



Register of ASX Settlement Operating Rule Waivers

1 to 15 July 2012

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Settlement Operating rules. Waivers are published bi-monthly and include information such as:

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

**For all product enquiries, please contact:
- Customer Service Centre on 131 279**

ASX Limited ABN 98 008 624 691 and its related bodies corporate reserve all rights in the material incorporated in this publication. No part of this publication may be photocopied, reproduced, transcribed into or stored in a retrieval system or any other form of electronic medium, nor may it be transmitted in any form or by any means whether electronic, mechanical or otherwise without the prior written approval of the General Manager, Market Information, ASX Limited. NO RESPONSIBILITY IS ACCEPTED FOR ANY INACCURACIES IN THE MATTER PUBLISHED



Rule Number	13.9.4
Date	12/07/2012
ASX Code	EAU
Listed Company	ELDORADO GOLD CORPORATION
Waiver Number	WLC120171-001
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants Eldorado Gold Corporation (the "Company") a waiver from ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <ol style="list-style-type: none">1. The waiver only applies for the period from and including 3 August 2012 up to and including 10 August 2012 (the "Relevant Period"); and2. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASX Settlement transmitting the Message.</p> <p>Present Application The waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution made by the Company as a result of differences in time zones and ex entitlement periods between Australia and Canada. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as issuances can't be tagged to clarify whether they are trading with or without the distribution.</p>



Rule Number	13.9.4
Date	12/07/2012
ASX Code	HGG
Listed Company	HENDERSON GROUP PLC.
Waiver Number	WLC120172-001
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants Henderson Group plc (the "Company") a waiver of ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <ol style="list-style-type: none">1. The waiver only applies for the period from and including 27 August 2012 up to and including 31 August 2012 (the "Relevant Period"); and2. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASX Settlement transmitting the Message.</p> <p>Present Application The waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution made by the Company as a result of differences in time zones and ex entitlement periods between Australia and UK. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as issuances can't be tagged to clarify whether they are trading with or without the distribution.</p>



Rule Number	13.9.9
Date	12/07/2012
ASX Code	EAU
Listed Company	ELDORADO GOLD CORPORATION
Waiver Number	WLC120171-002
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants Eldorado Gold Corporation (the "Company") a waiver of ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1. The waiver only applies for the period from and including 3 August 2012 up to and including 10 August 2012 (the "Relevant Period"); and</p> <p>2. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASX Settlement to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASX Settlement queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application The waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution made by the Company as a result of differences in time zones and ex entitlement periods between Australia and Canada. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as issuances can't be tagged to clarify whether they are trading with or without the distribution.</p>



Rule Number	13.9.9
Date	12/07/2012
ASX Code	HGG
Listed Company	HENDERSON GROUP PLC.
Waiver Number	WLC120172-002
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants Henderson Group plc (the "Company") a waiver of ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1. The waiver only applies for the period from and including 27 August 2012 up to and including 31 August 2012 (the "Relevant Period"); and</p> <p>2. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASX Settlement to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASX Settlement queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application The waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution made by the Company as a result of differences in time zones and ex entitlement periods between Australia and UK. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as issuances can't be tagged to clarify whether they are trading with or without the distribution.</p>