of EIS 421. The ASX Senior Business Analyst noted that a few options for the EIS 421 had been discussed at prior meetings. ASX with SWIFT have now developed a usage guideline to replicate the EIS 421 as a precursor to 'to-be' guidelines. Subject to the share registries review of this guideline, it was proposed to close the action item. **This item was closed.**

Action item 43 was for ASX to provide a proposed governance method for Technical Committee input to and review of all matters tabled at the Standards Evaluation Group (SEG), including any matters raised by ASX on behalf of the community. The Chair noted that an overview would be provided during the ISO 20022 project update at this meeting. **This item was closed.**

Action item 48 was for Technical Committee members to review the information provided in agenda item 6d) from the 21 November meeting regarding models for simple and complex reporting (refer presentation slide number 7) and indicate agreement or otherwise with ASX's recommendation. The Chair noted that in the absence of specific input, ASX would proceed with the recommendation to use model 1b for simple models and model 2a for complex models. The committee agreed with this action. **This item was closed.**

Agenda item 3: CHES Replacement project update by ASX

The Chair invited the Executive General Manager (EGM), Equity Post Trade to provide an update on the project. The EGM discussed the announcement in December 2017 in which ASX confirmed that DLT as provided by Digital Asset was the chosen technical solution for CHES Replacement. The work completed to date with Digital Asset would form the basis of the functionality of CHES Replacement, with enhancements. It would also include architectural and other refactoring on related clearing and settlement technology apart from CHES. During 2017 ASX had conducted a large engagement process to capture and discuss business requirements as input to the Day 1 scope, that is, the first version of the replacement. The ASX team were in the midst of producing a consultation paper for a target release of end March 2018 including a description of what will and won't stay in functionality, including ASX generated requirements and industry requirements. It is likely that ASX would not deliver all requirements, keeping in mind the risk profile, and that complex requirements may have a time to market factor, and there may be conflicting requirements. There were also implications for the ASX rule books. ASX would describe those requirements targeted for Day 1 delivery or delivered later or by other means, or not at all, with the rationale. The consultation paper would also include a plan with sufficient detail to allow stakeholders to make their own plans – how long it may take, what tasks and when. ASX appreciates that stakeholders need a minimum 12 months implementation for their own planning and resourcing. The project is still multi-year, and ASX hadn't determined the exact timeframe yet – likely between 2 and 4 years.

A member asked to what extent the existing work in ISO 20022 provides coverage for future requirements. The EGM responded that whether via traditional messaging or via a node, the format to invoke the service will be in ISO 20022.

Agenda item 4: ISO 20022 project update by ASX

The ASX Senior Manager, Equity Post Trade Services (ASX SM) provided an overview of the ISO 20022 detailed mapping where the ASX and SWIFT teams were making good progress against the project plan to finalise the 'as-is' mapping. At 30 November 2017 ASX had achieved at least a first draft equivalent of all in scope CHES EIS messages. To date 142 messages were considered finalised on an 'as-is' basis. From April 2018 the team would be using that 'as-is' base to start working on the 'to-be' mapping to match the Day 1 scope. The ASX and SWIFT standards teams were also planning to meet in upcoming workshops to discuss the remaining work and set up for the next phase of work.

Referring to ASX presentation slide number 10, the SM provided a re-cap on the scope of work in the 'as-is' detailed mapping, noting descope items and the make-up of the final set of 451 EIS equivalent messages. This equated to 79 unique ISO 20022 base messages across 12 ISO business lines. The target state for the ISO 20022 messages may change with the 'to-be' mapping. The Chair noted ASX didn't have an intention to seek feedback on all the mapping, but may seek comments on a subset. A member commented that if the mapping for now was 1 for 1, there was no need to review. The review would add value to the market practice discussion if there was a different process.

The ASX Senior Business Analyst noted that while all guidelines had been mapped on a 1 for 1 basis, some were problematic, with further design decisions to be made in the 'to-be' mapping. A member noted that they would be interested in reviewing the messages, particularly for takeover. More discussion on this was noted for Agenda item 6f).

