



# **Register of ASX Settlement Operating Rule Waivers**

**1 to 15 June 2022**

**The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Settlement Operating rules. Waivers are published bi-monthly and include information such as :**

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

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## Register of ASX Settlement Operating Rule Waivers

<b>Rule Number</b>	13.9.4
<b>Date</b>	7/06/2022
<b>ASX Code</b>	RMD
<b>Listed Company</b>	RESMED INC
<b>Waiver Number</b>	WLC220095-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Resmed Inc ('RMD') a waiver from ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend periods set out below (the 'Relevant Periods'):</p> <p>1.1.1 From and including 17 August 2022 up to and including 18 August 2022</p> <p>1.1.2 From and including 09 November 2022 up to and including 10 November 2022</p> <p>1.1.3 From and including 08 February 2023 up to and including 09 February 2023</p> <p>1.1.4 From and including 10 May 2023 up to and including 11 May 2023</p> <p>1.2 In relation to the above Relevant Periods, if there are any changes to such dividend periods, the waiver will apply to those revised periods, provided that RMD:</p> <p>1.2.1 notifies ASX Settlement ('ASXS') of the change to the dividend period at least two (2) business days prior to the change (the 'Notification'); and</p> <p>1.2.2 ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>1.3 RMD issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p> <p>1.4 This waiver will expire on 7 June 2023.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS transmitting the Message.</p> <p><b>Present Application</b> Due to the different settlement and transfer procedures applicable to the RMD's primary and secondary listing, the timing and application of the cum-dividend and ex-dividend periods prior to the record date will be different for common stock and for Chess Depository Interests. Accordingly, there is potential, with respect to the operation of conversion between the two securities' registers, for inequalities to exist as to standing, cum or ex, of the respective security holders. The waivers are necessary to ensure a consistent approach is applied to all security holders and their dividend entitlement.</p>

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## Register of ASX Settlement Operating Rule Waivers

<b>Rule Number</b>	13.9.9
<b>Date</b>	7/06/2022
<b>ASX Code</b>	RMD
<b>Listed Company</b>	RESMED INC
<b>Waiver Number</b>	WLC220095-002
<b>Decision</b>	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Resmed Inc ('RMD') a waiver from ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend periods set out below (the 'Relevant Periods'):</p> <p>1.1.1 From and including 17 August 2022 up to and including 18 August 2022</p> <p>1.1.2 From and including 09 November 2022 up to and including 10 November 2022</p> <p>1.1.3 From and including 08 February 2023 up to and including 09 February 2023</p> <p>1.1.4 From and including 10 May 2023 up to and including 11 May 2023</p> <p>1.2 In relation to the above Relevant Periods, if there are any changes to such dividend periods, the waiver will apply to those revised periods, provided that RMD:</p> <p>1.2.1 notifies ASX Settlement ('ASXS') of the change to the dividend period at least two (2) business days prior to the change (the 'Notification'); and</p> <p>1.2.2 ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>1.3 RMD issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p> <p>1.4 This waiver will expire on 7 June 2023.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p><b>Present Application</b> Due to the different settlement and transfer procedures applicable to the RMD's primary and secondary listing, the timing and application of the cum-dividend and ex-dividend periods prior to the record date will be different for common stock and for Chess Depository Interests. Accordingly, there is potential, with respect to the operation of conversion between the two securities' registers, for inequalities to exist as to standing, cum or ex, of the respective security holders. The waivers are necessary to ensure a consistent approach is applied to all security holders and their dividend entitlement.</p>

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