



MARKET ANNOUNCEMENT

7 January 2011

ASX Compliance Monthly Activity Report – December 2010

Listings

Listings	December 2010
Listed entities at month start	2197
New listings	35
De-listings	16
Listed entities at month end	2216

Average monthly new listings for FY11 year-to-date stand at 14 (compared to 8 listings per month on average for the whole of FY10).

The 35 new listings for the month were Attila Resources Limited (AYA), Bulletin Resources Limited (BNR), Carabella Resources Limited (CLR), Corporate Travel Management Limited (CTD), Countplus Limited (CUP), Delta SBD Limited (DSB), ERM Power Limited (EPW), Fitzroy Resources Limited (FRY), Fortis Mining Limited (FMJ), Haranga Resources Limited (HAR), Healthscope Notes Limited (HLN), Indochine Mining Limited (IDC), MacPhersons Reward Gold Limited (MRP), Middle Island Resources Limited (MDI), Mindoro Resources Limited (MDO), NEXTDC Limited (NXT), Oakland Resources Limited (OKL), Octagonal Resources Limited (ORS), Phoenix Gold Limited (PXG), PMI Gold Corporation (PVM), Radar Iron Limited (RAD), Redflow Limited (RFX), Renaissance Uranium Limited (RNU), REVA Medical, Inc (RVA), Rongtai International Group Holdings Limited (RIG), Sentosa Mining Limited (SEO), Sheffield Resources Limited (SFX), Suncorp Group Limited (SUN), Teranga Gold Corporation (TGZ), Thomson Resources Limited (TMZ), Universal Coal PLC (UNV), Water Resources Group Limited (WRG), Westfield Retail Trust 1 and 2 (WRT) and Xanadu Mines Limited (XAM).

The 16 de-listings for the month included Adtrans Group Limited (ADG), Aevum Limited (AVE), AWB Limited (AWB), Choiseul Investments Limited (CHO), Coeur d'Alene Mines Corporation (CXC), Intoll International Limited (ITO), Intoll Trust (1) (ITO), Intoll Trust (2) (ITO), Keycorp Limited (KYC), Mac Services Group Limited (The) (MSL), Marine Produce Australia Limited (MPA), NGM Resources Limited (NGM), North Queensland Metals Limited (NQM), Seiza Augustus 2007-1 Trust (SEB), SKYCITY Investments Australia Limited (SKA) and Wridgways Australia Limited (WWA).

Key Issuer Statistics	December 2010
Total company announcements	12,161
Continuous disclosure queries made by ASX ¹	29
Price queries ² by ASX	59
Aware letters ³ sent by ASX	11
Announcements made following a price query	45
Trading halts at the issuer's request	112
Trading halts following a price query by ASX	6
Suspensions at the issuer's request	11
Suspensions imposed by ASX for breach of the Listing Rules	0

Participants

ASX Participants ⁴	December 2010
Market/Clearing/Settlement Participants at month start	136
New admissions	0
Resignations and removals	1
Market/Clearing/Settlement Participants at month end	135

ASX 24 Participants	December 2010
Trading/Clearing Participants at month start	42
New admissions	0
Resignations and removals	0
Trading/Clearing Participants at month end	42

Wilson HTM Ltd resigned as an ASX Clear Participant during the month.

¹ Excluding price queries.

² Price queries are enquiries made by ASX (either by letter or verbally) to a listed entity if it detects unusual movements in the listed entity's security price or trading volumes to ask if the listed entity is aware of any information that has not been announced which, if known, might explain the movements.

³ Aware letters are sent by ASX following an announcement by a listed entity and ask for details of when the listed entity became aware of the material information contained in the announcement.

⁴ Figures exclude Special Settlement Participants set up to effect a specific corporate action as their participation is only temporary.

Enforcement

Referrals to ASIC ⁵	December 2010
Continuous disclosure referrals	1
Other referrals	3
Total referrals to ASIC	4

Pre 1 August 2010 Enforcement Matters ⁶	December 2010
Open ASX Enforcement matters at month start	23
New Enforcement matters commenced during the month	4
Enforcement matters concluded during the month	4
Open ASX Enforcement matters at month end	23
Fines imposed during the month (excl GST)	\$290,000 (+GST)

Four new enforcement matters were commenced during the month. All of the matters were in respect of various alleged breaches of the ASX Market Rules that occurred prior to 1 August 2010. To date there have been no enforcement matters commenced in respect of potential contraventions of the ASX and ASX 24 Operating Rules that have occurred after 1 August 2010.

There were four referrals made to ASIC during the month, one relating to a false or misleading information breach, two relating to trust breaches, and one a continuous disclosure breach.

Four circulars were released by the ASX Disciplinary Tribunal during the month. Copies of the Disciplinary Circulars are available online at: <http://www.asx.com.au/asx/research/disciplinaryAnnouncements.do?by=all>.

ASX Rule Changes, Waivers and Exemptions

Several changes to the ASX Operating Rules Procedures and Appendices came into effect during the month, dealing mainly with auditors' report requirements and ASX's enforcement and disciplinary processes. Further details on recent ASX Rule changes can be accessed online at: <http://www.asxgroup.com.au/rules-guidance-notes-and-waivers.htm>.

There were 75 waivers from the ASX Listing Rules granted during the month. Details of those waivers and exemptions can be accessed online at the Rules, Guidance Notes and Waivers page on the ASX Group website: <http://www.asxgroup.com.au/rules-guidance-notes-and-waivers.htm>.

⁵ ASIC is required, under section 792B(2)(c) of the Corporations Act 2001, to refer a matter to ASIC if it has reason to suspect that a person has committed, is committing, or is about to commit a significant contravention of the ASX Group's operating rules or the Corporations Act. It should be noted that a single referral may relate to more than one potential contravention. It should also be noted that the fact a matter has been referred to ASIC does not necessarily mean that a contravention has been committed or that ASIC will take enforcement proceedings in relation to it.

⁶ Prior to 1 August 2010, ASX was responsible for supervising the ASX and ASX 24 markets and their participants and for monitoring and enforcing compliance with the market rules for those markets. The responsibility for supervising the ASX and ASX 24 markets and their participants was transferred from ASX to ASIC on 1 August 2010 pursuant to the Corporations Amendment (Financial Market Supervision) Act 2010, and the market rules for the ASX and ASX 24 markets were effectively split into market integrity rules administered by ASIC and Operating Rules administered by ASX. However, ASX remains responsible for enforcing any breaches of the former market rules that occurred prior to 1 August 2010.