With reference to Action item 43 and ASX presentation slides 11 and 12, the ASX SM provided an overview of ASX's proposed governance model between the Technical Committee and other Australian and global standards groups included the ISO 20022 Securities Standards Evaluation Group (SEG). The ASX SM noted this was a considerable commitment by ASX with 3 team members attending regular late night calls for these meetings.

The ASX SM outlined three types of changes that ASX would either promote or provide input to – including change requests or new messages promoted by ASX on behalf of Australia for equity post trade, or the review of changes promoted by other groups. The ASX SM noted that ASX would consult the Technical Committee on the input and outcomes from the meetings. Members accepted this approach.

The indicative plan for Technical Committee activities for 2018 and beyond as presented on ASX presentation slide number 13 was noted.

Agenda item 5: Update from Business Committee

The Chair noted key items from discussion at the Business Committee held on 30 November 2017. The Business Committee were provided with an overview of progress on the DLT build, functional and non-functional testing, the completion of the 2017 consultation process and requirements gathering and input from stakeholders on the business requirement priorities. The Committee was also provided with an overview of the third party security reviews on DLT, noting these wouldn't be disclosed publicly. The next meeting of the Business Committee would be held in March 2018.

Agenda item 6: Matters for Consideration

a) Review of Technical Committee Survey responses

Referring to ASX presentation slide numbers 16 to 18, the ASX SM provided a summary of the responses received from 26% of the Technical Committee members to the survey distributed in December 2017. The responses provided support for the current frequency, style of meetings and found the meeting agenda and associated presentations and documentation appropriate and valuable. Members also found the MyStandards tool and comments function useful. Some members also provided some valuable feedback on decision making and future considerations for committee discussion. The Chair thanked members again for their participation and reinforcement of the Technical Committee's value.

b) Update from SWIFT Standards team

The Chair introduced Vincent Kuntz, Senior Business Analyst with SWIFT Standards who is based in Belgium with experience on the T2S and other key projects to provide insights into the T2S documentation and best practice considerations. ASX is reviewing documentation made available in other market initiatives related to the adoption of ISO 20022 as samples for CHES Replacement documentation. The Chair noted that ASX was yet to decide what documents would be published, for example, as equivalents of the CHES EIS. Referring to presentation slides numbered 21 to 25, Vincent noted that there was a lot of documentation, and that it was further complicated by the fact that T2S had defined one ISO 20022 usage guideline for a business area to cover all usage scenarios. The description of all the scenarios was therefore voluminous. Vincent cautioned against this approach, as it created a high number of rules to allow implementation.

Referring to presentation slide number 27, Vincent outlined learnings and best practices applied in the scope of the ISO 20022 project for ASX. Key points discussed included the importance of developing a strong ISO 20022 knowledge – within ASX and the community; appreciate the business flows and purpose of messages as part of mapping; use MyStandards as a single source of information, and the approach to versions for implementation and testing. Drawing on experience from other market implementations, Vincent noted that the T2S project did not start with the use of MyStandards, creating a more difficult process and inconsistencies between the development teams until they were brought together in a single format. T2S also went live with unregistered draft (newly developed) messages that are still subject to change. Vincent's recommendation was for new messages to be registered first, even if imperfect and seek changes after implementation. Any new message could be developed for specific domestic practices, though could also be usable in the global market. Vincent also recommended the creation of base components with notes visible in annotations to create consistencies in the message set. A member asked if ISO 15022 was used at all for T2S. Vincent confirmed that only ISO 20022 had been used, though it was only the CSDs and central banks that were contracted with T2S, so indirect linkages could be ISO 15022 with CSDs doing a conversion for T2S. The ISO 20022 messages did have backward compatibility to ISO 15022. The Chair noted that the adoption of ISO 20022 certainly introduces standardised processes around release cycles.

