



Register of ASX Clear (Futures) Operating Rule Waivers

16 to 30 November 2011

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Clear (Futures) Operating rules. Waivers are published bi-monthly and include information such as:

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

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Rule Number	4.14(a)
Date	16/11/2011
Participant	BNP Paribas Securities Services SA
Waiver Number	WPA110042-001
Decision	<p>Based solely on the information provided and pursuant to ASX Clear (Futures) Operating Rule 9A.3.8, ASX Clear (Futures) Pty Limited grants BNP Paribas Securities Services an exemption from ASX Clear (Futures) Operating Rule 4.14 (a).</p> <p>The exemption allows the Participant to provide Form 405 at the same time that they provide it to ASIC under the relief provided to overseas based authorised deposit taking institutions (ADIs) by ASIC Class Order 03/283.</p> <p>This exemption has been granted to the Participant on the basis that the relief provided to overseas based authorised deposit taking institutions (ADIs) by ASIC Class Order 03/283 continues to be applicable to the Participant.</p>
Basis For Decision	<p>Underlying Policy The underlying ASX Clear (Futures) policy is that the Participant is to provide the prescribed form at the same time that they provide it to ASIC under the relief provided to overseas based authorised deposit taking institutions (ADIs) by ASIC Class Order 03/283.</p> <p>Present Application The request for an exemption from BNP Paribas Securities Services is similar in nature to previous exemptions granted and it is also consistent with ASX Clear (Futures) policy.</p>

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