



Register of ASX Settlement Operating Rule Waivers

1 to 15 May 2023

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Settlement Operating rules. Waivers are published bi-monthly and include information such as :

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

**For all product enquiries, please contact:
- Customer Service Centre on 131 279**

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	3/05/2023
ASX Code	SSR
Listed Company	SSR MINING INC.
Waiver Number	WLC230075-001
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants SSR Mining Inc. (the "Company") a waiver from ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend period set out below (the "Relevant Period"):</p> <p>i. From and including 12 May 2023 up to and including 15 May 2023.</p> <p>2. In relation to the above Relevant Period, if there are any changes to such dividend period, the waiver will apply to the revised period, provided that the Company:</p> <p>i. notifies ASX Settlement ("ASXS") of the change to the dividend period at least two (2) business days prior to the change (the "Notification"); and</p> <p>ii. ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>3. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS transmitting the Message.</p> <p>Present Application The waivers are considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Company as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	8/05/2023
ASX Code	VUK
Listed Company	VIRGIN MONEY UK PLC
Waiver Number	WLC230076-001
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Virgin Money UK PLC (the 'Company') a waiver from ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the transmutation of:</p> <p>1.1.1 CDIs into ordinary shares ('Shares') for the period from 5.00 pm AEST on 17 May 2023 to 8.00 am AEST on 22 May 2023; and</p> <p>1.1.2 Shares into CDIs for the period from 5.00 pm GMT on 17 May 2023 to 8.00 am GMT on 22 May 2023, the 'Relevant Period';</p> <p>1.2 If there is any change to the Relevant Period, the waiver will apply to the revised Relevant Period, provided that the Company:</p> <p>1.2.1 Notifies ASX Settlement Pty Limited ('ASXS') of the change to the Relevant Period at least two business days before the start of the Relevant Period (the 'Notification'); and</p> <p>1.2.2 ASXS does not object to the change within a period of one business day from the date it receives the Notification; and</p> <p>1.3 The Company issues a market release informing investors that no transmutions will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS transmitting the Message.</p> <p>Present Application The waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Company as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.9
Date	12/05/2023
ASX Code	AGG
Listed Company	ANGLOGOLD ASHANTI LIMITED
Waiver Number	WLC230064-001
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants AngloGold Ashanti Limited (the 'Company') the waiver from ASX Settlement Operating Rule 13.9.9 to the extent necessary to prevent a situation where shareholders of the Company holding Company securities on the Johannesburg Stock Exchange ('JSE'), the Ghana Stock Exchange ('GhSE') or the New York Stock Exchange ('NYSE') convert them into CDIs after the Company announces its delisting from the ASX, subject to the following conditions.</p> <p>1.1 This waiver applies, in respect of the proposed delisting, from the day after the Company announces the delisting from the ASX to the date the Company is officially delisted from the ASX, such that the last day on which it is required to process requests for the creation of CDIs would be the trading day on which the delisting is announced to the market ('Relevant Period').</p> <p>1.2 In relation to the Relevant Period, if there are any changes to such period, the waiver would apply to the revised period, provided that the Company:</p> <p>1.2.1 notifies ASX Settlement ('ASXS') of the change to the period at least two (2) business days prior to the change (the 'Notification'); and</p> <p>1.2.1 ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>1.3 The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application The waiver is considered necessary to prevent a situation where shareholders of the Company holding Company securities on JSE, GhSE and on NYSE convert their holding into CDIs for the purpose of taking advantage of the arrangements put in place to enable existing CDI holders to elect how to deal with their CDI holding as a consequence of the Company's delisting from ASX.</p>

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.9
Date	3/05/2023
ASX Code	SSR
Listed Company	SSR MINING INC.
Waiver Number	WLC230075-002
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants SSR Mining Inc. (the "Company") a waiver from ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend period set out below (the "Relevant Period"):</p> <p>i. From and including 12 May 2023 up to and including 15 May 2023.</p> <p>2. In relation to the above Relevant Period, if there are any changes to such dividend period, the waiver will apply to the revised period, provided that the Company:</p> <p>i. notifies ASX Settlement ("ASXS") of the change to the dividend period at least two (2) business days prior to the change (the "Notification"); and</p> <p>ii. ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>3. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application The waivers are considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Company as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

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Date	8/05/2023
ASX Code	VUK
Listed Company	VIRGIN MONEY UK PLC
Waiver Number	WLC230076-002
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Virgin Money UK PLC (the 'Company') a waiver from ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the transmutation of:</p> <p>1.1.1 CDIs into ordinary shares ('Shares') for the period from 5.00 pm AEST on 17 May 2023 to 8.00 am AEST on 22 May 2023; and</p> <p>1.1.2 Shares into CDIs for the period from 5.00 pm GMT on 17 May 2023 to 8.00 am GMT on 22 May 2023, the 'Relevant Period';</p> <p>1.2 If there is any change to the Relevant Period, the waiver will apply to the revised Relevant Period, provided that the Company:</p> <p>1.2.1 Notifies ASX Settlement Pty Limited ('ASXS') of the change to the Relevant Period at least two business days before the start of the Relevant Period (the 'Notification'); and</p> <p>1.2.2 ASXS does not object to the change within a period of one business day from the date it receives the Notification; and</p> <p>1.3 The Company issues a market release informing investors that no transmutions will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application The waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Company as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

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