



Register of ASX Settlement Operating Rule Waivers

1 to 15 June 2023

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Settlement Operating rules. Waivers are published bi-monthly and include information such as :

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

**For all product enquiries, please contact:
- Customer Service Centre on 131 279**

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	8/06/2023
ASX Code	RMD
Listed Company	RESMED INC
Waiver Number	WLC230106-001
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Resmed Inc ('RMD') a waiver from ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend periods set out below (the 'Relevant Periods'):</p> <p>1.1.1 From and including 16 August 2023 up to and including 17 August 2023</p> <p>1.1.2 From and including 8 November 2023 up to and including 9 November 2023</p> <p>1.1.3 From and including 7 February 2024 up to and including 8 February 2024</p> <p>1.1.4 From and including 8 May 2024 up to and including 9 May 2024</p> <p>1.2 In relation to the above Relevant Periods, if there are any changes to such dividend periods, the waiver will apply to those revised periods, provided that RMD:</p> <p>1.2.1 notifies ASX Settlement ('ASXS') of the change to the dividend period at least two (2) business days prior to the change (the 'Notification'); and</p> <p>1.2.2 ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>1.3 RMD issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p> <p>1.4 This waiver will expire on 8 June 2024.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS transmitting the Message.</p> <p>Present Application Due to the different settlement and transfer procedures applicable to the RMD's primary and secondary listing, the timing and application of the cum-dividend and ex-dividend periods prior to the record date will be different for common stock and for Chess Depository Interests. Accordingly, there is potential, with respect to the operation of conversion between the two securities' registers, for inequalities to exist as to standing, cum or ex, of the respective security holders. The waivers are necessary to ensure a consistent approach is applied to all security holders and their dividend entitlement.</p>

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	9/06/2023
ASX Code	TUL
Listed Company	TULLA RESOURCES PLC
Waiver Number	WLC230107-001
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Tulla Resources Plc (the 'Company') a waiver from ASX Settlement Operating Rule 13.9.4 in respect of transmutations of securities from the Company's Australian register to the Company's UK register on the following conditions:</p> <p>1.1 The waiver only applies in respect of the period set out below (the 'Relevant Period'):</p> <p>1.1.1 from and including 19 June 2023 being two trading days prior to the record date of the proposed de-merger of a wholly owned subsidiary of the Company, Phoenix Industrial Minerals Pty Ltd ('Phoenix') via a scheme of arrangement under the Companies Act (UK) ('Demerger Scheme'), until and including 21 June 2023 - the Demerger Scheme record date;</p> <p>1.2 If there is any change to the Relevant Period, the waiver will apply to the revised Relevant Period, provided that the Company:</p> <p>1.2.1 notifies ASX Settlement Pty Limited ('ASXS') of the change to the Relevant Period at least two business days before the start of the Relevant Period (the 'Notification');</p> <p>1.2.2 ASXS does not object to the change within a period of one business day from the date it receives the Notification; and</p> <p>1.2.3 the Company issues a market release informing investors that no transmutations will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy Waivers in respect of Settlement Operating Rules 13.9.4 and 13.9.9 may be granted where arbitrage opportunities or regulatory issues may arise if conversions are allowed to proceed in the period surrounding a corporate action.</p> <p>Present Application The waivers are considered necessary to prevent the potential for a holder to gain inappropriate access to Phoenix shares to be issued in connection with the proposed Demerger as a result of differences in time zones and ex entitlement periods between two different jurisdictions.</p>

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Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.9
Date	8/06/2023
ASX Code	RMD
Listed Company	RESMED INC
Waiver Number	WLC230106-002
Decision	<p>1. Based solely on the information provided, ASX Limited ('ASX') grants Resmed Inc ('RMD') a waiver from ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend periods set out below (the 'Relevant Periods'):</p> <p>1.1.1 From and including 16 August 2023 up to and including 17 August 2023</p> <p>1.1.2 From and including 8 November 2023 up to and including 9 November 2023</p> <p>1.1.3 From and including 7 February 2024 up to and including 8 February 2024</p> <p>1.1.4 From and including 8 May 2024 up to and including 9 May 2024</p> <p>1.2 In relation to the above Relevant Periods, if there are any changes to such dividend periods, the waiver will apply to those revised periods, provided that RMD:</p> <p>1.2.1 notifies ASX Settlement ('ASXS') of the change to the dividend period at least two (2) business days prior to the change (the 'Notification'); and</p> <p>1.2.2 ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>1.3 RMD issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p> <p>1.4 This waiver will expire on 8 June 2024.</p>
Basis For Decision	<p>Underlying Policy</p> <p>ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application</p> <p>Due to the different settlement and transfer procedures applicable to the RMD's primary and secondary listing, the timing and application of the cum-dividend and ex-dividend periods prior to the record date will be different for common stock and for Chess Depository Interests. Accordingly, there is potential, with respect to the operation of conversion between the two securities' registers, for inequalities to exist as to standing, cum or ex, of the respective security holders. The waivers are necessary to ensure a consistent approach is applied to all security holders and their dividend entitlement.</p>

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Basis For Decision	<p>Underlying Policy Waivers in respect of Settlement Operating Rules 13.9.4 and 13.9.9 may be granted where arbitrage opportunities or regulatory issues may arise if conversions are allowed to proceed in the period surrounding a corporate action.</p> <p>Present Application The waivers are considered necessary to prevent the potential for a holder to gain inappropriate access to Phoenix shares to be issued in connection with the proposed Demerger as a result of differences in time zones and ex entitlement periods between two different jurisdictions.</p>

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