



Register of ASX 24 Operating Rules Rule Waivers

16 to 30 April 2023

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX 24 Operating Rules rules. Waivers are published bi-monthly and include information such as :

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

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Rule Number	1000(g)
Date	17/04/2023
Participant	BGC Partners (Australia) Pty Limited
Waiver Number	WPA230002-001
Decision	The application be granted to allow BGC Partners (Australia) Pty Limited to provide the amended Prescribed Guarantor Clearing Participant Guarantee form under the ASX24 Operating Rules.
Basis For Decision	<p>Underlying Policy The underlying policy of the Rule is that the Guarantor Clearing Participant provides a guarantee to the Market Operator and to each other Trading Participant of the Market Operator, that it will guarantee the performance of all Futures and/or Option Contracts traded on the Market Operator by that Trading Participant through its mnemonic and trading sessions pursuant to the rules of the Market Operator. The Guarantor Clearing Participant must also cause all such contracts to be registered with the Market Operator's Approved Clearing Facility in the Clearing Guarantor's name. This includes trades completed on market (via NTP) and off market (via Trade Accept).</p> <p>This guarantee ensures the Market Operator is not left with any uncleared trades, as the Guarantor Clearing Participant is required to accept and clear all trades meeting the above criteria.</p> <p>Present Application Based solely on the information provided, Australian Securities Exchange Limited grants BGC Partners (Australia) Pty Limited a waiver from ASX24 Operating Rule Procedure 1000(g) to allow it to provide the amended Prescribed Guarantor Clearing Participant Guarantee form under the ASX24 Operating Rules as there is no change to the Guarantor Clearer's obligations under the amended guarantee and it provides further clarification as to those obligations.</p>

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