

ANNEXURE 6 RESPONSIBLE EXECUTIVE (RE) CONTINUING EDUCATION SELF ASSESSMENTS

Representations of compliance by [PARTICIPANT TO INSERT NAME HERE] with ASX Clear Pty Limited (“ASX Clear”) Operating Rules 4.1.1 and 4.22.1.

We have examined our obligations under ASX Clear Operating Rules 4.1.1 and 4.22.1 and the relevant Procedures to ensure all REs have completed qualifications or training of a type prescribed by ASX Clear. We have examined the relevant Guidance Note published by ASX Clear. We have performed a review of the training records of all REs involved in our business and other relevant documentation concerning our compliance with ASX Clear Operating Rules 4.1.1 and 4.22.1. Our review included all matters considered by us to be necessary in the circumstances.

We confirm that, based on our enquiries, the following RE’s have satisfied the requirements of ASX Clear Operating Rules 4.1.1 and 4.22.1:

We have retained copies of the training records of all REs involved in our business and other relevant documentation concerning our compliance with ASX Clear Operating Rules 4.1.1 and 4.22.1 and these are available for inspection by ASX Clear.

Director / Authorised Delegate

Date

Amended 02/08/13

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