Vincent's final topic for presentation was on the use of supplementary data and best practice usage, referring to presentation slides numbers 29 and 30. Vincent outlined that the use of supplementary data should not be used to change the scope of the base message, and it is valid to include for local market practice elements. Change requests could be sought to include that data in the base message, where it may be applicable more globally. Vincent provided three examples of ASX's current mapping. If change requests were raised, the change is applied to ISO 20022, and the Standards Evaluation Group also reviewed if the changes should also be made in ISO 15022. A member asked how the review of CHES EIS to ISO 20022 fits in the 'to-be' scope; how pure will the mapping be? The Chair confirmed the question would be asked during 'to-be' message development – whether global practice could be applied or to accept local market practice. It was noted that many of these questions had already been put to the Technical Committee as part of ASX's recommendations for consideration.

c) MyStandards Community – usage guideline feedback

Referring to ASX presentation slide number 32, the ASX Senior Business Analyst noted that comments had been received on 9 of the 12 usage guidelines published post the 21 November 2017 meeting, and on 8 previously reviewed guidelines, by 2 Technical Committee members. It was noted that some comments were received on potential 'to-be' considerations, so wouldn't be addressed in the 'as-is' set. The ASX

Senior Business Analyst noted that some redundant fields were still present in the 'as-is' guidelines, for example stamp duty, and would be removed in the 'to-be' set. The analyst also noted some other corrections and omissions.

The Analyst reminded committee members that ASX provided responses to each comment and published these on the CHES Replacement web page.

d) Securities and Cash Movements

Referring to ASX presentation slide number 33, the ASX Senior Business Analyst provided an overview of securities and cash movements, and conventions in ISO 20022. The 'as-is' mapping currently follows the EIS conventions, noting that if the protocol is changed, then this would add complexity in backward compatibility for current systems. However the inherited approach may not be intuitive for new entrants to the market. No comments or questions were received from members.

e) Simplify status messages

Referring to ASX presentation slide number 34, an ASX Senior Business Analyst provided an overview of examples of EIS prescribed separate message definitions that actually represent similar data flows, such as status messages (equivalent to EIS 016, 405, 407, 076). These examples could allow for the development of a common guideline, for example, for status messages. If this approach was adopted, then ASX would need to identify each usage and the business rules for optional elements.

Referring to presentation slide number 35 the analyst provided a specific example of the potential 'to-be' ISO references, including in the BAH, to support ASX's recommendation to standardise status messages with a common guideline supported by usage rules.

The Chair asked if there were any member views on this approach. A member confirmed that it made sense, noting the T2S discussion that it should not be too complicated with reference to business rules, and that the content was applicable. Members agreed with ASX's recommendation.

f) Usage guidelines for review

An ASX Senior Business Analyst provided a review of the usage guidelines shared to date with the Technical Committee. During 2017, ASX had shared usage guidelines that covered core 'as-is' processes including Trade Registration, Clearing, Settlement and Holding Management.

In 2018, ASX could publish 'as-is' guidelines for other core processes including Funds Settlement, Holder Management, Collateral (including takeover), mFund, and Reporting with likely availability in the second quarter of 2018.

As the message set is 'as-is' and cannot be relied upon for actual go live specifications and build, ASX asked which of these messages, if any, members would like published for review. The analyst confirmed that the mapping was largely 1 for 1 between EIS and ISO 20022. The analyst also confirmed that if the messages were published, ASX would not update this 'as-is' set in response to any comments received – updates would be included in 'to-be' usage guidelines only. The Chair asked members to provide input to this publication question. **(Matters Arising 1 – Action item 49)**

Agenda item 7: Next meeting

The Chair noted one additional action item from the meeting and noted that draft minutes from the meeting would be circulated to members for review.

The Chair confirmed that the next meeting would be held on 17 April 2018, most likely in Melbourne, with the proposed agenda to include an overview of the consultation paper, a review of the 'as-is' mapping change profile, standardisation of transaction id mapping, and the business service model.

The Chair thanked members for their input, and for participating in the meeting. The meeting closed at 5.00pm.

Signed as a correct record of the meeting.

A handwritten signature in black ink, appearing to be 'A. Hest'.

