



# **Register of ASX Settlement Operating Rule Waivers**

**16 to 31 July 2022**

**The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Settlement Operating rules. Waivers are published bi-monthly and include information such as :**

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

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## Register of ASX Settlement Operating Rule Waivers

<b>Rule Number</b>	13.9.4
<b>Date</b>	20/07/2022
<b>ASX Code</b>	SSR
<b>Listed Company</b>	SSR MINING INC.
<b>Waiver Number</b>	WLC220119-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants SSR Mining Inc. (the "Company") a waiver from ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend period set out below (the "Relevant Period"):</p> <p>I. From and including 11 August 2022 up to and including 12 August 2022.</p> <p>2. In relation to the above Relevant Period, if there are any changes to such dividend period, the waiver will apply to the revised period, provided that the Company:</p> <p>I. notifies ASX Settlement ("ASXS") of the change to the dividend period at least two (2) business days prior to the change (the "Notification"); and</p> <p>II. ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>3. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS transmitting the Message.</p> <p><b>Present Application</b> The waivers are considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Company as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

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# Register of ASX Settlement Operating Rule Waivers

<b>Rule Number</b>	13.9.9
<b>Date</b>	28/07/2022
<b>ASX Code</b>	OGC
<b>Listed Company</b>	OCEANAGOLD CORPORATION
<b>Waiver Number</b>	WLC220120-001
<b>Decision</b>	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants OceanaGold Corporation (the 'Company') a waiver from ASX Settlement Operating Rule 13.9.9 to the extent necessary to prevent a situation where shareholders on the Canadian share register convert their Shares into CDIs to take advantage of OGC's offer to pay the costs of a broker to effect, and any other costs, levies or fees associated with, the sale of Shares on TSX in connection with the delisting, on the following conditions:</p> <p>1.1 The waiver applies in respect of the period set out below (the 'Relevant Period'):</p> <p>1.1.1 in respect of the forthcoming delisting, from the date that the Company announces the delisting from ASX (29 July 2022) to the date the Company is officially delisted from ASX (31 August 2022), such that the last day on which it is required to process requests for the creation of CDIs would be the trading day before it announces the delisting to the market (28 July 2022).</p> <p>1.2 In relation to the above Relevant Period, if there are any changes to such period, the waiver will apply to the revised period, provided that the Company:</p> <p>1.1.2 notifies ASX Settlement ('ASXS') of the change to the period at least two (2) business days prior to the change (the 'Notification'); and</p> <p>1.1.3 ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>1.3 The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p><b>Present Application</b> The waiver is considered necessary to prevent a situation where shareholders on the Canadian share register convert their Shares into CDIs to take advantage of the Company's offer to pay the costs of a broker to effect, and any other costs, levies or fees associated with, the sale of Shares on TSX in connection with the delisting.</p>

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# Register of ASX Settlement Operating Rule Waivers

<b>Rule Number</b>	13.9.9
<b>Date</b>	20/07/2022
<b>ASX Code</b>	SSR
<b>Listed Company</b>	SSR MINING INC.
<b>Waiver Number</b>	WLC220119-002
<b>Decision</b>	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants SSR Mining Inc. (the "Company") a waiver from ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend period set out below (the "Relevant Period"):</p> <p>I. From and including 11 August 2022 up to and including 12 August 2022.</p> <p>2. In relation to the above Relevant Period, if there are any changes to such dividend period, the waiver will apply to the revised period, provided that the Company:</p> <p>I. notifies ASX Settlement ("ASXS") of the change to the dividend period at least two (2) business days prior to the change (the "Notification"); and</p> <p>II. ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>3. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
<b>Basis For Decision</b>	<p><b>Underlying Policy</b> ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p><b>Present Application</b> The waivers are considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Company as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

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