



ASX

AUSTRALIAN SECURITIES EXCHANGE

ASTC Settlement Rules

Guidance Note No. 8

KEY TOPICS

ASTC Settlement Rules

1. 12.4.8
2. 12.7
3. 12.11

Cross-reference

1. Corporations Act 792A
2. ACH Guidance Note "ASX's Disciplinary Tribunals - Penalty"
3. ASX Guidance Note "ASX's Disciplinary Tribunals - penalty"

Guidance Note History

Amended:
31 March 2008

ASX'S DISCIPLINARY TRIBUNALS – PENALTIES

Please refer to the Guidance Note that relates to the Australian Securities Exchange Disciplinary Procedures and Appeals Rulebook.