Technical Committee Chair

10 APRIL 2018

Date

ACTION ITEMS

No.	Meeting Date	Open Action Items	Owner	Due Date
20	2 May 2017	Provide details of a proposed plan including potential topics for the remaining training webinars for feedback, standard training provided by SWIFT, and including identification of business vs. technical topics.	ASX	On hold
49	6 February 2018	ASX to confirm additional 'as-is' usage guidelines that could be published in Q2 and members to provide expression of interest in reviewing this set.	ASX	17 April 2018

No.	Meeting Date	Closed Action Items	Owner	Closed Date
1	13 December 2016	Amend the Charter to reflect that the Chair "will" (instead of "may") forward copies of the minutes to the Business Committee for endorsement. ASX to publish and distribute final Charter.	ASX	13 December 2016
2	13 December 2016	Provide members with information on how to access SWIFT MyStandards.	ASX	14 March 2017
3	13 December 2016	Provide members with an overview of ISO 20022 training options.	ASX/SWIFT	7 February 2017
4	7 February 2017	Provide members with list of current scope of messages for detailed mapping during first half 2017.	ASX	14 March 2017
5	7 February 2017	Schedule training webinar for the attendance by members and seek and review feedback after the initial webinar.	ASX / SWIFT	14 March 2017
6	7 February 2017	Add link to relevant ISO 20022 information websites to the CHES Replacement web page.	ASX	14 March 2017
7	7 February 2017	Provide more details on access to online education available at swift.com.	SWIFT	14 March 2017
8	7 February 2017	Provide members with the current list of Market Identification Codes (MICs)	ASX	14 March 2017

No.	Meeting Date	Closed Action Items	Owner	Closed Date
9	7 February 2017	Provide members with more information on the use of BIC vs. UIC as the party identification code.	ASX / SWIFT	14 March 2017
10	7 February 2017	Market Operators to advise the preferred MIC to be presented in trade related messages as the "Place of Trade".	ASX, Chi-X, NSX, SSX	6 June 2017
11	7 February 2017	ASX to conduct analysis on whether the identification of a financial instrument is a fixed value or true value of the proprietary code issuer.	ASX	14 March 2017
12	7 February 2017	Provide list of proposed code (i.e. condition or BOM) in ISO 20022 format (4 character) mapped against CHES codes.	ASX	14 March 2017
13	7 February 2017	Add a general CHES Replacement update to the standing agenda for meetings.	ASX	14 March 2017
14	7 February 2017	Check the next Technical Committee meeting date planned for March and advise members of any change.	ASX	14 March 2017
15	14 March 2017	Schedule future agenda item to discuss transition arrangements, including any changed values (e.g. party identifiers).	ASX	2 May 2017
16	14 March 2017	Create register of matters that may impact upstream, downstream systems or processes, including codes, identifiers and ISO 15022.	ASX	2 May 2017
18	14 March 2017	ASX to draft procedures for change requests to usage guidelines and code lists.	ASX	2 May 2017
21	2 May 2017	Provide a list of standard training topics to share. Noted this action item is combined with action item 20.	SWIFT	6 June 2017
22	2 May 2017	Provide members with a copy of the presentation material from the trial training webinar held on 27 April 2017.	ASX	6 June 2017
24	2 May 2017	Resolve issue with Comments function on MyStandards and advise members when fixed.	ASX/SWIFT	6 June 2017

