



Register of ASX 24 Operating Rules Rule Waivers

16 to 31 October 2017

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX 24 Operating Rules rules. Waivers are published bi-monthly and include information such as:

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

**For all product enquiries, please contact:
- Customer Service Centre on 131 279**

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Rule Number	1000(a)
Date	10/10/2017
Participant	Marquette Partners LP
Waiver Number	WPA170006-001
Decision	ASX grants the waiver pursuant to ASX 24 Operating Rule 6030.
Basis For Decision	<p>Underlying Policy ASX 24 Operating Rule 1000(a) prevents applicants other than body corporates from being admitted as trading participants. The underlying policy was established to provide certainty of the counterparties legal structure.</p> <p>Present Application Marquette Partners LP (Marquette) provided legal opinions which confirmed that if Marquette was to be admitted as an ASX 24 Trading Participant the recourse rights to ASX will be no less than the recourse rights available to ASX if Marquette was a body corporate under ASX 24 Operating Rule 1000(a).</p> <p>Marquette must notify ASX in writing:</p> <ul style="list-style-type: none">- of any proposed change(s) to its legal structure which may affect the recourse rights of Australian Securities Exchange Limited under the ASX 24 Operating Rules prior to the change(s) becoming effective; and- immediately if it becomes aware of information that would change the legal opinions provided in support of its admission as an ASX 24 Trading Participant.