



Register of ASX 24 Operating Rules Rule Waivers

16 to 31 March 2014

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX 24 Operating Rules rules. Waivers are published bi-monthly and include information such as:

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

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Rule Number	1000(b)
Date	24/03/2014
Participant	Tyler Capital Partners LLP
Waiver Number	WPA140003-001
Decision	The application should be granted.
Basis For Decision	<p>Underlying Policy Where a Participant is not required to register as a foreign corporation in Australia under Division 2 of Part 5B.2 of the Corporations Act and they are not carrying on business in Australia they are permitted to request a waiver of ASX 24 Operating Rule 1000(b) and 1000(c) under ASX 24 policy.</p> <p>Present Application Based solely on the information provided and similar waivers requested and approved, ASX Limited grants Tyler Capital Partners LLP (the Company) a conditional waiver from ASX 24 Operating Rule 1000(b). This waiver is subject to the following conditions being satisfied on an ongoing basis for the waiver to continue to have effect:</p> <ul style="list-style-type: none"> - the Company is a foreign company; - the Company continues to be registered as a body corporate incorporated in the UK; - the Company does not have a place of business nor any physical presence in Australia; - the Company conducts no physical activity or trading in Australia; - the Company does not establish or use a share transfer office in Australia; - the Company does not administer, manage, or deal with property situated in Australia, as an agent, legal personal representative or trustee; - the Company does not issue debentures in Australia and/or as a guarantor for a company that does; - the Company does not engage in conduct that is intended to induce persons in Australia to utilise the financial services the Company provides; and - the Company does not, nor is it permitted to, carry on business in Australia.



Rule Number	1000(c)
Date	24/03/2014
Participant	Tyler Capital Partners LLP
Waiver Number	WPA140003-002
Decision	The application should be granted.
Basis For Decision	<p>Underlying Policy Where a Participant is not required to register as a foreign corporation in Australia under Division 2 of Part 5B.2 of the Corporations Act and they are not carrying on business in Australia they are permitted to request a waiver of ASX 24 Operating Rule 1000(b) and 1000(c) under ASX 24 policy.</p> <p>Present Application Based solely on the information provided and similar waivers requested and approved, ASX Limited grants Tyler Capital Partners LLP (Company) a conditional waiver from ASX 24 Operating Rule 1000(c) subject to the following ongoing conditions:</p> <ul style="list-style-type: none">- the Company's activity as a Trading Participant is solely on its own behalf and they must not trade on behalf of clients;- it Company continues to operate in accordance with the legal opinion provided as part of their application and that legal opinion remains current; and- the Company is to notify the ASX immediately if there is a change to the basis on which the legal opinion was provided.



Rule Number	1000(c)
Date	7/03/2014
Participant	XR Trading Pacific LLC
Waiver Number	WPA140001-001
Decision	This application should be granted.
Basis For Decision	<p>Underlying Policy Where a Participant is not required to register as a foreign corporation in Australia under Division 2 of Part 5B.2 of the Corporations Act and they meet the requirements of ASIC Class Order 03/1103 they are permitted to request a waiver of ASX Operating Rule 1000(b) and 1000(c) and ASX 24 Operating Rule 1000(b) and 1000(c) under ASX and ASX 24 policy.</p> <p>Present Application Based solely on the information provided and similar waivers requested and approved, ASX Limited grants XR Trading Pacific LLC (the Company) a waiver from ASX 24 Operating Rule 1000(c) subject to the following ongoing conditions:</p> <ul style="list-style-type: none">- the Company's activity as a Trading Participant is solely on its own behalf and they must not trade on behalf of third parties;- the Company continues to operate in accordance with the legal opinion provided as part of their application and that legal opinion remains current; and- the Company is to notify the ASX immediately if there is any change to the basis on which the legal opinion was provided.