No.	Meeting Date	Closed Action Items	Owner	Closed Date
25	2 May 2017	Provide samples of the proposed consolidation and rationalisation scenarios for EIS 138 and EIS 134.	ASX	6 June 2017
26	2 May 2017	Provide proposal to broaden the engagement and method to seek feedback on key concepts such as consolidation/rationalisation.	ASX	6 June 2017
27	6 June 2017	Publish list of comments received on MyStandards with ASX responses regularly, around the time of each meeting.	ASX	18 July 2017
28	6 June 2017	Re-publish ISO 20022 equivalent of EIS 138 with corrected default value INFO for issuer of financial instrument code.	ASX	18 July 2017
29	6 June 2017	Review EIS 102 mapped equivalent message with Scott Oakland, JPM – optional inclusion of HIN.	ASX	18 July 2017
33	6 June 2017	Provide a presentation of CHES EIS mapping to ISO 20022, and typical ISO 20022 flows to assist committee discussion of key topics (e.g. rationalisation, consolidation, descope of certain processes like allegation modify). ASX would also seek input from key members about the best process going forward.	ASX	18 July 2017
34	6 June 2017	Add the overview of transaction ids to the July meeting agenda.	ASX	18 July 2017
31	6 June 2017	Sample consolidated (EIS 138) and rationalised (EIS 138 and 134) messages published in the MyStandards community – in a separate collection “Sample Message Review”. Members to review and provide further comments via MyStandards, email.	Members	22 August 2017
32	6 June 2017	For Cancel/Correct & Modify, ASX recommendation revised to only do cancel/correct for bilateral modifications (and not allow modify – removal of EIS 121). ASX to contact users of EIS 121, and action remains open until all members confirmed their agreement with the recommendation.	ASX, Members	22 August 2017
30	6 June 2017	Obtain international usage comparisons on the use of UTC time format. Members to provide input from international offices where possible.	ASX, members	22 August 2017

No.	Meeting Date	Closed Action Items	Owner	Closed Date
35	18 July 2017	ASX to publish all final draft usage guidelines in MyStandards and highlight to members those usage guidelines that need their review. SWIFT to advise if a flag is available in MyStandards to indicate particular review items.	ASX, SWIFT	22 August 2017
36	18 July 2017	Provide members with details of feature in MyStandards to affirm a comment.	ASX, SWIFT	22 August 2017
17	14 March 2017	Provide further information on party identification for issuers – UIC or BIC and proposed process.	ASX	10 October 2017
37	18 July 2017	Provide comparisons to other market implementations of transaction ids.	ASX, SWIFT	22 August 2017
38	22 Aug 2017	Review consistency of the application of UTC date, date and time, zulu format, and add item to upstream/downstream considerations log re the use of UTC for Trade Date confirmations from AMOs (with flow on impact to EIS 164 equivalent).	ASX	10 October 2017
40	22 Aug 2017	Provide list of CHES EIS messages in scope for detailed mapping during 2 nd half of 2017, including identification of descope messages.	ASX	10 October 2017
44	10 Oct 2017	ASX project team to consider effective housekeeping of rights that expire worthless – and whether this could be improved.	ASX	21 November 2017
45	10 Oct 2017	Review recommendations put to committee in Agenda item 6c) – approach to ISO best practice exceptions and provide feedback to ASX.	Members	21 November 2017
46	10 Oct 2017	Review recommendation put to committee in Agenda item 6d) – inclusion of unique event id in Market Infrastructure Tx id (MITI) field.	Members	21 November 2017
47	10 Oct 2017	Allow for future use of Party2+ identification in messages, and ensure discussion on same point at working group meetings re business requirement.	ASX	21 November 2017
19	2 May 2017	Provide sample of demonstration of comparison between ISO 20022 and ISO 15022 messages.	Scott Oakland, JPM	On hold

No.	Meeting Date	Closed Action Items	Owner	Closed Date
23	2 May 2017	Further review of the ASX CHES demand reporting summary and responses to questions contained in that summary. ASX to continue review of reports and provide further candidates for descope at meetings.	Members / ASX	21 November 2017
39	22 Aug 2017	Chair to contact members seeking feedback on the approach of the Technical Committee, any suggestions to improve the process.	ASX	21 November 2017
41	22 Aug 2017	Conduct bilateral discussions with share registries and mailing houses regarding structuring of registration details and associated static data.	ASX	21 November 2017
42	22 Aug 2017	Conduct bilateral discussions with share registries regarding current holding balance changes associated with corporate actions and proposed withdrawal of EIS 421.	ASX	21 November 2017
43	10 Oct 2017	Provide a proposed governance method for Technical Committee input to and review of all matters tabled at the Standards Evaluation Group (SEG), including any matters raised by ASX on behalf of community.	ASX	21 November 2017
48	21 Nov 2017	Members to review information provided in agenda item 6d) and respond to the question – was there appetite for using xml in model 1b or 2a as presented or keep the mapping 1 to 1.	Members	6 February 2018